



## Local Government Performance Assessment

Lira District

(Vote Code: 531)

<b>Assessment</b>	<b>Scores</b>
Crosscutting Minimum Conditions	68%
Education Minimum Conditions	60%
Health Minimum Conditions	100%
Water & Environment Minimum Conditions	55%
Micro-scale Irrigation Minimum Conditions	0%
Crosscutting Performance Measures	68%
Educational Performance Measures	50%
Health Performance Measures	58%
Water & Environment Performance Measures	57%
Micro-scale Irrigation Performance Measures	3%

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Service Delivery Outcomes of DDEG investments</p> <p>Maximum 4 points on this performance measure</p>	<ul style="list-style-type: none"> <li>• Evidence that infrastructure projects implemented using DDEG funding are functional and utilized as per the purpose of the project(s):</li> <li>• If so: Score 4 or else 0</li> </ul>	<p>The following projects were sampled, and the evidence from the Field reviews indicates that the infrastructure projects implemented using DDEG funding are functional, and utilized as per the purpose of the projects by the beneficiaries.</p> <p>The sample 3 previously completed (phase) projects were as follows;</p> <p>1) Construction of a 2-Classroom Block at <b>Barapwo P/S</b>; Lira S/County - <i>LIRA531/WRKS/19-20/00005</i>. Fully functional and one room utilized as an Isolation Room (COVID-19). It was empty though - No isolation case as the time of Assessment</p> <p>2) Renovation of 4-Classroom Block at <b>Olaka P/S</b>; Lira S/County - <i>LIRA531/WRKS/19-20/00008</i>. Fully functional, H/Ms office also on this block were she welcomed the Assessment team</p> <p>3) Construction of a 3-Stance Drainable Latrine at <b>Adyaka Market</b>, Agali S/Cty; and a 2-Stance at Barr S/Cty - <i>LIRA531/WRKS/19-20/00010</i>. The Assessor visited Adyaka Market and the Latrine Block was functional – though was locked as it was not a market day</p>	4
2	<p>Service Delivery Performance</p> <p>Maximum 6 points on this performance measure</p>	<p>a. If the average score in the overall LLG performance assessment increased from previous assessment :</p> <ul style="list-style-type: none"> <li>o by more than 10%: Score 3</li> <li>o 5-10% increase: Score 2</li> <li>o Below 5 % Score 0</li> </ul>	N/A	0

## Service Delivery Performance

Maximum 6 points on this performance measure

b. Evidence that the DDEG funded investment projects implemented in the previous FY were completed as per performance contract (with AWP) by end of the FY.

- If 100% the projects were completed : Score 3
- If 80-99%: Score 2
- If below 80%: 0

There is evidence that the DDEG funded investment projects implemented were 100% completed as per performance contract. The projects are profiles in the Manual Budget Performance Reports, pages-136,139, 141,144, 150 and 151. AWP- page 87 and following DDEG guidelines on pages, 16-18.

The 3 samples are hereby given as under;

- i. Construction of latrines at Amuca Teokole PS, Shs. 30,982,000 (ABPR- page 133).
- ii. renovation of Arwotomito Akore PS, Shs.39,574,000 (ABPR - page 136).
- iii. Renovation of DHO's Resource Center, Shs. 63,500,000 (ABPR -page 150).
- iv. renovation of latrines at District HQs, Shs.10,000,000 (ABPR - page 151).

## Investment Performance

Maximum 4 points on this performance measure

a. If the LG budgeted and spent all the DDEG for the previous FY on eligible projects/activities as per the DDEG grant, budget, and implementation guidelines:

Score 2 or else score 0.

The LG budgeted and spent all the DDEG on eligible projects as per the DDEG grant, budget, and implementation guidelines. All the projects below are from the Annual Budget Performance Report (ABPR)

- i. Rehabilitation of Doctor's House at Ogur HC IV & Completion of a staff house at AbalaHC III, Shs.30.813,000 (ABPR- page 66).
- ii. Completion of DHO Resource Center, Furniture to DHOs Office, Motorcycle, Shs. 79,026,000 (ABPR - page 67).
- iii. Construction of latrines at Amuca Teokole PS, Shs. 30,982,000 (ABPR- page 133).
- iv. renovation of Arwotomito Akore PS, Shs.39,574,000 (ABPR - page 136).
- v. Renovation of DHO's Resource Center, Shs. 63,500,000 (ABPR -page 150).
- vi. renovation of latrines at District HQs, Shs.10,000,000 (ABPR - page 151).
- vii. Rehabilitation of classrooms at; Ngetta Girls, 3 classrooms Ayamo PS, 6 classrooms Aler PS, 4 classrooms Olaka PS, 4 classrooms Alworo PS, Anyomorem PS, Akore PS, Ayile PS, Wigweng PS, Ocamonyang PS, Abutoadi PS, Akwiaworo PS, Ngetta Boys PS, Ongica PS, Teokole PS-Shs.461,509,000 (ABPR -page 70).

Investment Performance	b. If the variations in the contract price for sample of DDEG funded infrastructure investments for the previous FY are within +/-20% of the LG Engineers estimates,	The AWP and Budget for the FY 2019/20 indicated a number of infrastructure projects funded under the DDEG and of those, the implemented projects had contract amounts according to contract documents as follows:
Maximum 4 points on this performance measure	score 2 or else score 0	<p>1) Construction of a 2-Classroom Block at <b>Barapwo P/S</b>; Lira S/County - <i>LIRA531/WRKS/19-20/00005</i>, with Engineers' Estimates at UGX 60,000,000/=. The contract Price was UGX 58,984,000/-. <b>The Variation was at -1.69%</b></p> <p>2) Renovation of 4-Classroom Block at <b>Olaka P/S</b>; Lira S/County - <i>LIRA531/WRKS/19-20/00008</i>, with Engineers' Estimates at UGX 85,255,590/=. The contract Price was UGX 81,844,358/-. <b>The Variation was at -4.00%</b></p> <p>3) Construction of a 3-Stance Drainable Latrine at <b>Adyaka Market</b>, Agali S/Cty; and a 2-Stance at Barr S/Cty - <i>LIRA531/WRKS/19-20/00010</i>, with Engineers' Estimates at UGX 25,500,000/=. The contract Price was UGX 25,528,500/-. <b>The Variation was at 0.11%</b></p> <p>The Variations were thus within +/-20% of the LG Engineers estimates</p>

#### Performance Reporting and Performance Improvement

Accuracy of reported information	a. Evidence that information on the positions filled in LLGs as per minimum staffing standards is accurate,	The Assessor sampled three LLGs of <b>Lira, Adekokwok and Ngeta</b> Subcounties and conducted field visits to the Subcounty headquarters to review the staffing positions and status to ascertain whether the reported information was accurate. The Assessor confirmed that in general terms, the reported information about names, titles and status of appointment was consistent with the reported information on the approved costed staff lists provided by the PHRO the findings at each Subcounty were as indicated below:
Maximum 4 points on this Performance Measure	score 2 or else score 0	<p><b>Lira Subcounty:</b> All the key positions of Senior Assistant Secretary (held by <b>Apio Achan Lillian Grace</b>), Community Development Officer (<b>Ebong Sammy</b>) and Senior Accounts Assistant (<b>Acio Judith</b>) were substantively appointed. The positions of Parish Chiefs were held by 4 substantively appointed Parish Chiefs, while 1 position at <b>Omoto Parish</b> was filled by an acting parish Chief. The positions for extension staff of Agriculture (held by <b>Onika James-</b> Assistant Agricultural Officer), Veterinary Officer (held by <b>Otim Bernard-</b> Assistant Animal Husbandry Officer) and Fisheries was held by <b>Ebong Hebert Conish</b>, a substantive Fisheries Officer. The position of Entomology was vacant. All the above information was accurate/ consistent with the reported information provided by the PHRO.</p> <p><b>Adekokwok Subcounty:</b> The positions of SAS (Komaketch Emmanuel), Community Development Officer (<b>Achieng Susan Christine</b>) and Senior Accounts Assistant (Ejang Betty Mercy) were substantively appointed. The above information was accurate and consistent with the reported information by the PHRO. The position of extension workers of Agriculture (<b>Opio Robert</b></p>

**Louis Oluge**- Agricultural Officer) veterinary Officer (**Podopodo Echir**- Assistant Animal Husbandry Officer), The position of Fisheries Officer was vacant while the Entomology Position was held by **Okola James** who was based at the district headquarters and supports all Subcounties. The staffing information about other staff such as the parish chiefs and support staff was consistent with the reported information on the staff list.

**Ngeta Subcounty:** There were no discrepancies between the reported information on the staff list and the information verified at the Subcounty headquarters. For instance the names, titles and status of appointment for the key positions of the SAS, Community Development Officer and the Senior Accounts Assistants, were found to be accurate and consistent with the reported information on the approved and costed staff lists. The Assessor noted that for the positions of extension workers were grossly vacant as only the position of Agricultural Officer (**Ajwang Evelyn**) was substantively filled while all the rest were vacant. Three positions of Parish Chiefs were substantively filled while three were filled by acting Parish Chiefs appointed on assignment of duty.

The Assessor observed however, that while the reported information about staffing was accurate, the sampled LLGs did not fill all positions substantively as per minimum standards, for instance the position of fisheries officer was vacant at Adekokwok sub-county; Entomology officer position was vacant at Lira sub-county while the positions of Animal Husbandry and Agricultural Officers were held by assistants rather than substantive officers. At Adekokwok subcounty ; two positions of Parish Chiefs were held by acting officers rather that substantively appointed officers.

4

Accuracy of reported information

Maximum 4 points on this Performance Measure

b. Evidence that infrastructure constructed using the DDEG is in place as per reports produced by the LG:

• If 100 % in place: Score 2, else score 0.

**Note: if there are no reports produced to review: Score 0**

The LG provided Supervision and/or completion Reports on infrastructure constructed as per the AWP. The information provided shows a random sample of infrastructure projects 100% completed and as follows;

1) The 2-Classroom Block Constructed at Barapwo P/S in Lira S/County. The block was completed and in place, One of the rooms was actually gazette as the Isolation room as per MoH guidelines on Covid-19

2) The rehabilitated 4-Classroom Block at Olaka P/S in Lira S/County was in place and H/M hosted us in her Office on the very block

3) The 3-Stance Drainable Latrine block at Adyaka Market, Agali S/County was also in Place as per reports and physical verification by the Assessment team

2

5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	a. Evidence that the LG conducted a credible assessment of LLGs as verified during the National Local Government Performance Assessment Exercise;  If there is no difference in the assessment results of the LG and national assessment in all LLGs  score 4 or else 0	This indicator was inapplicable as the central government had not yet introduced the system of LLGs performance assessment.	0
5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	b. The District/ Municipality has developed performance improvement plans for at least 30% of the lowest performing LLGs for the current FY, based on the previous assessment results.  Score: 2 or else score 0	This indicator was inapplicable as the central government had not yet introduced the system of LLGs performance assessment.	0
5	Reporting and Performance Improvement  Maximum 8 points on this Performance Measure	c. The District/ Municipality has implemented the PIP for the 30 % lowest performing LLGs in the previous FY:  Score 2 or else score 0	This indicator was inapplicable as the central government had not yet introduced the system of LLGs performance assessment.	2

### Human Resource Management and Development

6	Budgeting for and actual recruitment and deployment of staff  Maximum 2 points on this Performance Measure	a. Evidence that the LG has consolidated and submitted the staffing requirements for the coming FY to the MoPS by September 30th, with copy to the respective MDAs and MoFPED.  Score 2 or else score 0	By the time of conducting the LGPA exercise, Lira DLG had not yet consolidated and submitted the staffing requirements for the coming FY to the MoPS.	0
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7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI):</p> <p>Score 2 or else score 0</p>	<p>There was no sufficient evidence presented to the Assessor to confirm that Lira DLG conducted a tracking and analysis of staff attendance (as guided by Ministry of Public Service CSI). While the PHRO presented to the Assessor a staff attendance record book, there was only one summarized report of staff attendance for June 2020. No reports were compiled for the 1st and 2nd quarter of FY 2019/2020 before the national lock down due to Covid 19.</p>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>i. Evidence that the LG has conducted an appraisal with the following features:</p> <p>HODs have been appraised as per guidelines issued by MoPS during the previous</p> <p>FY: Score 1 or else 0</p>	<p>The Assessor reviewed all the personal files of Heads of Department (HoD) to establish whether the CAO appraised all the HoDs during the previous FY. The review revealed that the CAO DID NOT appraise all the Heads of Department during the previous FY. Details of the appraisal status is indicated below:</p> <ol style="list-style-type: none"> <li>1. <b>Acting Chief Finance Officer: <i>Ngoro Bernard</i></b>: No appraisal documents were on file at the time of the assessment</li> <li>2. <b>District Planner: <i>Omo Henry</i></b>; was appraised by the CAO on 10th July, 2020</li> <li>3. <b>District Engineer: <i>Ongara Geoffrey</i></b>; was appraised by the CAO on 8th August, 2020.</li> <li>4. <b>District Natural Resources Officer: <i>Oti Fabius</i></b>, was appraised by the CAO on 30th August, 2020</li> <li>5. <b>District Production and Marketing Officer: <i>Okello Thomas</i></b>; was appraised by the CAO 1st July, 2020</li> <li>6. <b>District Community Development Officer: <i>Okello Tom</i></b> was appraised by the CAO on 20th July, 2020</li> <li>7. <b>District Commercial Officer: <i>Alobo Josephine</i></b> was appraised by the CAO on 1st July, 2020.</li> <li>8 <b>District Education Officer: <i>Ofumbi Fransces</i></b> was appraised by the CAO on 30th August 2020.</li> <li>9. <b>District Health Officer: <i>Dr. Ochen Buchan</i></b>: No appraisal documents for FY 2019/2020 were on file at the time of the assessment.</li> </ol>	0
7	<p>Performance management</p> <p>Maximum 5 points on this Performance Measure</p>	<p>ii. (in addition to "a" above) has also implemented administrative rewards and sanctions on time as provided for in the guidelines:</p> <p>Score 1 or else 0</p>	<p>There was no evidence presented to the Assessor to confirm that administrative Rewards and Sanctions were implemented in time as provided for in the guidelines .</p>	0

7	Performance management  Maximum 5 points on this Performance Measure	iii. Has established a Consultative Committee (CC) for staff grievance redress which is functional.  Score 1 or else 0	Lira DLG had not yet constituted a functional Consultative Committee by the time of conducting the assessment exercise.	0
8	Payroll management  Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of the staff recruited during the previous FY have accessed the salary payroll not later than two months after appointment:  Score 1.	<b>Lira DLG did not recruit new staff during FY 2019/2020</b> ; following guidance from MoPs, owing to the Covid 19 situation in the district. It was advisable for the DSC not to convene and engage in the various recruitment processes. The recruitment process was postponed to September 2020/2021.	1
9	Pension Payroll management  Maximum 1 point on this Performance Measure or else score 0	a. Evidence that 100% of staff that retired during the previous FY have accessed the pension payroll not later than two months after retirement:  Score 1.	The Assessor reviewed the list of retired staff (contains details of name of retiree, date of birth, date of retirement, position held at retirement among other details) and established that 15 staff retired during FY 2019/2020. The Assessor requested the PHRO to avail the first Pension Pay Slips for each of the retired staff to ascertain whether they all accessed the pension pay roll not later than two months after retirement. The Assessor confirmed that not all the retired staff accessed the pension payroll not later than two months of retirement. Out of a random sample of <b>5</b> retired staff, <b>3 accessed</b> within two months while <b>2 did not access</b> within two months as indicated below:  1. <b>James Bonny Apiri</b> retired as a Senior Education Officer on <b>1st December 2019</b> and accessed the Pension Payroll in <b>March 2020</b> under IPPS No. 188679  2. <b>Okello Sam</b> ; retired as an Education Assistant II, on <b>14th June 2020</b> and accessed the Pension Payroll of <b>July 2020</b> under IPPS No.188119.  3. <b>Olet Ogwang</b> , retired as a Parish Chief on <b>1st February 2020</b> and accessed the pension payroll of <b>April, 2020</b> under IPPS No. 188103  4. <b>Okodo Thomas</b> ; retired as an Education Assistant on <b>15th May 2020</b> and accessed the pension payroll of <b>June 2020</b> under IPPS No. 185036.  5. <b>Akidi Collins</b> , retired as a Headteacher-Primary, on <b>5th May 2020</b> and accessed the <b>June 2020</b> pension payroll under IPPS No.188138.  Some of the reasons given included; delayed submission of relevant documents to the PHRO office and inaccuracies in the submitted documents by some of the retired staff.	0



10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>a. If direct transfers (DDEG) to LLGs were executed in accordance with the requirements of the budget in previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG direct transfers (DDEG) to LLGs was Shs.727,146,617 executed in accordance with the requirements of the budgeted amount of Shs.727,146,617. The LLGs were; Adekokwok, Aali, Agweng, Mach, Aromo, Barr, Lira, Ngetta and Ogur.</p> <p>The DDEG received by LLGs were as follows;</p> <p>Q 1 Shs. 242,382,206</p> <p>Q 2 Shs. 242,382,206</p> <p>Q 3 Shs. 242,382,206</p>	2								
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. If the LG did timely warranting/ verification of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget:</p> <p>Score: 2 or else score 0</p>	<p>The LG made timely warranting of direct DDEG transfers to LLGs for the last FY, in accordance to the requirements of the budget. However, they were all beyond the mandatory dates of warranting.</p> <p>The warranting and transfers were on the following dates;</p> <table border="1" data-bbox="759 869 1422 1106"> <thead> <tr> <th>Date of notification</th> <th>Dates for warranting were;</th> </tr> </thead> <tbody> <tr> <td>Q 1- 09/07/2019</td> <td>17/07/2019</td> </tr> <tr> <td>Q 2- 02/10/2020</td> <td>14/10/2019</td> </tr> <tr> <td>Q 3 -08/01/2010</td> <td>15/01/2020</td> </tr> </tbody> </table>	Date of notification	Dates for warranting were;	Q 1- 09/07/2019	17/07/2019	Q 2- 02/10/2020	14/10/2019	Q 3 -08/01/2010	15/01/2020	0
Date of notification	Dates for warranting were;											
Q 1- 09/07/2019	17/07/2019											
Q 2- 02/10/2020	14/10/2019											
Q 3 -08/01/2010	15/01/2020											
10	<p>Effective Planning, Budgeting and Transfer of Funds for Service Delivery</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. If the LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter:</p> <p>Score 2 or else score 0</p>	<p>The LG invoiced and communicated all DDEG transfers for the previous FY to LLGs within 5 working days from the date of funds release in each quarter</p> <table border="1" data-bbox="759 1368 1422 1599"> <thead> <tr> <th>Date of Invoicing</th> <th>Date of Release of funds</th> </tr> </thead> <tbody> <tr> <td>Q 1- 15/07/2019</td> <td>14/08/2019</td> </tr> <tr> <td>Q 2- 11/10/2019</td> <td>23/10/2020</td> </tr> <tr> <td>Q 3 -13/01/2020</td> <td>03/02/2020</td> </tr> </tbody> </table>	Date of Invoicing	Date of Release of funds	Q 1- 15/07/2019	14/08/2019	Q 2- 11/10/2019	23/10/2020	Q 3 -13/01/2020	03/02/2020	2
Date of Invoicing	Date of Release of funds											
Q 1- 15/07/2019	14/08/2019											
Q 2- 11/10/2019	23/10/2020											
Q 3 -13/01/2020	03/02/2020											
11	<p>Routine oversight and monitoring</p> <p>Maximum 4 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality has supervised or mentored all LLGs in the District /Municipality at least once per quarter consistent with guidelines:</p> <p>Score 2 or else score 0</p>	<p>There was evidence of reports availed for supervision mentoring LLGs in the District at least once per quarter consistent with guidelines. These were contained in the reports dated; Q 1- dated 9th-20th October 20109; Q 2- dated 20th - 28th February 2020; Q 3 dated 10th - 23rd January 2020 and Q 4- 15th-26th June 2020. These covered all sub-counties in the District.</p>	2								

Routine oversight and monitoring

Maximum 4 points on this Performance Measure

b. Evidence that the results/reports of support supervision and monitoring visits were discussed in the TPC, used by the District/ Municipality to make recommendations for corrective actions and followed-up:

Score 2 or else score 0

The evidence of reports availed to the assessor showed the District supervised or mentored all LLGs in the District at least once per quarter consistent with guidelines. The assessor was provided with soft copies, which has observations, findings and recommendations. There are also photographs showing photographs and status of the projects. There was also a joint TPC meeting dated 7th January 2020 under, Min3b)/TPC/1/2020

Q 1-QUARTER 1 (July- September 2019)Synthesis Report (FIELD FINDINGS, ACTIONS AND RECOMMENDATIONS)-Monitoring dates, 9th to 20th October 2019 .

Q 2-QUARTER 2 (October - December 2019), PROJECT MONITORING Synthesis Report (FIELD FINDINGS, ACTIONS AND RECOMMENDATIONS)Monitoring dates, 20th to 28th February 2020.

Q 3-QUARTER 3 (January – March 2019), PROJECT MONITORING Synthesis Report (FIELD FINDINGS, ACTIONS AND RECOMMENDATIONS)

FOR FY 2019/2020-Monitoring dates,10th to 23rd Jan 2020.

Q 4-QUARTER 4 (April - June 2020), PROJECT MONITORING Synthesis Report (FIELD FINDINGS, ACTIONS AND RECOMMENDATIONS)-Monitoring dates,15th to 26th June 2020.

***The objectives of the monitoring were to determine:***

- (i) Whether projects that were planned by **the District** are being implemented as planned
- (ii) The gaps in the implementation of the projects that needs to be addressed.
- (iii) The existing challenges in service delivery that needs intervention at various levels of Governance.
- (iv) To make appropriate recommendations regarding the implementation of projects to ensure sustainability and value for money

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>a. Evidence that the District/Municipality maintains an up-dated assets register covering details on buildings, vehicle, etc. as per format in the accounting manual:</p> <p>Score 2 or else score 0</p>	<p>The evidence provided evidence that the LG maintained assets register and was up to-date by the time of assessment on 17th-18th December 2020. The assets were; heavy equipment, land and buildings, motor-vehicles, motor cycles, furniture, office equipment and stores consumables. The latest assets to be registered were; Honda 125 XL, UF 0237, Chassis no.-LTMJD2192 F 5330776, Engine no. JD21/E2330033; Toyota Hilux, UG 6691M.</p>	2
		<p><b>Note: the assets covered must include, but not limited to: land, buildings, vehicles and infrastructure. If those core assets are missing score 0</b></p>		
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>b. Evidence that the District/Municipality has used the Board of Survey Report of the previous FY to make Assets Management decisions including procurement of new assets, maintenance of existing assets and disposal of assets:</p> <p>Score 1 or else 0</p>	<p>The District availed the Board of Survey Report dated 28th September 2020, referenced AGO/009/81/20. The report included assets management decisions and actions on procurement of new assets, maintenance of existing assets and disposal of assets. The assets recommended by the LG to disposed were found on page 5. The assets were old furniture and fittings.</p>	1
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>c. Evidence that District/Municipality has a functional physical planning committee in place which has submitted at least 4 sets of minutes of Physical Planning Committee to the MoLHUD. If so Score 2. Otherwise Score 0.</p>	<p>The LG had a functional physical planning committee in place at the District comprising of 9 members who had a joint appointment letter. The physical planner failed to avail 3 copies of Minutes of Q, 1, Q 2 and Q 4 which are very fundamental.</p> <p>Below was the status of documentation;</p> <p>There were four sets of Minutes availed to the assessor dated</p> <p>i. There was no Approved Physical Development plan.</p> <p>ii. The plans records register was availed.</p> <p>iii. Quarterly reports.</p> <p>Q 1-Minutes missing</p> <p>Q 2-Minutes missing</p> <p>Q 3-Minutes were availed and acknowledged by MoLHUD.</p> <p>Q 4 - Minutes missing</p>	0

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>d.For DDEG financed projects;</p> <p>Evidence that the District/Municipality has conducted a desk appraisal for all projects in the budget - to establish whether the prioritized investments are: (i) derived from the LG Development Plan; (ii) eligible for expenditure as per sector guidelines and funding source (e.g. DDEG). If desk appraisal is conducted and if all projects are derived from the LGDP:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that the District conducted a desk appraisal for all projects in the budget and the prioritized investments were derived from the LG Development Plan eligible for expenditure as per sector guidelines and funding source. The desk appraisal conducted 36 projects and were derived from the LGDP. This was as per desk appraisals were on the following pages of LG DP; 252, 253C254, 260,261,262,267 and 268. The appraisals were if the land for the project had any dispute; if the project was in a wetland or adverse effect on a wetland or impacted on the community negatively. The screening notes were also availed alongside the appraisals</p>	2
12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>For DDEG financed projects:</p> <p>e. Evidence that LG conducted field appraisal to check for (i) technical feasibility, (ii) Environmental and social acceptability and (iii) customized design for investment projects of the previous FY:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that LG conducted field appraisal to check for technical feasibility, environmental and social acceptability and customized design for investment projects. This was as per report dated 12th July 2020 which looked at 28 DDEG projects for fy 2019/20.</p> <p>The 3 sampled projects were;</p> <p>a. Renovation of 3 classroom block at Aler PS, by Ms. Allianz Ltd., Lira531/Wrks/2019-20/00004. Shs.70,927,440.</p> <p>b. Construction of 4 stance latrine at Alworo PS, and placenta pit at Amach HC IV. Lira531/Wrks/2019-20/00012. Shs.26,407,540.</p> <p>c. Construction of 4 classrom block at Amkoge PS. Lira531/Wrks/2019-20/00006. Shs.87,330,620.</p>	2

12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>f. Evidence that project profiles with costing have been developed and discussed by TPC for all investments in the AWP for the current FY, as per LG Planning guideline and DDEG guidelines:</p> <p>Score 1 or else score 0.</p>	<p>The LG provided evidence that project profiles with costing were developed and discussed by Technical Planning Committee for all investments in the AWP (pages 79 and 87) for fy 2020/21, as per LG Planning guideline and DDEG guidelines (pages, 16-18). These minutes dated 7th January 2020 under Min4a)/TPC/8/2019, were provided as evidence.</p> <p>The project profiles costed and appraised were;</p> <p>i. The cost of rehabilitation of classroom bock at Amokogee P/S iShs. 88,400,000.</p> <p>ii. Alworo P/S; rehabilitation of classroom block is estimated Shs. 87,600,000.</p> <p>iii. Ayamo P/S, 3-classroom block to be rehabilitated Shs. 59,3000,000.</p> <p>iv. Ngetta girls P/S; 83.3 million is needed to rehabilitate the classroom block, Shs.83,300,000</p> <p>v. Teokole P/S; estimated cost to rehabilitate Shs. 76,800,000.</p> <p>vi. Olake P/S; estimated cost to rehabilitated Shs. 85,200,000.</p>	1
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12	<p>Planning and budgeting for investments is conducted effectively</p> <p>Maximum 12 points on this Performance Measure</p>	<p>g. Evidence that the LG has screened for environmental and social risks/impact and put mitigation measures where required before being approved for construction using checklists:</p> <p>Score 2 or else score 0</p>	<p>The DLG screened for environmental and social risks/impact for the current FY.Examples of projects include;</p> <p>Construction of 2 stance latrine at Owinyo PS and 4 stance latrine at Agali PS .contract amount UGX 31,269,000.screening was done by environment officer and DCDO on 31st July 2020</p> <p>Completion of District medical store DHOs office. Contract amount UGX 20,000,000. Screening was done by environment officer and DCDO on 31st July 2020</p> <p>Construction of 0.35m3 Incinerator at Ogur HC IV and Amach HC IV Contract amount UGX 40,000,000. Screening was done by environment officer and DCDO on 31st July 2020</p> <p>Mitigation measures addressed were; minimize construction debris on site, wearing of PPEs including face masks for workers, monitor site for soil erosion, tree species of trees established for windbreaks</p>	2
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13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	a. Evidence that all infrastructure projects for the current FY to be implemented using the DDEG were incorporated in the LG approved procurement plan  Score 1 or else score 0	As per the Approved Budget Estimates, all infrastructure projects (to be funded under DDEG) were incorporated in the AWP and Procurement Plans for the current FY including;  1) Construction of a 4-Stance Drainable Latrine at <b>Agali P/S</b> ; Agali S/County; Estimated at UGX 20,000,000/=. The Contract ( <i>LIRA531/WRKS/20-21/00009</i> ) has since been awarded to M/S Parady Investments Ltd at a Price of <b>UGX 19,959,700/=</b> .  2) Construction of a 2-Stance Drainable Latrine at <b>Owinyo P/S</b> (for Staff); Adekokwok S/County; Estimated at UGX 11,269,000/=. The Contract ( <i>LIRA531/WRKS/20-21/00010</i> ) has since been awarded to M/S Amen Enterprises Ltd at a Price of <b>UGX 10,000,000/=</b> .  3) Partial Fencing of <b>Natural Resources Department</b> (with Chain Link) at Lira DLG; Estimated at UGX 10,000,000/=. The Contract ( <i>LIRA531/WRKS/20-21/00030</i> ) has since been awarded to M/S Masa Contractors Ltd at a Price of <b>UGX 8,626,980/=</b> .	1
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	b. Evidence that all infrastructure projects to be implemented in the current FY using DDEG were approved by the Contracts Committee before commencement of construction: Score 1 or else score 0	The Contracts Committee approved, among others the implementation of DDEG funded infrastructure projects current FY.  The sampled projects approved included the following;  1. Construction of a 4-Stance Drainable Latrine at <i>Agali P/S</i> ; Agali S/County; <b>LIRA531/WRKS/20-21/00009</b>  2. Construction of a 2-Stance Drainable Latrine at <i>Owinyo P/S</i> (for Staff); Adekokwok S/County; <b>LIRA531/WRKS/20-21/00010</b>  3. Partial Fencing (with Chain Link) of <i>Natural Resources Department</i> (with Chain Link) at Lira DLG; <b>LIRA531/WRKS/20-21/00030</b>  The above projects were approved by the 4th Contracts Committee meeting; under <b>Min. No. 003/DCC4/2020/2021</b> , held on 26/10/2020	1

Procurement, contract management/execution  
 Maximum 8 points on this Performance Measure

c. Evidence that the LG has properly established the Project Implementation team as specified in the sector guidelines:

Score 1 or else 0

There was evidence of proper establishment of Project Implementation Team by the CAO

A Copy of joint appointment of the DE (Project Manager), DEO, DHO, & DWO (as Contract Managers), the DNRO, and the DCDO (among other officers) as members of the PIT for a number of Contracts/Projects awarded by Lira DLG for the FY 2019/2020 was seen by the Assessor. The letter was signed by CAO, dated 2/10/2019.

The Clerk of Works was appointed by CAO (on contract) in a letter dated 8/8/2019 for the Construction of Agali Seed S.S

Procurement, contract management/execution  
  
Maximum 8 points on this Performance Measure

d. Evidence that all infrastructure projects implemented using DDEG followed the standard technical designs provided by the LG Engineer:

Score 1 or else score 0

Infrastructure projects under DDEG Funding were found to be compliant with the standard designs and specifications as provided by the LG engineer. The sampled projects included;

1) Construction of a 2-Classroom Block “with at Office” **Barapwo P/S** – Lira S/County; followed Standard technical designs – that called for each Classroom to measure 7800x6000mm on the interior, 230mm thick bricks-sand Mortar – in well burnt clay bricks reinforced with hoop iron at intervals; the Ring beam cast at 2400mm height. The roofing was done in Corrugated Iron Sheets on Impregnated Timber trusses. These conditions were met accordingly as per the supervision report and the Assessor’s Physical site check. The office was taken (re-scoped – Variations) out upon recommendation of the Project Supervisor – Ariong Francis, and the PIT in a site meeting dated 6/11/2019, approved accordingly thru CAO and the Contracts Committee.

2) Renovation of a 4-Classroom Block at **Olaka P/S** - Lira S/County; up to standard with the extension of height of the Structure, new Ring Beams in Reinforced Concrete in T12mm MS Bars and R8mm rings at 200mm spacing. Addition of Masonry walls up to Wall plate level – 1000mm high, Re-Roofing was done in Corrugated Iron sheets (maroon colored), Ceiling Works in Expanded Metal Lathe in Cement/Sand Mortar only in the H/M’s Office. The re-plastering, Repair of Doors and Windows, etc. The painting was also done on the interior and exterior. No defects were observed. All the above were met during execution

3) The 3-Stance Drainable Latrine block at **Adyaka Market**, Agali S/County was also compliant. According to the technical specifications of the 3-stance drainable latrine, the block was to have 3 stances for toilets (one stance for the disabled people – 1000mmx2000mm, with Hand Rails fixed on Walls) and a fourth stance as a bathroom/urinal. The access to the stances had to be ramped and an inspection cover had to be provided to allow for emptying. The door shutters (900x2100mm) to each stance had to be metallic, painted in suitable oil paint and the floor had to be finished smooth in cement screed. These conditions were met in the 3-stance Latrine block at Adyaka Market Site



13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	e. Evidence that the LG has provided supervision by the relevant technical officers of each infrastructure project prior to verification and certification of works in previous FY. Score 2 or else score 0	The DE and/or his representative (Civil Engineer– <i>Ariong Francis</i> ) were present during the supervision of works.  Prior to verification and certification of Works the inspection reports seen for all the projects sampled, the Environmental Officer and the DCDO participated in the certification of works (sampled dates included 15/2/2020, 5/5/2020, etc)  The sampled projects included;  1) Construction of a 2-Classroom Block at Barapwo P/S – Lira S/County  2) Renovation of a 4-Classroom Block at Olaka P/S – Lira S/County  3) Construction of a 3-Stance Drainable Latrine at Adyaka Market, Agali S/Cty; and a 2-Stance at Barr S/Cty	2
13	Procurement, contract management/execution  Maximum 8 points on this Performance Measure	f. The LG has verified works (certified) and initiated payments of contractors within specified timeframes as per contract (within 2 months if no agreement):  Score 1 or else score 0	The LG verified works (certified) and initiated payments of contractors within specified time-frames as per contract (within 2 months if no agreement).  i. Renovation of 4-Classroom block at Ayamo primary school by AJUTANT HOLDINGS LIMITED. <b>LIRA531/WRKS/19-20/00007</b> . Requested for payment on 04/05/20. Certified for payment on 06/05/20. Paid on 26/05/20 by EFT. No. 29619579, Shs. 56,257,273.  ii. Renovation of 3-Classroom block at Aler primary school by Allianz Limited. <b>LIRA531/WRKS/2019-20/00004</b> . Requested for payment on 25/02/20. Certified on 16/3/20. Paid on 30/04/20 by EFT. No. 29254391, Shs. 67,349,680.  iii. Retention for the Renovation of classroom at Wigweng PS by JENACO LIMITED. <b>LIRA531/WRKS/18-19/00016</b> . Requested for payment on 16/06/20. Certified for payment on 17/06/20. Paid on 24/06/20 by EFT. No. 30420872, Shs.3,385,686.  iv. Rehabilitation of 4 deep boreholes Lot 1; in the Sub Counties of Ogur, Aromo & Agweng by ELAJ INVESTMENT GROUP LIMITED. <b>LIRA531/WRKS/19-20/00016</b> . Requested for payment on 5/3/2020. Certified for payment on 8/03/2020. Paid on 9/03/2020 by EFT. No. 28432758, Shs.15,200,000.	1

<p>Procurement, contract management/execution</p> <p>Maximum 8 points on this Performance Measure</p>	<p>g. The LG has a complete procurement file in place for each contract with all records as required by the PPDA Law:</p> <p>Score 1 or else 0</p>	<p>From the Procurement Plan and procurement Files; there were complete procurement file for the sampled projects; including the Contract documents, approved Evaluation reports, memos of Bid Acceptance and Award of Contract indicating the Contracts Committee (C.C) approvals like</p> <p>1) Construction of a 2-Classroom Block at Barapwo P/S – Lira S/County - <b>LIRA531/WRKS/19-20/00005</b>; approved by the 2nd sitting of the Contracts Committee meeting; under <i>Min. No. 003/DCC5/19-20</i>, held on 29/8/2019 after thorough evaluation.</p> <p>The contract document was signed on 30th September 2019 with a Contract price of UGX 58,984,000/= awarded to M/S Shalka General Enterprises (U) Ltd.</p> <p>2) Renovation of a 4-Classroom Block at Olaka P/S - Lira S/Cty - <b>LIRA531/WRKS/19-20/00008</b>, approved by the Contracts Committee meeting; under <i>Min. No. 004/DCC4/2019/20</i>, held on 24/10/2019 after thorough evaluation.</p> <p>The contract document was signed on 25th November 2019 with a Contract price of UGX 81,844,358/= awarded to M/S Roman Jak &amp; Co. Ltd.</p> <p>3) Construction of a 3-Stance Drainable Latrine at Adyaka Market, Agali S/Cty; and a 2-Stance at Barr S/Cty - <b>LIRA531/WRKS/19-20/00010</b>; approved by the 5th sitting of the Contracts Committee meeting; under <i>Min. No. 003/DCC5/2019/2020</i>, held on 23/12/2019 after thorough evaluation..</p> <p>The contract document was signed on 17th January 2020 with a Contract price of UGX 25,528,500/= awarded to M/S Lira United Market Vendors Ltd.</p>
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### Environment and Social Safeguards

<p>Grievance redress mechanism operational.</p> <p>Maximum 5 points on this performance measure</p>	<p>a. Evidence that the District/Municipality has i) designated a person to coordinate response to feed-back (grievance /complaints) and ii) established a centralized Grievance Redress Committee (GRC), with optional co-option of relevant departmental heads/staff as relevant.</p> <p>Score: 2 or else score 0</p>	<p>There was evidence that the District had a designated person to coordinate response to feed-back and an established centralized Grievance Redress Committee (GRC) . example</p> <p>A letter Referenced; Appointment as District Focal Point person Ref CR/105/1-(Mr Paul Samuel Mbiwa) the DCAO, letter signed by the CAO on 8th July 2019 and centralized Grievance Redress Committee (GRC) formed with departmental heads as the Grievance Redress committee members in Education, Water, Production, Works, Community based services and Natural resources ref CR/105/1 dated 8th July and signed by CAO.</p>
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14	Grievance redress mechanism operational.	b. The LG has specified a system for recording, investigating and responding to grievances, which includes a centralized complaints log with clear information and reference for onward action (a defined complaints referral path), and public display of information at district/municipal offices.	<p>There was evidence the LG had a specified system for recording, investigating and responding to grievances, which included Reports in file with clear information about grievances from community and departments. Example of grievances;</p> <p>There is a Grievance and disputes resolution framework in Lira district CR/168/3 dated 6th December 2019 .Most of the grievances were human resource in nature .example</p> <p>A case of unpaid salary arrears of Odonyorik Luciano education assistant ,loss of funds and abuse of office by one Ayo Peter of Agak PS.Findings- mediation is still ongoing,</p> <p>A letter by one Olwa Amar Moses headteacher Adekokwok PS adressed a letter to CAO through <b>Chairperson GRC</b> Paul Samuel Mbiwa . The report indicated a missing teacher in school. .However,in another letter the teacher reported back to the station and changed in behavior .report dated 16th December 2020</p> <p>A letter to CAO informing him of Mr (Omara Peter)SAS-Ogur Sub county who got an accident on 12th November 2020 and transferred to Mulago hospital for further management. letter signed by CDO-Ogur sub county</p>	2
	Maximum 5 points on this performance measure	If so: Score 2 or else 0		
14	Grievance redress mechanism operational.	c. District/Municipality has publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress.	<p>There was Insufficient evidence that the DLG publicized the grievance redress mechanisms so that aggrieved parties know where to report and get redress. Example;</p> <p>Report on public Radio talk show held on FM 94.3 Radio QFM on the performance of the district as we close FY 2019/20 on 28th July 2020 from 6-9pm.participants included chairperson LC V, District Vice chairperson,SDCO assigned the Public Relations officer.</p> <p>A. number of calls made confirming location of DLG projects example one caller from akia said culvert installed at Akia PS road had been damaged calling the district to intervene, issues of Covid 19 impeded recruitment of doctors and other health staff for Ogur HC IV.report compiled by SCDO/PRO Dated 29th July 2020</p>	0
	Maximum 5 points on this performance measure	If so: Score 1 or else 0		

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>a. Evidence that Environment, Social and Climate change interventions have been integrated into LG Development Plans, annual work plans and budgets complied with: Score 1 or else score 0</p>	<p>The LG provided evidence to the assessor indicating that Environment, Social and Climate change interventions were integrated into LG Development Plans (pages-87,88, AWP (page 87) and Annual Budget Performance Report (pages-133,136, 139,141, 144, 150 and 151) complied with budgets.</p> <p>The interventions among others were;</p> <ul style="list-style-type: none"> <li>a. Waste management to avoid diseases.</li> <li>b. Harvesting of water to save people from walking long distances.</li> <li>c. Trainings in HIV/ AIDS prevention.</li> <li>d. Planting of trees so that roofs of hospitals and schools.</li> <li>d. Non-employment of under age children.</li> </ul>	1
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15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>b. Evidence that LGs have disseminated to LLGs the enhanced DDEG guidelines (strengthened to include environment, climate change mitigation (green infrastructures, waste management equipment and infrastructures) and adaptation and social risk management  score 1 or else 0</p>	<p>The LG disseminated to LLGs the enhanced DDEG guidelines that strengthened and included, environment, climate change mitigation and adaptation and social risk management. This was contained in a circular dated 26th August 2019 which was also discussed in the Budget Framework. There was also a talk barazas organized in the sub-counties on . The dissemination of DDEG guidelines was also during the Budgetary Framework Paper meeting on 24th February 2020 which was attended by all LLGs officials.</p>	1
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15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>(For investments financed from the DDEG other than health, education, water, and irrigation):</p> <p>c. Evidence that the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG infrastructure projects of the previous FY, where necessary:</p> <p>score 3 or else score 0</p>	<p>There was evidence seen other than health, education, water, and irrigation)where the LG incorporated costing Environment and Social Management Plans (ESMPs) into designs, BoQs, bidding and contractual documents for DDEG projects example;</p> <p>Under production, construction of 3 stance drainable latrine at Adyaka market and 2 stance drainable latrine at Barr subcounty REF Lira531/wrks/2019-20/00013 .Contractor Lira United Market Vendor Ltd. contract amount UGX 25,438,000 under preliminaries in the BOQ allow for tree planting under preliminaries A.2.1 UGX 100,000 ,</p> <p>Under administration, construction of affordable staffhouse at Onyakedi PS,Completion of Askaris house at Amach HC IV,Tiling of Pig slaughter slab and Abbatoir at Amach market sub county REF Lira531/wrks/2019-20/00011 .Contractor Magum Technical services Ltd. contract amount UGX 22,950,000 under description(C) Drainage, excavate drainage channel round and lead to soak pit at UGX 210,000 ,</p>	3
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>d. Examples of projects with costing of the additional impact from climate change.</p> <p>Score 3 or else score 0</p>	<p>There was evidence of costing for the additional impact from climate change from the infrastructure projects seen by the time of assessment. Examples include;</p> <p>Construction of 2 classroom block with office at Barpww PS. Ref Lira531,wrks/2019-20/00005 contractor; Shalka General enterprises (U) Ltd.In the BOQ under preliminaries -Plant trees to protect the environment costed at UGX 300,000</p> <p>Under production, construction of 3 stance drainable latrine at Adyaka market and 2 stance drainable latrine at Barr sub county REF Lira531/wrks/2019-20/00013 .Contractor Lira United Market Vendor Ltd. contract amount UGX 25,438,000 under preliminaries in the BOQ allow for tree planting under preliminaries A.2.1 UGX 100,000 ,</p> <p>Environmental mitigation by planting approved seedlings and maintaining for each project which is a climate change adaptation strategy</p>	3

15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>e. Evidence that all projects are implemented on land where the LG has proof of ownership, access, and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances:</p> <p>Score 1 or else score 0</p>	<p>The LG had no evidence proof of ownership, access, and availability of land without any encumbrances (e.g. a land title, agreement; Formal Consent, MoUs, etc.), at the time of assessment.</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>f. Evidence that environmental officer and CDO conducts support supervision and monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 1 or else score 0</p>	<p>The Environmental officer conducted support supervision and monitoring to ascertain compliance with ESMPs for infrastructural projects for example;</p> <p>Environmental and Social monitoring report for Planned education sector projects during FY 2019/20 .Report addresses project, environmental and social issues, progress of monitoring , time and recommendations. Example materials not required on site to be removed, building needs to be tagged with warning tape, road signage to guide traffic should be maintained. report signed by Environment officer and DCDO on 18th February 2020</p> <p>Environmental and Social monitoring report for Planned water sector projects during FY 2019/20 .Report addresses project, environmental and social issues, progress of monitoring , time and recommendations monitored projects include; Production well at lwal Trading center, Construction of ferro tanks at Amakoge PS, Ocamonyany PS and Teokole.Contractors to plant trees and encourage use of PPEs.report signed DCDO and environment officer on 25th August 2019</p> <p>However there were no monthly reports seen at the time of assessment</p>	0
15	<p>Safeguards for service delivery of investments effectively handled.</p> <p>Maximum 11 points on this performance measure</p>	<p>g. Evidence that E&amp;S compliance Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects:</p> <p>Score 1 or else score 0</p>	<p>There was no evidence of E&amp;S compliance Certification forms that were seen and signed by Environmental Officer and CDO prior to payments of contractors' invoices/certificates at interim and final stages of projects at the time of assessment.</p>	0

**Financial management**

16	<p>LG makes monthly Bank reconciliations</p> <p>Maximum 2 points on this Performance Measure</p>	<p>a. Evidence that the LG makes monthly bank reconciliations and are up to-date at the point of time of the assessment:</p> <p>Score 2 or else score 0</p>	<p>The LG provided evidence that the LG made monthly bank reconciliations and are up to-date at the point of time of the assessment on 17th-18th December 2020.</p> <p>The banks and balances 30th December 2020 were as follows;</p> <ol style="list-style-type: none"> <li>1. District Treasury Single A/c/ No. 090035310402000000, Shs.0</li> <li>2. Lira District UWEP a/c. No. 09003531000000, Shs.1,062,435.</li> <li>3. Lira Revenue Collection a/c No.090035310402000000, Shs.1,170,150</li> </ol>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that LG has produced all quarterly internal audit (IA) reports for the previous FY.</p> <p>Score 2 or else score 0</p>	<p>There was evidence that LG produced all quarterly internal audit (IA) reports. The letters of submission of reports were also attached to the reports.</p> <p>The dates of submission of reports were as follows;</p> <p>Q 1- 15/11/2019</p> <p>Q 2 -20/02/2020</p> <p>Q 3- 29/05/2020</p> <p>Q 4- 30/08/2020</p>	2
17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the LG has provided information to the Council/ chairperson and the LG PAC on the status of implementation of internal audit findings for the previous FY i.e. information on follow up on audit queries from all quarterly audit reports.</p> <p>Score 1 or else score 0</p>	<p>There was evidence that the LG provided information to the Council and chairperson and the LG PAC on the status of implementation of internal audit findings on all the four quarters. The reports are dated 12th June 2020 and 10th August 2020 on for follow-up on the audit findings by the Internal Auditor. There was also a follow-up on audit queries on all quarterly audit reports in the letter dated 24th November from the Chairman LG PAC to the CAO about the recommendations made by the committee.</p>	1

17	<p>LG executes the Internal Audit function in accordance with the LGA Section 90</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that internal audit reports for the previous FY were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and followed-up:</p> <p>Score 1 or else score 0</p>	<p>The LG provided evidence that internal audit reports for the previous FY 2019/20 were submitted to LG Accounting Officer, LG PAC and that LG PAC has reviewed them and made a follow-up. This was in reference to the letter dated from the Chairperson Mr. Santos Moro Acuda to the Chief Administrative Officer dated 20th November 2020, detailing audit findings and recommendations by the LG PAC.</p> <p>The dates for the 4 Quarters were as follows;</p> <p>Q 1-Min.3/5/LGPAC/Lira/2020- Dates for meetings-24th-26th June 2020 and 3rd, 8th and 10th June 2020.</p> <p>Q 2-Min.4/5/LGPAC/Lira/2020- Dates for meetings-24th-26th June 2020 and 3rd, 8th and 10th June 2020.</p> <p>Q 3-Min.2/5/LGPAC/Lira/2020- Dates for meetings-10th July 2020.</p> <p>Q 4-Min.1/5/LGPAC/Lira/2020- Dates for meetings-20th-21st - 22nd October 2020.</p>	1
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**Local Revenues**

18	<p>LG has collected local revenues as per budget (collection ratio)</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If revenue collection ratio (the percentage of local revenue collected against planned for the previous FY (budget realization) is within +/- 10 %: then score 2 or else score 0.</p>	<p>Actual Revenue collection for 2019/20 was Shs.246,210,982 (page 12) and planned was Shs.393,937,570. This implies a difference of Shs.147,726,588 indicating a decrease of 37%. This was more than 10%.</p>	0
19	<p>The LG has increased LG own source revenues in the last financial year compared to the one before the previous financial year (last FY year but one)</p> <p>Maximum 2 points on this Performance Measure.</p>	<p>a. If increase in OSR (excluding one/off, e.g. sale of assets, but including arrears collected in the year) from previous FY but one to previous FY</p> <ul style="list-style-type: none"> <li>• If more than 10 %: score 2.</li> <li>• If the increase is from 5% -10 %: score 1.</li> <li>• If the increase is less than 5 %: score 0.</li> </ul>	<p>The actual OSR for the fy 2018/19 was Shs. 388,702,728 and 2019/20 was Shs. 246,210.982. There was a decrease of Shs. 142,491,746. This indicates a decrease of 37% which is more than 10%.</p>	0



20	Local revenue administration, allocation, and transparency	a. If the LG remitted the mandatory LLG share of local revenues during the previous FY: score 2 or else score 0	The LG collected Shs.246,210,982 (Final accounts, page 29) but remitted Shs.209,405,842 the mandatory LLG share of local revenues for the FY 2019/20. The LLGs were; Adekokwok, Agali, Agweng, Amach, Aromo, Barr, Lira, Ngetta and Ogur. This was as per communication by the Chief Administrative Officer dated 16th December 2019 for Q 1 and Q 2; the other one dated 21st April 2020 was for Q 3 and Q 4.	2
	Maximum 2 points on this performance measure.			

The remittance to LLGs for each quarter was as follows.

Q 1 - Shs.52,351,460

Q 2 - Shs.52,351,460

Q 3 - Shs.52,351,460

Q 4 - Shs.52,351,460

### Transparency and Accountability

21	LG shares information with citizens	a. Evidence that the procurement plan and awarded contracts and all amounts are published: Score 2 or else score 0	The Procurement Plan, and Awarded Contracts were duly published/displayed on the Lira DLG Procurement Notice board for Public View.	2
	Maximum 6 points on this Performance Measure		Examples of Projects, among others included;	

1. Contract to *M/S Allianz Ltd*; for Construction of Iwal Solar Water supply Scheme in Lira District -; Proc. Ref. No. **LIRA531/WRKS/20-21/00001**; with a Contract sum - **UGX 245,494,752/=**.

The display was signed and Stamped (by CAO) for display on the 29/7/2020, and date of removal was 11/8/2020.

2. Contract to *M/S KLR (U) Ltd*; for Siting, Drilling, Pump testing and Installation of 3 (Three) Deep Boreholes and 1 Production Well; - Proc. Ref. No. **LIRA531/WRKS/20-21/00002**; with a Contract sum - **UGX 86,629,700/=**.

The display was signed and Stamped (by CAO) for display on the 29/7/2020, and date of removal was 11/8/2020.

3. Contract to *M/S Masa Contractors Ltd*; for Partial Fencing of Natural Resources Department; Proc. Ref. No. **LIRA531/WRKS/20-21/00030**; with a Contract sum - **UGX 8,626,980/=**.

The display was signed and Stamped (by CAO) for display on the 26/10/2020, and date of removal was 6/11/2020.

21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>b. Evidence that the LG performance assessment results and implications are published e.g. on the budget website for the previous year: Score 2 or else score 0</p>	<p>The LG performance results were displayed as per memo dated 14th October 2020 signed by the CFO. The results were also displayed in various noticeboards including the Administration one at the Administrative block.</p> <p>The results showed;</p> <ul style="list-style-type: none"> <li>a. Rank 43</li> <li>b. Average Score 72%</li> <li>c. Accountability requirements, 83%</li> <li>d. Cross cutting, 56%</li> <li>e. Education 79%</li> <li>f. Health 69%</li> <li>g. Water 82%</li> </ul>	2
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>c. Evidence that the LG during the previous FY conducted discussions (e.g. municipal urban fora, barazas, radio programmes etc.) with the public to provide feed-back on status of activity implementation: Score 1 or else score 0</p>	<p>The LG conducted discussions with the public and provided feed-back on status of projects and activity implementation. There is reference to the talk show dated 28th July 2020 titled; Presentation on Agriculture Extension and Other Advisory Services Support to Urban and Peri-Urban Areas on Radio WA, 89.8 FM. The LG also uses the Lira District Web-site, <a href="http://www.liradistrict.com">www.liradistrict.com</a>.</p>	1
21	<p>LG shares information with citizens</p> <p>Maximum 6 points on this Performance Measure</p>	<p>d. Evidence that the LG has made publicly available information on i) tax rates, ii) collection procedures, and iii) procedures for appeal: If all i, ii, iii complied with: Score 1 or else score 0</p>	<p>The LG publicized information on, tax rates, collection procedures, and procedures for appeal to the public. The confirmation was by the Chief Finaance Officers' communication in a circular dated 24th April 2020 and on the official Website; <a href="http://www.liradistrict.com">www.liradistrict.com</a>.</p>	1
22	<p>Reporting to IGG</p> <p>Maximum 1 point on this Performance Measure</p>	<p>a. LG has prepared an IGG report which will include a list of cases of alleged fraud and corruption and their status incl. administrative and action taken/being taken, and the report has been presented and discussed in the council and other fora. Score 1 or else score 0</p>	<p>The was no report provided on cases of fraud and corruption in the District as reported by Clerk to Council on 18th December 2020.</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>a) The LG PLE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 4</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>We obtained and reviewed the PLE results for 2018 and 2019 and calculated the percentage change in performance. We noted that the PLE performance increased by 1.6% as evidenced below:</p> <p>3,999 out of 5,848 (68.4%) pupils who sat PLE in 2018 passed between grade 1 and 3</p> <p>4,276 out of 6,121 (70%) pupils who sat PLE in 2019 passed between grade 1 and 3</p> <p>Thus, the percentage increase was 1.6%</p>	2
1	<p>Learning Outcomes: The LG has improved PLE and USE pass rates.</p> <p>Maximum 7 points on this performance measure</p>	<p>b) The LG UCE pass rate has improved between the previous school year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 3</li> <li>• Between 1 and 5% score 2</li> <li>• No improvement score 0</li> </ul>	<p>We obtained and reviewed the UCE results for 2018 and 2019 and calculated the percentage change in performance. We noted that the UCE performance decreased by -1.7% as evidenced below:</p> <p>707 out of 964 (73.3%) students who sat UCE in 2018 passed between grade 1 and 3</p> <p>713 out of 992 (71.6%) students who sat UCE in 2019 passed between grade 1 and 3</p> <p>Thus, the percentage decrease was -1.7%</p>	0
2	<p>Service Delivery Performance: Increase in the average score in the education LLG performance assessment.</p> <p>Maximum 2 points</p>	<p>a) Average score in the education LLG performance has improved between the previous year but one and the previous year</p> <ul style="list-style-type: none"> <li>• If improvement by more than 5% score 2</li> <li>• Between 1 and 5% score 1</li> <li>• No improvement score 0</li> </ul>	<p>To be scored Zero for all LGs in Y1 &amp; Y2</p>	0

3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>a) If the education development grant has been used on eligible activities as defined in the sector guidelines: score 2; Else score 0</p>	<p>There was evidence that the education development grant was used on eligible activities as per sector guidelines. The review of the LG quarterly performance report (Q4) FY 2019/20 (page18) revealed that the approved sector development grant of UGX 2,115,213,000 was released in FY 2019/20 and spent on capital investments representing 144% of approved budget of Shs.1,470,484,000.</p> <p>Specific details outlined below:</p> <p>Construction of (2) classrooms at Barapwo PS, (12) classrooms rehabilitated in the primary schools of Ayamo, Aler, Olaka and Amokoge at a total cost of UGX461,509,000</p> <p>Construction at Agali Seed SS at cost of Shs.839,995,000</p> <p>Construction of (3) Semi-detached staff houses for teachers at a cost of Shs.428,939,000</p> <p>Construction of a multi-purpose science laboratory at Agali Seed secondary school at Shs.242,548,000</p> <p>Etc.</p>	2
3	<p>Investment Performance: The LG has managed education projects as per guidelines</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If the DEO, Environment Officer and CDO certified works on Education construction projects implemented in the previous FY before the LG made payments to the contractors score 2 or else score 0</p>	<p>We established that the payment certificates for the education construction projects implemented in FY 2019/20 were certified by the District Community Officer (DCO) and Environment Officer (EO) as required in the LGPA manual (2020).</p> <p>For example:</p> <p>Interim Payment certificate No.1:</p> <p>Proc Ref.no. MoES//WRKS/18-19/00119</p> <p>Project name: Construction of Agali Seed Secondary School</p> <p>Certificate Price Shs.309,898,218</p> <p>Payment certified by: District Engineer, DEO (vote controller), DIA, Environment Officer, District (EO) Community Office (DCDO), CFO and CAO dated 16th December 2019</p> <p>Interim Payment certificate No.4:</p> <p>Proc Ref.no. MoES//WRKS/18-19/00119</p> <p>Project name: Construction of Agali Seed Secondary School</p> <p>Certificate Price Shs.339,098,355</p> <p>Payment certified by: Clerk of works, District Engineer, DEO (vote controller), DIA, and CFO dated 22nd June 2020 (not certified by DCDO &amp; EO)</p>	2

Payment certificate No.2:

Contractor: ROMAN JAK & CO. LTD

Project name: Renovation of a 4 classroom block in Olaka PS

Certificate amount Shs.16,652,603.

Payment certified by: Engineer in charge of buildings, District Engineer, Environment Officer, CDO, DEO (vote controller), and DIA dated 15th February 2020

Payment certificate No.2:

Contractor: ALLIANZ LTD

Project name: Renovation of a 3 classroom block in Aler PS

Certificate amount Shs.3,577,760

Payment certified by: Engineer in charge of buildings, District Engineer, Environment Officer, CDO, DEO (vote controller), and DIA dated 28th February 2020

We noted that some payment certificates were not certified by Environment Office (EO) District Community Development Officer (DCDO) and CAO as required

3

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

c) If the variations in the contract price are within +/- 20% of the MoWT estimates score 2 or else score 0

From the DE and DEOs offices, the following Works contracts were sampled; and the Engineers estimates (Budgets) Vs. the Contract Prices are as listed with the corresponding Variation percentages:

1. Construction of **Agali Seed Sec School**, Agali] S/County - *MoES/UgIFT/WRKS/18-19/00119* - (Lot 13) with MoES (Engineers) Estimates (budget amount) at UGX 2,100,000,000/=. The contract Price was UGX 1,889,652,750/=. **The Variation was at -10.02%**

2. Construction of a 2-Classroom Block at **Barapwo P/S**; Lira S/County - *LIRA531/WRKS/19-20/00005*, with Engineers' Estimates at UGX 60,000,000/=. The contract Price was UGX 58,984,000/-. **The Variation was at -1.69%**

3. Renovation of 4-Classroom Block at **Olaka P/S**; Lira S/County - *LIRA531/WRKS/19-20/00008*, with Engineers' Estimates at UGX 85,255,590/=. The contract Price was UGX 81,844,358/-. **The Variation was at -4.00%**

The variations were thus within +/-20% of the MoES/LG Engineers estimates

2

Investment Performance: The LG has managed education projects as per guidelines

Maximum 8 points on this performance measure

d) Evidence that education projects were completed as per the work plan in the previous FY

- If 100% score 2
- Between 80 – 99% score 1
- Below 80% score 0

The Contract for Construction of **Agali Seed Sec School**, Agali S/County is ongoing.

The project is progressing well, *100% score* shall be used for the expected stage by the end of the previous FY, as per the monthly reports sampled from the Clerk of Works (CoW) with reference to the submitted Contractor's work Schedule,

This indicator as per the LGPA 2020 manual reviews calls for **Ref. Seed Sec. School**.

However, the following Education/School infrastructure development were completed as per Work Plan as evidenced by the project Completion reports from the DE and DEO

- Construction of a 2-Classroom Block at Barapwo P/S; Lira S/County
- Renovation of 4-Classroom Block at Olaka P/S; Lira S/County

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

a) Evidence that the LG has recruited primary school teachers as per the prescribed MoES staffing guidelines

- If 100%: score 3
- If 80 - 99%: score 2
- If 70 – 79% score: 1
- Below 70% score 0

Lira had a teacher staff ceiling of 1,686 as per the Lira DLG staff establishment as at 17th June 2020 by DEO. The district wage provision for primary school teachers of Shs.9,694,382,000 as per LG approved budget estimates FY 2020/21 caters for 1,520 teachers (LG departmental approved work plan for education FY 2020/21). While the number of teachers posted was 1,363 as per Lira DLG education department primary school deployment list 2020 dated 10th June 2020 with official stamp. This implies that the district had filled the structure for primary teachers with a wage bill provision at 89.7%  $(1363/1520)*100$

Achievement of standards: The LG has met prescribed school staffing and infrastructure standards

Maximum 6 points on this performance measure

b) Percent of schools in LG that meet basic requirements and minimum standards set out in the DES guidelines,

- If above 70% score: 3
- If between 60 - 69%, score: 2
- If between 50 - 59%, score: 1
- Below 50 score: 0

The LG education department maintained a consolidated schools asset register as of FY 2019/20. The asset register captured the number of classrooms, number of latrines, number of desks and teacher accommodation as per the format provided in the planning, budgeting and implantation guidelines for LGs for the education sector (May 2019).

- We noted that the asset register was not complete, it covered only 90 out of the 93 UPE schools.

The review of the consolidated schools asset registers for FY 2019/20 revealed that:

- During FY 2018/19;
  - o 71 out of 93 (76%) registered UPE schools met the prescribed DES minimum standards
  - o 5 out of 9 (55.5%) registered USE schools met the prescribed DES minimum standards
  - o On average, 65.7% (both UPE and USE schools met the prescribed DES minimum standards for FY 2018/19
- The LG consolidated schools asset register for FY 2018/19 was not prepared due to lack of a standardized format

## Performance Reporting and Performance Improvement

Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.

Maximum 4 points on this performance measure

a) Evidence that the LG has accurately reported on teachers and where they are deployed.

- If the accuracy of information is 100% score 2

- Else score: 0

The list of primary school teachers dated 30th June 2020, obtained from the DEO's office revealed that a total of (1,363) teachers were deployed in 93 UPE schools.

Verification was done in 3 sampled UPE school and the following was established as per the deployment list from the DEO's office.

The number of (24) teachers on the DEO's deployment list was not consistent with the number of teachers on the school staff list (23) in Ngetta Girls primary school, Ngetta Sub-county

The number of (15) teachers on the DEO's deployment list was not consistent with the number of teachers on the school staff list (14) in Burlobo Rock View Primary school, Adekokwok Sub county)

The number of (27) teachers on the DEO's deployment list was not consistent with the number of teachers on the school staff list (26) in Amuca Primary School, Lira Sub county

It was verified that the total number of teachers as indicated on the DEO's deployment list was not consistent with the number of teachers on the school staff list in all the (3) sampled UPE schools i.e. Ngetta Girls PS, Burlobo Rock View PS, and Amuca PS as indicated above. Therefore the information on deployment list of teachers is not 100% accurate.



5	<p>Accuracy of reported information: The LG has accurately reported on teaching staff in place, school infrastructure, and service performance.</p> <p>Maximum 4 points on this performance measure</p>	<p>b) Evidence that LG has a school asset register accurately reporting on the infrastructure in all registered primary schools.</p> <ul style="list-style-type: none"> <li>• If the accuracy of information is 100% score 2</li> <li>• Else score: 0</li> </ul>	<p>The review of the LG education department consolidated asset register for FY 2019/20 and school asset registers of the sampled 3 UPE schools, revealed that the information in the LG consolidated Asset Register is not consistent with information on school asset registers in 2 out of the 3 sampled UPE schools. Specific details are documented below:</p> <p>Burlobo Rock View PS: The consolidated school asset register for FY 2019/20 indicated that the school had (7) classrooms, (9) latrines, (148) desks and (9) teacher houses while the school asset register had (7) classrooms, (9) latrines, (148) desks and (9) teacher accommodation.</p> <p>Ngetta Girls PS: The consolidated school asset register for FY 2019/20 indicated that the school had (10) classrooms, (25) latrines, (120) desks and (9) teacher houses while the school asset register had (14) classrooms, (7) latrines, (280) desks and (16) teacher accommodation</p> <p>Amuca PS: The consolidated school asset register for FY 2019/20 indicated that the school had (14) classrooms, (11) latrines, (160) desks and (5) teacher houses while the school asset register had (14) classrooms, (7) latrines, (166) desks and (5) teacher accommodation</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>a) The LG has ensured that all registered primary schools have complied with MoES annual budgeting and reporting guidelines and that they have submitted reports (signed by the head teacher and chair of the SMC) to the DEO by January 30. Reports should include among others, i) highlights of school performance, ii) a reconciled cash flow statement, iii) an annual budget and expenditure report, and iv) an asset register:</p> <ul style="list-style-type: none"> <li>• If 100% school submission to LG, score: 4</li> <li>• Between 80 – 99% score: 2</li> <li>• Below 80% score 0</li> </ul>	<p>There was noncompliance to MoES annual budgeting and reporting guidelines. There was no evidence of submitted annual school reports and budgets covering school performance and plans, a reconciled cash flow statements, annual budget and expenditure report, and asset register to DEO by January 30th</p> <p>We noted that head teachers were not inducted on the planning, budgeting and implementation guidelines for LGs for education sector (May 2019)</p>	0

6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>b) UPE schools supported to prepare and implement SIPs in line with inspection recommendations:</p> <ul style="list-style-type: none"> <li>• If 50% score: 4</li> <li>• Between 30– 49% score: 2</li> <li>• Below 30% score 0</li> </ul>	<p>We obtained and reviewed inspection reports and found no evidence that education department supported the (93) UPE schools to prepare and implement SIPs in line with inspection recommendations. This was confirmed during verification done in (3) UPE schools (Ngetta PS, BurloboRV PS &amp; Amuca PS)</p>	0
6	<p>School compliance and performance improvement:</p> <p>Maximum 12 points on this performance measure</p>	<p>c) If the LG has collected and compiled EMIS return forms for all registered schools from the previous FY year:</p> <ul style="list-style-type: none"> <li>• If 100% score: 4:</li> <li>• Between 90 – 99% score 2</li> <li>• Below 90% score 0</li> </ul>	<p>The list of government aided primary schools (93) captured in Lira DLG Performance contract FY 2019/20 is consistent with the number of schools (93) in excel data sheet (OTIMS) for FY 2019/20</p>	4

**Human Resource Management and Development**

7	<p>Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision</p> <p>Maximum 8 points on this performance measure</p>	<p>a) Evidence that the LG has budgeted for a head teacher and a minimum of 7 teachers per school or a minimum of one teacher per class for schools with less than P.7 for the current FY:</p> <p>Score 4 or else, score: 0</p>	<p>Lira DLG budgeted for a head teacher and minimum of (7) teachers per school or a teacher per class in all the (93) Government aided primary schools as per the staff list for the FY 2020/21. The total wage bill provision for teachers was UGX9,694,382,000 as per the Approved Budget Estimates for FY 2020/21. The budget covers salaries for (1,520) primary teachers as per the LG departmental approved work plan for education FY 2020/21. We noted that 64 out of 93 UPE schools had substantive head teachers and (29) head teachers in acting capacity due to lack of wage bill provision</p>	4
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Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

b) Evidence that the LG has deployed teachers as per sector guidelines in the current FY,

Score 3 else score: 0

The education department primary school deployment list dated 30th June 2020, obtained from the DEO's office revealed that a total of (1,363) teachers were deployed in 93 UPE schools in FY 2019/20 as per sector guidelines e.g. all the (93) UPE schools had a minimum of (7) teachers as required. We noted that Pupil-Teacher Ratio (PTR) was within the standard ratio of 1:53 for as evidenced by the 03 UPE sampled schools i.e. Ngetta Girls PS (1:54); Burlobo Rock View PS (1:52) and Amuca PS (1:57); and 64 out of 93 (69%) UPE schools had substantive head teachers.

Verification was done in 3 sampled UPE school and the following was established as per the deployment/staff lists from the DEO's office.

The number of teachers(23) on staffing list in PBS 2020/21 is consistent with the number of teachers (23) on the school staff list but not consistent with the number of teachers (24) on the deployment list from DEO's office for Ngetta Girls (Ngetta S/C)

The number of teachers (8) on staffing list in PBS 2020/21 is not consistent with the number of teachers (15) on the deployment list from DEO's office and the number of teachers on the school staff list (14) for Burlobo Rock View PS (Adekokwok S/C)

The number of teachers(27) on staffing list in PBS 2020/21 is consistent with the number of teachers (27) on the deployment list from the DEO's office but not consistent with the number of teachers (26) on the school staff list for Amuca PS (Lira S/C)

It was validated that the number of teachers on the staff lists in PBS 2020/21 was not consistent with the number of teachers on the deployment list from DEO's office for 2 out of the 3 sampled UPE schools i.e. Ngetta Girls PS and Burlobo Rock View PS

Budgeting for and actual recruitment and deployment of staff: LG has substantively recruited all primary school teachers where there is a wage bill provision

Maximum 8 points on this performance measure

c) If teacher deployment data has been disseminated or publicized on LG and or school notice board, score: 1 else, score: 0

The teacher deployment data had been displayed on school notice board in all the 03 sampled UPE schools as indicated below:

Ngetta Girls PS deployment staff list displayed on the noticeboard had (23) teachers i.e. Male (12) and Female (11)

Burlobo RV PS deployment staff list displayed on the noticeboard had (14) teachers i.e. Male (5) and Female (9)

Amuca PS deployment staff list displayed on the noticeboard had (26) teachers i.e. Male (14) and Female (12)

We also reviewed the staff attendance books and it was validated that the teachers on deployment lists displayed on the school noticeboard are actually present in schools as per teacher attendance book.

Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.

Maximum 8 points on this performance measure

a) If all primary school head teachers have been appraised with evidence of appraisal reports submitted to HRM with copy to DEO/MEO

Score: 2 or else, score: 0

A review of personnel files for primary school head teachers was carried out to assess whether all the (93) head teachers were appraised during the calendar year 2019. It was established that only 72 (77.4%) out of the 93 primary head teachers had been appraised by SAS for calendar year 2019 and copies submitted to HRO/DEO. Thus, score is zero since the appraisal of head teachers was not 100%.

For example:

Ogwok John (HT) at Anai PS –Lira S/C was duly appraised by SAS on 15th February 2020 and appraisal form endorsed by DEO on 15th February 2020

Akumu Roussel (HT) at Adwila PS-Adekokwok S/C was duly appraised by C/person SMC and SAS on 8th November 2019 and endorsed by DEO on 21st February 2020

Adur Katheline (HT) at Akwiaworo PS-Ngetta S/C was duly appraised by C/person SMC and SAS on 6th February 2020 and endorsed by DEO on 13th February 2020

Ayo Peter (HT) at Agak PS-Agweng S/C was appraised by SAS on 31st January 2020 and report endorsed by DEO on 4th March 2020

Omara Ceasar (HT) at Otara PS-Aromo S/C was appraised by SAS and DEO on 9th April 2020

Otito Julius Peter (HT) at Olili PS-Agali S/C was duly appraised by C/person SMC and SAS on 25th February 2020 and endorsed by DEO on 13th March 2020

Ogwang Severino (HT) at Onyakede PS-Amach S/C was appraised by C/person SMC and SAS on 7th January 2020

Ameto Molly (HT) at Olilo PS-Barr S/C was duly appraised by C/person SMC on 15th March 2020, SAS on 16th March 2020 and report endorsed by DEO on 24th March 2020

Etc.

8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>b) If all secondary school head teachers have been appraised with evidence of appraisal reports submitted by D/CAO (or Chair BoG) to HRM</p> <p>Score: 2 or else, score: 0</p>	<p>There review of personnel files for secondary school head teachers revealed that 3 (33.3%) out of the (9) secondary school head teachers for USE schools were appraised for calendar year 2019 by DCAO as indicated below:</p> <p>Kia Joyce (HT) at Ogur SS-Ogur S/C was appraised by DCAO on 16th January 2020 and performance agreement form signed on 16th January 2019</p> <p>Ocoo Isaac (HT) at Lira SS-Lira S/C was appraised by DCAO (Mr.Mbiiwa Paul) on 5th January 2020</p> <p>Alengo Dic (HT) at Dr.Obote College Boroboro, Adekokwor S/C was appraised by DCAO on 12th December 2019</p>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>c) If all staff in the LG Education department have been appraised against their performance plans</p> <p>score: 2. Else, score: 0</p>	<p>The Assessor reviewed all files of the school inspectors and Education Management staff and established that <b>ALL the staff were not appraised</b> by the DEO during the previous financial year.</p>	0
8	<p>Performance management: Appraisals have been conducted for all education management staff, head teachers in the registered primary and secondary schools, and training conducted to address identified capacity gaps.</p> <p>Maximum 8 points on this performance measure</p>	<p>d) The LG has prepared a training plan to address identified staff capacity gaps at the school and LG level,</p> <p>score: 2 Else, score: 0</p>	<p>There was no evidence that the education department developed a training plan during FY 2019/20 and this was confirmed by DEO (Ms.Frances Offungi)</p>	0

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>a) The LG has confirmed in writing the list of schools, their enrolment, and budget allocation in the Programme Budgeting System (PBS) by December 15th annually.</p> <p>If 100% compliance, score:2 or else, score: 0</p>	<p>We noted from DEO, that the DLG was compliant hence there was no need of communicating corrections/revisions of school lists and enrolment numbers submitted in PBS as well as adjusting the IPFs for Lira DLG</p>	2								
<p>Maximum 8 points on this performance measure</p>												
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>b) Evidence that the LG made allocations to inspection and monitoring functions in line with the sector guidelines.</p> <p>If 100% compliance, score:2 else, score: 0</p>	<p>There was evidence that the LG allocated UGX57,767,000 for inspection and monitoring functions during FY 2019/20. The review of the LG quarterly budget performance QTR 4 FY 2019/20 (page76) revealed that Shs.45,627,000 (79%) was released and spent on school support and supervision, reporting and dissemination of reports, meetings, deployment of associate Assessors (AA) in the field and following up implementation of agreed actions, etc.</p>	2								
<p>Maximum 8 points on this performance measure</p>												
9	<p>Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.</p>	<p>c) Evidence that LG submitted warrants for school's capitation within 5 days for the last 3 quarters</p> <p>If 100% compliance, score: 2 else score: 0</p>	<p>The evidence shows the LG submitted warrants for school's capitation within 5 days for the last 3 quarters.</p>	2								
<table border="1"> <thead> <tr> <th data-bbox="821 1355 1005 1388">Date of release</th> <th data-bbox="1093 1355 1189 1388">Warrant</th> </tr> </thead> <tbody> <tr> <td data-bbox="805 1411 997 1444">Q 1 - 15/07/2019</td> <td data-bbox="1085 1411 1212 1444">17/07/2019</td> </tr> <tr> <td data-bbox="805 1467 997 1500">Q 3 - 11/01/2020</td> <td data-bbox="1085 1467 1212 1500">14/01/2020</td> </tr> <tr> <td data-bbox="805 1523 997 1556">Q 4 -15/04/2020</td> <td data-bbox="1085 1523 1212 1556">17/04/2020</td> </tr> </tbody> </table>					Date of release	Warrant	Q 1 - 15/07/2019	17/07/2019	Q 3 - 11/01/2020	14/01/2020	Q 4 -15/04/2020	17/04/2020
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Q 1 - 15/07/2019	17/07/2019											
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Q 4 -15/04/2020	17/04/2020											
<p>Maximum 8 points on this performance measure</p>												

Planning, Budgeting, and Transfer of Funds for Service Delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

Maximum 8 points on this performance measure

d) Evidence that the LG has invoiced and the DEO/ MEO has communicated/ publicized capitation releases to schools within three working days of release from MoFPED.

If 100% compliance, score: 2 else, score: 0

There was evidence that the DEO communicated capitation releases to schools within three working days of release from MoFPED. The information on capitation releases was displayed on the education department noticeboard as follows: QTR I (July-September 2019) disbursement to schools dated 20th July 2019 with official stamp (DEO); QTR 3 (January to March 2020) disbursement to schools dated 17th January 2020 with official stamp (DEO); and QTR 4 (April to June 2020) disbursement to schools dated 20th April 2020 with official stamp (DEO).

The dates of cash limits on system/warrants from CFO were:

Quarter 1 dated 15th July 2019

Quarter 3 dated 13th January 2020

Quarter 4 dated 15th April 2020

We noted evidence of posting of capitation grant releases on school noticeboards in (1) out of the (3) sampled UPE schools i.e. Burlobo RV PS had displayed capitation grant releases for both FYs i.e. 2019/20 & 2020/21. There was no evidence of display of UPE funds on the school noticeboards for Amuca and Ngetta Girls primary schools.

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

a) Evidence that the LG Education department has prepared an inspection plan and meetings conducted to plan for school inspections.

• If 100% compliance, score: 2, else score: 0

There was evidence of a district inspection annual work plan FY 2019/20 dated 8th August 2019 prepared by DIS and approved by DEO on 27th August 2019.

There was also evidence of inspection planning meetings as indicated below:

Term I 2020 school inspection planning meeting held on 11th February 2020-attended by DEO, DIS, SEO and (8) Associate Assessors (AAs)

Term III 2019 school inspection planning meeting held on 7th September 2019



10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>b) Percent of registered UPE schools that have been inspected and monitored, and findings compiled in the DEO/MEO's monitoring report:</p> <ul style="list-style-type: none"> <li>• If 100% score: 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80%: score 0</li> </ul>	<p>School inspection/monitoring reports for FY 2019/20 were obtained and reviewed to establish the number of schools inspected as indicated below:</p> <p>School Inspection Report for Term III 2019 (QTR1) FY 2019/20. It covered all the 93 (100%) UPE schools</p> <p>Quarter 4 FY 2019/20 Monitoring Report by DEO dated 22nd June 2020. It covered 14 out of 93 (15%) UPE schools</p> <p>Quarter 3 FY 2019/20 Monitoring Report by DEO dated 10th March 2020. It covered 13 (14%) out of the 93 UPE schools</p> <p>Quarter 1 FY 2019/20 Monitoring Report by DEO dated 22nd January 2020. It covered 7 (7.5%) out of the 93 UPE schools</p> <p>Thus, the number of schools inspected/monitored were <math>127/372 \times 100 = 34\%</math>. Thus, the score is zero</p>	0
10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c) Evidence that inspection reports have been discussed and used to recommend corrective actions, and that those actions have subsequently been followed-up,</p> <p>Score: 2 or else, score: 0</p>	<p>There was evidence of minutes of departmental meeting held to discuss school inspection report for Term III 2019 during FY 2019/20.</p> <p>We reviewed minutes of education departmental staff meeting held on 24th January 2020 under Min3: presentation of Term III 2019 Inspection Report. Among others, it was recommended that DEO writes officially to the head teachers of Okio primary school to hand over office immediately.</p> <p>Verification was done through the review of inspection files in 3 sampled UPE schools and established that:</p> <p>Ngetta Girls PS in Ngetta S/C had no evidence of inspection report during FY 2019/20. The only report on file was for the current FY (2020/21) dated 20th November 2020</p> <p>Burlobo RV PS in Adekokwok S/C had (1) evidence of inspection report during FY 2019/20 dated 7th August 2019</p> <p>Amuca PS in Lira Sub-county had no evidence of inspection feedback summary report during FY 2019/20. It was last inspected on 10th October 2017 as per information on inspection file</p> <p>There was no evidence of follow up on inspection recommendations during FY 2019/20 at school level. We noted a letter to CAO: "Failure to handover office by the head teacher of Okio PS" by DEO dated 12th February 2020 in line with one of the recommendations made during the departmental meeting held on 24th January 2020.</p>	0

Routine oversight and monitoring

*Maximum 10 points on this performance measure*

d) Evidence that the DIS and DEO have presented findings from inspection and monitoring results to respective schools and submitted these reports to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES): Score 2 or else score: 0

There was no evidence of submission of school inspection report for Term III 2019 to the Directorate of Education Standards (DES) in the Ministry of Education and Sports (MoES) during FY 2019/20. The DLG produced only one inspection report during FY 2019/20.

We noted that school inspection function was still weak; not done as expected i.e. at least once per term.

Specific details below:

Ngetta Girls PS in Ngetta S/C had no evidence of inspection report during FY 2019/20. The only report on file was for the current FY (2020/21) dated 20th November 2020

Burlobo RV PS in Adekokwok S/C had (1) evidence of inspection report during FY 2019/20 dated 7th August 2019

Amuca PS in Lira Sub-county had no evidence of inspection feedback summary report during FY 2019/20. It was last inspected on 10th October 2017 as per information on inspection file

10	<p>Routine oversight and monitoring</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>e) Evidence that the council committee responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports etc. during the previous FY: score 2 or else score: 0</p>	<p>The LG provided evidence minutes dated, 20/9/2019-Min.6/Educ.&amp; Health/Se./2019; 30/9/2019-Min.3/LDLG/MAY/2020; 14/10/2019-Min.4/Educ.&amp; Health/Se./2019; 4/12/2019-mIN.6/LDC/DEC/2019; 15/1/2020-Min.6/LDC/JAN/202024/01/2020-MiN.3/Educ &amp; Health/Jan./2020; 27/5/2020-MIN.2(a)/LDLG/MY/2020 of sector committee of council responsible for education met and discussed service delivery issues including inspection and monitoring findings, performance assessment results, LG PAC reports. Among issues discussed were;</p> <p>a. Need to recruit more teachers to fill vacant positions.</p> <p>b. Confirmation and promotion of teacher be expedited.</p> <p>c. Inspectorate of the department ensure all schools are reached so that teachers are guided on the implementation of new curricula.</p> <p>d. Need to consistently and chronologically pay pension and gratuity of retired teachers.</p> <p>e. The district to take up full management of Akubua stadium from Lango sport and also claim all the 10% local revenue collected during FUFA drum.</p> <p>f. Lack of support for school feeding program as it has become problematic; as student girls elope and some of them are employed in cheap labour.</p> <p>g. Attracting good qualified teachers has become a problem as it is deemed a remote area.</p>	2
11	<p>Mobilization of parents to attract learners</p> <p><i>Maximum 2 points on this performance measure</i></p>	<p>Evidence that the LG Education department has conducted activities to mobilize, attract and retain children at school,</p> <p><i>score: 2 or else score: 0</i></p>	<p>There was evidence of community engagement meetings held in 3 schools as indicated below:</p> <p>Alworo PS conducted on 17th June 2020 attended by Parents; Teachers; Members of SMC; C/person LC III, Amach S/C; Area Councilor, Amarch S/C. Issues discussed included absenteeism of pupils, school feeding programme, etc.</p> <p>Lwala PS and Owinyo PS conducted on 29th October 2019 in the respective schools. Attended by parents; teachers; members of SMC; Sub county and education department officials. Issues discussed included roles of school stakeholders; transfer of children from one school to another; issue of enrolment, retention and completion in the primary circle; mobilization of resources by politicians; counselling of children by both parents and teachers; etc.</p>	2

Planning and budgeting for investments

*Maximum 4 points on this performance measure*

a) Evidence that there is an up-to-date LG asset register which sets out school facilities and equipment relative to basic standards, *score: 2, else score: 0*

There was no evidence of an up to-date LG asset register. The review and validation of the LG consolidated register FY 2019/20 in the sampled schools revealed that all the (2) out of the (3) sampled UPE schools had asset registers in the format prescribed in the budgeting and implementation guidelines for primary and secondary schools (May 2019). However, we noted that information in the consolidated asset register not consistent with school asset registers in 2 out of the 3 sampled UPE schools indicated below

Burlobo Rock View PS: The consolidated school asset register for FY 2019/20 indicated that the school had (7) classrooms, (9) latrines, (148) desks and (9) teacher houses while the school asset register had (7) classrooms, (9) latrines, (148) desks and (9) teacher accommodation.

Ngetta Girls PS: The consolidated school asset register for FY 2019/20 indicated that the school had (10) classrooms, (25) latrines, (120) desks and (9) teacher houses while the school asset register had (14) classrooms, (7) latrines, (280) desks and (16) teacher accommodation

Amuca PS: The consolidated school asset register for FY 2019/20 indicated that the school had (14) classrooms, (11) latrines, (160) desks and (5) teacher houses while the school asset register had (14) classrooms, (7) latrines, (166) desks and (5) teacher accommodation

12	Planning and budgeting for investments	b) Evidence that the LG has conducted a desk appraisal for all sector projects in the budget to establish whether the prioritized investment is: (i) derived from the LGDP; (ii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, DDEG). If appraisals were conducted for all projects that were planned in the previous FY, <i>score: 1 or else, score: 0</i>	<p>The LG has conducted a desk appraisal for all sector projects in the budget and investments were derived from the LGDP and AWP eligible for expenditure under sector guidelines and funding source. The sector project appraisal is in accordance to LG DP, pages 253, 254, 260, 261, 262, 267 and 268. AWP, pages, 87 and 89 of the Education Department; The activities for Education department to be undertaken include;</p> <ul style="list-style-type: none"> <li>a. Provision of Safe Water in schools for pupils not to get water borne diseases.</li> <li>b. Construction of more classrooms to accommodate more pupils who may enroll in big numbers because of UPE programme.</li> <li>c. Supply of desks as the number of pupils increase.</li> <li>d. Teachers' House construction to accommodate more teachers and reduce on absenteeism and late coming.</li> <li>e. School Inspection and monitoring to be enhance so that pupils can perform better.</li> </ul>	1
<i>Maximum 4 points on this performance measure</i>				
12	Planning and budgeting for investments	c) Evidence that the LG has conducted field Appraisal for (i) technical feasibility; (ii) environmental and social acceptability; and (iii) customized designs over the previous FY, <i>score 1 else score: 0</i>	<p>The LG conducted a field Appraisal for, technical feasibility, environmental and social acceptability and customized designs. This is as per appraisals dated; 5/8/2019, 6/8/2019, 7/8/2019, dated 9th - 25th September 2019. The projects were all compliant in regard to technical feasibility and environmentally acceptable. There were also screening checklist forms availed and the three samples are;</p> <ul style="list-style-type: none"> <li>a. Renovation of four classroom block at Ayamo primary school by AJUTANT HOLDINGS LIMITED. Lira531/Wrks/19-20/00007, Shs.56,257,273.</li> <li>b. Renovation three classroom block at Aler primary school by Allianz Limited. LIRA531/WRKS/2019-20/00004, Shs. 67,349,680</li> <li>c. Construction of a 4 stance latrine at Akwiaworo ps by Lotradco (U) Ltd. Lira531/wrks/18-19/00019, Shs.19,408,640.</li> </ul>	1
<i>Maximum 4 points on this performance measure</i>				

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>a) If the LG Education department has budgeted for and ensured that planned sector infrastructure projects have been approved and incorporated into the procurement plan, <i>score: 1, else score: 0</i></p>	<p>As per the Approved Budget Estimates and the Education Sector Work Plan, the following projects were incorporated in the AWP and Procurement Plans for the current FY</p> <ol style="list-style-type: none"> <li>1) Construction (continuation) of <b>Agali Seed SS</b> – Agali S/Cty - (UGX 330,905,000/=), for the continuing/Ongoing works on the project</li> <li>2) Construction of a 4-Stance Drainable Latrine at <b>Agali P/S</b>; Agali S/County; Estimated at UGX 20,000,000/=. The Contract (<i>LIRA531/WRKS/20-21/00009</i>) has since been awarded to M/S Paradyrn Investments Ltd at a Price of UGX 19,959,700/=.</li> <li>3) Construction of a 2-Stance Drainable Latrine at <b>Owinyo P/S</b> (for Staff); Adekokwok S/County; Estimated at UGX 11,269,000/=. The Contract (<i>LIRA531/WRKS/20-21/00010</i>) has since been awarded to M/S Amen Enterprises Ltd at a Price of UGX 10,000,000/=</li> <li>4) Renovation of a 4-Classroom Block with Office at <b>Okio P/S</b> (a) - Aromo S/Cty; Estimated (budget amount) at UGX 108,632,000/=.</li> </ol>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>b) Evidence that the school infrastructure was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold) before the commencement of construction, <i>score: 1, else score: 0</i></p>	<p>School infrastructure Projects were approved before commencement of Works. For example</p> <ol style="list-style-type: none"> <li>1. The Construction of <b>Agali Seed S.S</b> was approved by the 6th sitting of the Contracts Committee under <b>Min. No. 003/DCC4/18-19</b>, held on 4/4/2019</li> </ol> <p>The Solicitor General (S/G) cleared the contract Award (<b>UGX 1,889,625,750/=</b>) to <i>M/S Wangi General Enterprises (U) Ltd</i> for the construction of Agali Seed School project. The letter was dated and stamped <i>24th April, 2019</i>, endorsed by <i>Nyeko Joseph</i>, on behalf of the S/G</p> <ol style="list-style-type: none"> <li>2. Construction of a 2-Classroom Block with Office at <b>Barapwo P/S</b> - Lira S/Cty - <i>LIRA531/WRKS/19-20/00005</i>, was approved by the 2nd Contracts Committee meeting; under <b>Min. No. 003/DCC5/19-20</b>, held on 29/8/2019</li> <li>3. Renovation of a 4-Classroom Block at <b>Olaka P/S</b> - Lira S/Cty - <i>LIRA531/WRKS/19-20/00008</i>, was approved by the Contracts Committee meeting; under <b>Min. No. 004/DCC4/2019/20</b>, held on 24/10/2019</li> </ol>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

c) Evidence that the LG established a Project Implementation Team (PIT) for school construction projects constructed within the last FY as per the guidelines. *score: 1, else score: 0*

There was evidence of proper establishment of Project Implementation Team by the CAO

A Copy of joint appointment of the DE (Project Manager), DEO (Contract Manager), the DNRO, and the DCDO (among other officers) as members of the PIT for a number of Contracts/Projects (school construction) in the Education Dept. of FY 2019/2020 was seen by the Assessor. The letter was signed by CAO, dated 2/10/2019. The Contract Manager was later reshuffled - upon nomination by the DEO to DSO (*Mr. Akulla Ramadhan*) in a letter dated 10/2/2020 by the CAO

The Clerk of Works – *Mr. Odongo Vincent* was appointed by CAO (on contract) in a letter dated 8/8/2019 specifically for the Construction of Agali Seed S.S

13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>d) Evidence that the school infrastructure followed the standard technical designs provided by the MoES</p> <p><i>Score: 1, else, score: 0</i></p>	<p>The sampled projects as per the physical checks during the sites visits were implemented following MoES technical designs.</p> <p>1) The number of Blocks (Classrooms, Sci. Lab, ICT/Library Block, Main Hall, the twin Staff houses including the corresponding Kitchen and latrine Blocks) at <b>Agali Seed School</b> Project.</p> <p>All the structural elements in beams and Columns were done.</p> <p>The regular due reports from the Works supervisors – Clerk of Works, DE were as well documented.</p> <p>2) Construction of a 2-Classroom Block “with an Office” <b>Barapwo P/S</b> – Lira S/County; followed Standard technical designs – that called for each Classroom to measure 7800x6000mm on the interior, 230mm thick bricks-sand Mortar – in well burnt clay bricks reinforced with hoop iron at intervals; the Ring beam cast at 2400mm height. The roofing was done in Corrugated Iron Sheets on Impregnated Timber trusses. These conditions were met accordingly as per the supervision report and the Assessor’s Physical site check. The office was taken (re-scoped – Variations) out upon recommendation of the Project Supervisor – Ariong Francis, and the PIT in a site meeting dated 6/11/2019, approved accordingly thru CAO and the Contracts Committee.</p> <p>3) Renovation of a 4-Classroom Block at <b>Olaka P/S</b> - Lira S/County; up to standard with the extension of height of the Structure, new Ring Beams in Reinforced Concrete in T12mm MS Bars and R8mm rings at 200mm spacing. Addition of Masonry walls up to Wall plate level – 1000mm high, Re-Roofing was done in Corrugated Iron sheets (maroon colored), Ceiling Works in Expanded Metal Lathe in Cement/Sand Mortar only in the H/M’s Office. The re-plastering, Repair of Doors and Windows, etc. The painting was also done on the interior and exterior. No defects were observed. All the above were met during execution</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>e) Evidence that monthly site meetings were conducted for all sector infrastructure projects planned in the previous FY <i>score: 1, else score: 0</i></p>	<p>Site Meetings and joint monitoring were held regularly at the Construction of Agali Seed Secondary School as per the Site/School Visitors’ book and the Full Minutes File Read from the DE’s Office; for example on 17/10/2019, 20/1/2020, 27/2/2020, 18/8/2020, 1/10/2020 and 15/10/2020 among other dates. Minutes of all site meetings including for the above sittings, were seen by the Assessor.</p>	1



13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>f) If there's evidence that during critical stages of construction of planned sector infrastructure projects in the previous FY, at least 1 monthly joint technical supervision involving engineers, environment officers, CDOs etc ..., has been conducted <i>score: 1, else score: 0</i></p>	<p>Joint Technical supervisions at the Construction of Agali Seed Secondary School were regular (w.r.t Critical stages).</p> <p>The Participation of the DNRO – <i>Mr. Abala Jimmy Robbin</i> and his team on Environmental Issues – (14/11/2019, 27/11/2019, etc), was evidenced among other dates as per the Site Visitors' Book, Site Minutes and Supervision reports</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>g) If sector infrastructure projects have been properly executed and payments to contractors made within specified timeframes within the contract, <i>score: 1, else score: 0</i></p>	<p>The LG availed evidence to the assessor that indicated that the sector infrastructure projects have been properly executed and payments to contractors made within specified time-frames within the contract. The projects were certified by the DEO and District engineer and also had certificates of site handover. The sample project were;</p> <p>i. Renovation of 3-Classroom block at Aler primary school by Allianz Limited. LIRA531/WRKS/2019-20/00004. requested for payment on 25/03/20. Certified for payment by DEO and Engineer on 16/3/20. Paid on 30/04/20 by EFT No. 29254391, Shs. 67,349,680.</p> <p>ii. Construction of a 4 stance latrine at Akwaworo PS by Lotradco (U) Ltd. Lira531/wrks/18-19/00019. Requested for payment on 13/06/19. Certified by DEO and Engineer on 4/9/19. Paid on 04/11/19 by EFT.No. 26197359, Shs.19,408,640.</p> <p>iii. Construction of 2-Classroom block at Barapwo primary school by SHALKA GENERAL ENTERPRISES (U) LIMITED. LIRA531/WRKS/2019-20/00005. Requested for payment on 20/02/20. Certified for payment on 02/06/20. Paid on 12/06/20 by EFT. No. 29887205, Shs. 56,034,800.</p>	1
13	<p>Procurement, contract management/execution</p> <p><i>Maximum 9 points on this performance measure</i></p>	<p>h) If the LG Education department timely submitted a procurement plan in accordance with the PPDA requirements to the procurement unit by April 30, <i>score: 1, else, score: 0</i></p>	<p>The LG Education Department timely submitted their Procurement Plans for the FY 2020/21 to the PDU (before April 30).</p> <p>Memos (Dept. procurement work plan) were submitted on the <b>1/4/2020</b>, prepared by the <i>Ag. Senior Education Officer (Mr. Omara DJ)</i>. The memo was received in the PDU on the same date</p> <p>Projects like the Construction of a 2-Stance Drainable Latrine at <b>Owinyo P/S</b>, the Renovation of Classroom Blocks at <b>Okio P/S</b>, among other works and supplies were included</p>	1

Procurement, contract management/execution

*Maximum 9 points on this performance measure*

i) Evidence that the LG has a complete procurement file for each school infrastructure contract with all records as required by the PPDA Law score 1 or else score 0

Complete Procurement files for all the school infrastructure projects with Evaluation Reports and Minutes of the Contract Committee were present, For example;

- Construction (Continuation) of School Facilities at Agali Seed Sec School at Agali S/County - **MoES/UgIFT/WRKS/18-19/00119 - (Lot 13)**
- Construction of a 2-Classroom Block with Office at Barapwo P/S - Lira S/Cty - **LIRA531/WRKS/19-20/00005**, was approved by the 2nd Contracts Committee meeting; under *Min. No. 003/DCC5/19-20*, held on 29/8/2019 after thorough evaluation.

The contract document was signed on 30th September 2019 with a Contract price of UGX 58,984,000/= awarded to *M/S Shalka General Enterprises (U) Ltd.*

- Renovation of a 4-Classroom Block at Olaka P/S - Lira S/Cty - **LIRA531/WRKS/19-20/00008**, was approved by the Contracts Committee meeting; under *Min. No. 004/DCC4/2019/20*, held on 24/10/2019 after thorough evaluation.

The contract document was signed on 25th November 2019 with a Contract price of UGX 81,844,358/= awarded to *M/S Roman Jak & Co. Ltd*

The above projects were thoroughly evaluated – with Evaluation reports attached, and the said reports/ Contract Awards approved by the Contracts Committee

## Environment and Social Safeguards

Grievance redress: LG Education grievances have been recorded, investigated, and responded to in line with the LG grievance redress framework.

*Maximum 3 points on this performance measure*

Evidence that grievances have been recorded, investigated, responded to and recorded in line with the grievance redress framework, score: 3, else score: 0

There were log of grievances that were recorded investigated, responded to and redress reported in education sector example;

A letter addressed to DEO by head teacher Alworo PS(Akello Santa Margret) dated 9th March 2020.REF Mr Oyena Dismus IPPS No 806577 Reg No III/20008/7625 teacher was supposed to be transferred from St Paul to Alworo PS but refused to return

Action taken-In a letter addressed to the DEO dated 30th March by Headteacher Alworo PS,the officer finally reported to his duty station and his salary released

15	<p>Safeguards for service delivery.</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that LG has disseminated the Education guidelines to provide for access to land (without encumbrance), proper siting of schools, 'green' schools, and energy and water conservation</p> <p><i>Score: 3, or else score: 0</i></p>	<p>There was no evidence of dissemination of education guidelines to schools to provide for access to land (without encumbrance), proper siting of schools, 'green' schools and energy and water conservation by Environment Officer. This was confirmed by the Environment Officer and validated in all the 3 sampled UPE schools i.e. Ngetta Girls PS, Burlobo PS and Amuca PS.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a) LG has in place a costed ESMP and this is incorporated within the BoQs and contractual documents, <i>score: 2, else score: 0</i></p>	<p>Environmental and social Safeguard requirements were incorporated in the BoQs of education projects contracts as seen on the BOQs .examples include;</p> <p>Agali seed secondary school, . Contractor; M/S Wangi General Enterprises Ref No MoES/ugIFT/Wrks/2018-2019/00119(lot 13) In the BOQ under general matters Occupational Health and safety(OHS) costed UGX 2,400,000, HIV/AIDS Prevention and control costed UGX 500,000 and environmental safeguards compliance at UGX 1,500,000 on page 7</p> <p>Construction of 2 classroom block with office at Barpwo PS. Ref Lira531,wrks/2019-20/00005 contractor; Shalka General enterprises (U) Ltd.In the BOQ under preliminaries -Plant trees to protect the environment costed at UGX 300,000</p>	2
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b) If there is proof of land ownership, access of school construction projects, <i>score: 1, else score:0</i></p>	<p>There was no evidence of land documentation on land acquisition status for school Education projects seen at the time of assessment.</p>	0
16	<p>Safeguards in the delivery of investments</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>c) Evidence that the Environment Officer and CDO conducted support supervision and monitoring (with the technical team) to ascertain compliance with ESMPs including follow up on recommended corrective actions; and prepared monthly monitoring reports, <i>score: 2, else score:0</i></p>	<p>There was monitoring and engagement throughout the contract period by Environment Officer for education projects. For example ;</p> <p>Environmental and Social monitoring report for Planned education sector projects during FY 2019/20 .Report addresses project, environmental and social issues, progress of monitoring , time and recommendations. Example materials not required on site to be removed, building needs to be tagged with warning tape, road signage to guide traffic should be maintained. report signed by Environment officer and DCDO on 18th February 2020</p> <p>However, there were no monthly reports seen at the time of assessment</p>	0

Safeguards in the delivery of investments

*Maximum 6 points on this performance measure*

d) If the E&S certifications were approved and signed by the environmental officer and CDO prior to executing the project contractor payments

*Score: 1, else score:0*

There was evidence of education contractor payment certificates signed by Environment officer and CDO .example

Agali seed secondary school, Contractor; M/S Wangi General Enterprises Ref No MoES/ugIFT/Wrks/2018-2019/00119(lot 13. Contract amount UGX 1,883,879,750.Cert No 1 dated 16 February 2019.Payable amount UGX 309,898,218.prepared by D/Eng,verified by District Internal Auditor,certified by DEO,Certified by Environment officer,Certified by DCDO,Authorised by CFO and Approved by CAO on 16 December 2019

Construction of 2 classroom block with office at Barpww PS. Ref Lira531,wrks/2019-20/00005 contractor; Shalka General enterprises (U) Contract Amount 58,984,000, dated 5th May 2020, Amount payable UGX 52,672,712 contractor; Roman Jak and co Ltd signed by engineer in charge ,District Internal Auditor, ,District Environment officer, DCDO,D/Eng and DEO on 5th May 2019

Renovation of 4 classroom block at Olaka PS. Ref Lira531,wrks/2019-20/00008.Contract Amount 81,844,358,Certificate No 1 dated 15th February 2020, Amount payable UGX 61,280,250 contractor; Roman Jak and co Ltd signed by engineer in charge ,District Internal Auditor, ,District Environment officer, DCDO,D/Eng and DEO on 15 February 2019

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has registered higher percentage of the population accessing health care services.</p> <p>Maximum 2 points on this performance measure</p>	<p>a. If the LG registered Increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <ul style="list-style-type: none"> <li>• By 20% or more, score 2</li> <li>• Less than 20%, score 0</li> </ul>	<p>There was evidence to confirm that Lira DLG registered increased utilization of Health Care Services (focus on total OPD attendance, and deliveries.</p> <p>The OPD utilization registered an overall increase of 22.2 % while deliveries registered an overall decrease of -0.2%. The assessment team reviewed health unit annual reports (HMIS 107) for three randomly sampled health facilities for FY 2018/2019 and compared it with FY 2019/2020. The findings were as described below;</p> <p><b>OPD ATTENDANCE</b></p> <ol style="list-style-type: none"> <li>1. Amach HC IV: FY 18/19 was 21,818 while 19/20 was 29,660 =36.0% increase</li> <li>2. Ogur HC IV : FY 18/19 was 18,104 while 19/20 was 17,430=-3.7% Increase</li> <li>3. Barr HC III: FY 18/19 was 9608 while 19/20 was 13,496= 40.5% Increase</li> </ol> <p>The total OPD attendance for FY 18/19 was 49,515 while FY 19/20 was 60,586= <math>[60,586-49,515]/ 49,515*100</math> =22.4%</p> <p><b>DELIVERIES</b></p> <ol style="list-style-type: none"> <li>1. Amach HC IV: Increased by 12.7% (FY 18/19 was 919 and FY19/20 was 1,036)</li> <li>2. Ogur HC IV : Decreased by -15.0 % (FY 18/19 was 1,197 while FY19/20=1,017)</li> <li>3. Barr HC III: Increased by 11.2% (FY 18/19 was 508 and FY19/20 was 565)</li> </ol> <p>The total deliveries were; FY 18/19=2,624 and FY19/20= 2,618 which represents an overall decrease of -0.2 % for the three randomly sampled health facilities.</p> <p>Therefore based on health facility OPD attendance, Lira DLG registered increased utilization of Health Care Services as per the requirements of the performance measure.</p>	2

2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>a. If the average score in Health for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%; score 2</li> <li>• 50 – 69% score 1</li> <li>• Below 50%; score 0</li> </ul>	NOT APPLICABLE	0
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2	<p>Service Delivery Performance: Average score in the Health LLG performance assessment.</p> <p>Maximum 4 points on this performance measure</p> <p>Note: To have zero wait for year one</p>	<p>b. If the average score in the RBF quarterly quality facility assessment for HC IIIs and IVs is:</p> <ul style="list-style-type: none"> <li>• Above 75%; score 2</li> <li>• 65 – 74%; score 1</li> <li>• Below 65% ; score 0</li> </ul>	<p>Lira DLD was enrolled into RBF in the FY 2019/2020. The availed evidence indicated that Lira DLG had twelve (12) health facilities enrolled on the RBF programme in the FY 2019/2020. The assessment team reviewed the reports on RBF facility assessment for the last quarter of the FY 2019/2020 and established that the score was 92.0%. The details of the specific health facility scores were as follows;</p> <ol style="list-style-type: none"> <li>1. Aromo HC III: 84.53%</li> <li>2. Anyangatir HC III: 92.56%</li> <li>3. Ongica HC III: 82.83%</li> <li>4. Ogur HC IV: 96.17%</li> <li>5. Barr HC III: 96.3%</li> <li>6. Ngetta HC III: 97.28%</li> <li>7. Boroboro HC III: 95.38%</li> <li>8. Barapwo HC III: 89.3</li> <li>9. Angali HC III: 90.6%</li> <li>10. Amuca SDA HC III: 94.52</li> <li>11. Amach HC IV: 97.99</li> <li>12. Abala HC III: 96.93</li> </ol>	2
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3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>a. If the LG budgeted and spent all the health development grant for the previous FY on eligible activities as per the health grant and budget guidelines, score 2 or else score 0.</p>	<p>The LG budgeted for Shs. 2,261,447,000 and spent Shs.2,905,176,000 on health development grant activities as per the health grant and budget guidelines.</p> <p>i. Rehabilitation of Dr House at Ogur HC IV &amp; Completion of a staff house at Abala HC III, Shs.30,383,000 (ABPR-page 65).</p> <p>ii. Construction of DHO Resource Center, Furniture to DHOs Office Supplied, retention to DVS paid, HMIS Motorcycle procured, Health department Projector procured &amp; Wireless Internet connectivity Installed, Shs.79,026,000 (ABPR, page 67).</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>b. If the DHO/MMOH, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors/ suppliers score 2 or else score 0</p>	<p>The DHO, LG Engineer, Environment Officer and CDO certified works on health projects before the LG made payments to the contractors and suppliers.</p> <p>The payments made were as follows;</p> <p>a. Construction of extension of an office in the District health resource center by WANGI GENERAL ENTERPRISE LTD. <b>Lira531/Wrks/18-19/00006</b> . Requested for payment on 10/03/20. Certified by DHO, Engineer , Environment Officer and CDO on 29/05/20. Paid on 24/06/20 by EFT. No. 30420871, Shs. 29,879,073.</p> <p>b. Renovation of doctor's house at Amach HC IV by WANGI GENERAL ENTERPRISE LTD. <b>Lira531/Wrks/18-19/00010</b>. Requested for payment on 22/10/19.Certified by DHO, Engineer , Environment Officer and CDO on 28/10/19. Paid on 21/01/20. Shs.15,406,535.</p>	2
3	<p>Investment performance: The LG has managed health projects as per guidelines.</p> <p>Maximum 8 points on this performance measure</p>	<p>c. If the variations in the contract price of sampled health infrastructure investments are within +/- 20% of the MoWT Engineers estimates, score 2 or else score 0</p>	<p>No Health Infrastructure Projects/Investments was implemented in the Previous FY</p>	2

Investment performance: The LG has managed health projects as per guidelines.

Maximum 8 points on this performance measure

d. Evidence that the health sector investment projects implemented in the previous FY were completed as per work plan by end of the FY

- If 100 % Score 2
- Between 80 and 99% score 1
- less than 80 %: Score 0

This indicator as per the LGPA 2020 manual reviews calls for **Ref. HC II to HC III Upgrade**. Lira DLG had no such health infrastructure development.



Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

a. Evidence that the LG has recruited staff for all HCIIIs and HCIVs as per staffing structure

- If above 90% score 2
- If 75% - 90%: score 1
- Below 75 %: score 0

There was evidence to confirm that Lira DLG recruited staff for all the Seven (7) government HCIIIs the two (2) HCIVs as per staffing structure. The staff structure obtained from DHO indicated that HC IVs required to have 49 staff while HC IIIs required to have 19 health workers. According to the FY 2020/2021 approved budget, Generated on 05/06/2020 05:32 (page 24), the allocated conditional Sector Conditional Grant (Wage) was 2,794,161,000/= part of which caters for the 197 deployed staff out of the 231 staffing norm for the available HC IV and HC IIIs. This implies that only 85.2% position of health workers for the available HC IV and HC IIIs were filled. The details of the percentage of health workers positions filled for facilities was as follows;

1. Ogor HC IV: 40 staff were deployed out 48 required staffing norm. This represents 81.6% of filled positions.
2. Amach HC IV; 44 staff were deployed out 19 required staffing norm. This represents 89.8 % of filled positions.
3. Aromo HC III; 17 staff were deployed out 19 required staffing norm. This represents 89.5% of filled positions.
4. Abala HC III: 15 staff were deployed out 19 required staffing norm. This represents 78.9 % of filled positions.
5. Barapwo HC III: 17 staff were deployed out 19 required staffing norm. This represents 89.5% of filled positions.
6. Ongica HC III: 13 Staff were deployed out 19 required staffing norm. This represents 68.4 % of filled positions.
7. Barr HC III: 16 Staff were deployed out 19 required staffing norm. This represents 84.2 % of filled positions.
8. Agali HC III: 17 Staff were deployed out 19 required staffing norm. This represents 89.4 % of filled positions.
9. Angangati HC III: 18 Staff were deployed out 19 required staffing norm. This represents 94.7% of filled positions.

Achievement of Standards: The LG has met health staffing and infrastructure facility standards

Maximum 4 points on this performance measure

b. Evidence that the LG health infrastructure construction projects meet the approved MoH Facility Infrastructure Designs.

- If 100 % score 2 or else score 0

This indicator as per the LGPA 2020 manual reviews calls for **Ref. HC II to HC III Upgrade**. Lira DLG had no such health infrastructure development.

5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that information on positions of health workers filled is accurate: Score 2 or else 0</p>	<p>There was evidence to confirm if the information given by Lira DLG on position of health workers filled accurate as evidenced by the findings from 3 sampled facilities. The assessment team reviewed the staff list for FY 2020/2021 and compared it with the staff lists found at the sampled facilities. The details of the findings were as follows;</p> <ol style="list-style-type: none"> <li>1. Barapwo HC III; 17 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> <li>2. Anyangatir HC III; 18 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> <li>3. Barr HC III: 16 health workers deployed as per staff list obtained from the DHO. There was no observed deviation between the staff list obtained from the DHO and the list found at the facility.</li> </ol>	2
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5	<p>Accuracy of Reported Information: The LG maintains and reports accurate information</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that information on health facilities upgraded or constructed and functional is accurate: Score 2 or else 0</p>	<p>The list obtained from the DHO included the following items; 1) Upgrade of Alik HC II to HC III 2) Rehabilitation of Dr House at Ogur HC IV and Completion of a staff house at Abala HC III.</p> <p>The assessment team reviewed annual PBS report (quarter 4) submitted on 03/09/2020 and established that Rehabilitation of Dr House at Ogur HC IV and Completion of a staff house at Abala HC III were reflected on Page 67. Therefore there was no evidence to confirm if the information on health facilities upgraded or constructed and functional was accurate.</p>	0
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6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>a) Health facilities prepared and submitted Annual Workplans &amp; budgets to the DHO/MMOH by March 31st of the previous FY as per the LG Planning Guidelines for Health Sector:</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>All the annual work plan and budgets for sampled facilities (3) conformed to the prescribed formats as provided in the MOH Primary Health Care Non-Wage Recurrent Grant and Budget Guidelines to Health Centre II, III and IV, and General Hospitals. There was also evidence to confirm that the facilities made the submissions by 31 March of the FY 2019/2020.</p> <p>The details were as follows;</p> <ol style="list-style-type: none"> <li>1. Amach HC IV III; Submitted its AWP and Budget FY 2019/2020 to the DHO on 19th August, 2019.</li> <li>2. Anywako HC II: Submitted its AWP and Budget FY 2019/2020 to the DHO on 16th September 2019 and it was signed by the chairperson HUMC and the sub-county chief on the same day.</li> <li>3. Agali HC III: Submitted its AWP and Budget to the DHO on 14th August 2019.</li> </ol>	2
	<p>Maximum 14 points on this performance measure</p>		<p>All annual work plan and budget for sampled facilities (3) were submitted to the DHO before 31st March of the FY 2019/2020. However, the awarded score was based on the conformity to the LG planning Guidelines for the health sector.</p>	

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>b) Health facilities prepared and submitted to the DHO/MMOH Annual Budget Performance Reports for the previous FY by July 15th of the previous FY as per the Budget and Grant Guidelines :</p> <ul style="list-style-type: none"> <li>• Score 2 or else 0</li> </ul>	<p>Health facilities should have sent their Annual Budget Performance Reports for FY 2019/2020 to the DHO/CAO by 15th July 2020. There were copies of purported Annual Budget Performance Reports for FY 2019/2020 for four (4) out of the twenty nine (29) health facilities in Lira DLG submitted as follow;</p> <ol style="list-style-type: none"> <li>1. Akia –St Francis HC II : Made the submission on 16th December 2020</li> <li>2. Abala HC III: Not dated</li> <li>3. Walela HC II: 5th July 2020</li> <li>4. Barapwo HC III: 18th December 2020</li> </ol>	0
	<p>Maximum 14 points on this performance measure</p>		<p>The assessor noted these with exception of Walela HC II, the rest of the health facilities submitted Annual Budget Performance Reports for FY 2019/2020 after 15th July 2020</p>	

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

Maximum 14 points on this performance measure

a) Health facilities have developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports

• Score 2 or else 0

There was evidence to confirm that health facilities had developed and reported on implementation of facility improvement plans that incorporate performance issues identified in monitoring and assessment reports . The assessment team randomly sampled PIPs for three (3) facilities and established the following;

Ogur HC IV had submitted it's Performance Improvement Plan for FY 2020/2021 to the DHO on 2nd December 2020.

Barapwo HC III had submitted it's Performance Improvement Plan for FY 2020/2021 to the DHO on 1st December 2020.

Amach HC IV had submitted it's Performance Improvement Plan for FY 2020/2021 to the DHO on 20th October 2020.

However the assessment failed to establish if the PIPs incorporated performance issues identified in the DHMT monitoring and assessment reports.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

d) Evidence that health facilities submitted up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter) If 100%,

• score 2 or else score 0

The health facilities in Lira DLG did not submit 100% up to date monthly and quarterly HMIS reports timely (7 days following the end of each month and quarter). The assessment team randomly sampled three (3) facilities and established that the monthly and quarterly reports were not submitted timely. The three Facilities randomly sampled for this assessment were Aromo HC III, Agali HC III and Abala HC III. The following were the submission dates for some their monthly HMIS reports.

1. Aromo HC III ; Did not submit all the monthly HMIS reports timely (7 days following the end of each month and quarter). For instance, the December monthly report was submitted on 8th January 2020, April report was submitted on 11th May 2020, June report was submitted on 10th June 2020 and June report was submitted on 14th July 2020.

2. Agali HC III; Did not submit all the monthly HMIS reports timely (7 days following the end of each month and quarter). For instance, August monthly report was submitted on 9th September 2019, April report was submitted on 11th May 2020 while the June report was submitted 14th July 2020.

3. Abala HC III: Did not submit all the monthly HMIS reports timely (7 days following the end of each month and quarter). For instance, the August monthly report was submitted on 12th September 2019, October report was submitted 14th November 2019 while the December report was submitted on 15th January 2020.

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.

Maximum 14 points on this performance measure

e) Evidence that Health facilities submitted RBF invoices timely (by 15th of the month following end of the quarter). If 100%, score 2 or else score 0

Note: Municipalities submit to districts

There was evidence to confirm if health facilities submitted their RBF invoices timely (By 15th of the month following the end of the quarter). All the sampled health facilities submitted the RBF invoices by 15th of the month following end of the quarter 1 for FY 2020/2021. The details were as follows;

1. Aromo HC III: Made the submission on 8th October 2020

2. Agali H C III : Made the submission on 5th October 2020

3. Abala Hc III: Made the submission on 10th October 2020

6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>f) If the LG timely (by end of 3rd week of the month following end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities, if 100%, score 1 or else score 0</p>	<p>There was no evidence to confirm if Lira DLG submitted timely (by end of 3rd week of the month following the end of the quarter) verified, compiled and submitted to MOH facility RBF invoices for all RBF Health Facilities. The Ag. DHO stated that , hard copies of the invoices had been picked by the RBF focal point person but did not provide evidence to confirm this.</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>g) If the LG timely (by end of the first month of the following quarter) compiled and submitted all quarterly (4) Budget Performance Reports. If 100%, score 1 or else score 0</p>	<p>The LG did not make timely compile and submit all 4 quarterly Budget Performance Reports by end of the first month of the following quarter.  Q 1 - 04/12/2019  Q 2 – 04/02/2020  Q 3 – 22/04/2020  Q 4 – 03/09/2020</p>	0
<p>Maximum 14 points on this performance measure</p>				
6	<p>Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and implemented Performance Improvement support.</p>	<p>h) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the weakest performing health facilities, score 1 or else 0</p>	<p>There was no evidence to confirm if Lira DLG developed an approved Performance Improvement Plan for the weakest performing health facilities. A freshly printed (Not endorsed) two paged document containing the breakdown of planned activities and budget was shared with the assessment team. The purported DHMT PIP did not clearly provide aspects where health facilities performed poorly assessment.</p>	0
<p>Maximum 14 points on this performance measure</p>				

Health Facility Compliance to the Budget and Grant Guidelines, Result Based Financing and Performance Improvement: LG has enforced Health Facility Compliance, Result Based Financing and Performance Improvement support.

ii. Implemented Performance Improvement Plan for weakest performing facilities, score 1 or else 0

There were no specific Performance Improvement Plan reports to aid the establishment of whether the Lira DLG implemented the Performance Improvement Plan for the lowest-performing health facilities.

Maximum 14 points on this performance measure

### Human Resource Management and Development

7

0

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

a) Evidence that the LG has:  
i. Budgeted for health workers as per guidelines/in accordance with the staffing norms score 2 or else 0

There was no evidence to confirm that Lira DLG health department budgeted for health workers as per guidelines/in accordance with the staffing norms. The approved staff structure obtained from DHO's office indicated an approved structure of 306 health care workers. The review of the approved performance contract for FY 2020/2021 Generated on 05/06/2020 05:24 (Page15) revealed that Sector Conditional Grant (Wage) allocation for Lira DLG health department was 2,794,161, 000/= to cater for the 254 health care workers in post. Therefore Lira DLG health department did not budget for the health workers as per guidelines /in accordance with the staffing norm since the approved budget did not cater for all the 306 approved health workers positions hence the score 0.

Maximum 9 points on this performance measure

7

2

Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines

a) Evidence that the LG has:  
ii. Deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms score 2 or else 0

Lira DLG health department deployed health workers as per guidelines (all the health facilities to have at least 75% of staff required) in accordance with the staffing norms. The assessment team reviewed staff registers for FY 2020/2020 for randomly sampled 3 health facilities and the findings were as follows;

1. Anyangatir HC III: Had 18 deployed staff out of 19 required staff = 94.7%
2. Barr HC III ; Had 16 deployed staff out of 19 required staff = 84.2%
3. Barapwo HC III: Had 17 deployed staff out of 19 required staff = 89.4%

Maximum 9 points on this performance measure

7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>b) Evidence that health workers are working in health facilities where they are deployed, score 3 or else score 0</p>	<p>The was evidence to confirm that health workers were working in health facilities where they are deployed. The assessment team reviewed the deployment list for FY 2020/2021 and compared it with the logs in the attendance book at the sampled health facilities, it was established that the two were in agreement. The assessment team sampled three health facilities (Barapwo HC III, Barr HC III and Anyangatir HC III ) and established that there was no variance between the deployment list obtained from DHO's and logs in the staff attendance books found at the health facilities as described below;</p> <ol style="list-style-type: none"> <li>1. Barapwo HC IV: 17 health workers deployed as per the deployment obtained from the DHO. Staff list found the health facility was Staff list was in agreement with the list obtained from the DHO.</li> <li>2. Barr HC III: 16 health workers deployed as per the deployment obtained from the DHO. Staff list found the facility was Staff list was in agreement with the list obtained from the DHO.</li> <li>3. Anyangatir HC III: 18 health workers deployed to the health facility. Staff list found at the health facility was in agreement with the list obtained from the DHO.</li> </ol>	3
7	<p>Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted for, recruited and deployed staff as per guidelines</p> <p>Maximum 9 points on this performance measure</p>	<p>c) Evidence that the LG has publicized health workers deployment and disseminated by, among others, posting on facility notice boards, for the current FY score 2 or else score 0</p>	<p>There was evidence that the Lira DLG publicized health workers deployment and disseminated by, among others, posting on facility notice boards. The assessment team visited 3 sampled health facilities and found a staff lists for FY 2020/2021 available on the Notice Boards at Barapwo HC III, Barr HC III and Anyangatir HC III</p>	2
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>a) Evidence that the DHO/MMOHs has:</p> <ol style="list-style-type: none"> <li>i. Conducted annual performance appraisal of all Health facility In-charges against the agreed performance plans and submitted a copy to HRO during the previous FY score 1 or else 0</li> </ol>	<p>The Assessor was not presented with files of health facility in-charges for review in order to determine whether the In-charges were appraised. While the DHO reported that the In-charges were appraised, he failed to cause the retrieval of the personal files from the registry for review by the Assessor to determine whether the In-charges were indeed appraised. The Assessor followed up with the PHRO on the second day to cause the retrieval of the files for review but the PHRO asserted that in his view, he did not believe that the In-charges were appraised. The Assessor consequently awarded a zero for this indicator.</p>	0



8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Ensured that Health Facility In-charges conducted performance appraisal of all health facility workers against the agreed performance plans and submitted a copy through DHO/MMOH to HRO during the previous FY score 1 or else 0</p>	<p>No evidence was presented ( no files were retrieved for review) to the Assessor to determine whether the health workers were appraised by the In-charges.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>iii. Taken corrective actions based on the appraisal reports, score 2 or else 0</p>	<p>There were no Corrective Actions taken based on the appraisal reports.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>b) Evidence that the LG:</p> <p>i. conducted training of health workers (Continuous Professional Development) in accordance to the training plans at District/MC level, score 1 or else 0</p>	<p>There was no sufficient evidence assessed by the assessment team to confirm whether Lira DLG conducted training of health workers (Continuous Professional Development) in accordance to the training plans. There were no training reports nor CPD training plan on file.</p> <p>However, there was a book where the department registered those that had recorded health workers that had attended the training. An example of the training recorded in the books included; NACs and early childhood development held from 1st -6th July 2019. This was attended by 19 health workers.</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Health Workers.</p> <p>Maximum 6 points on this performance measure</p>	<p>ii. Documented training activities in the training/CPD database, score 1 or else score 0</p>	<p>The Lira DLG had documentation of training activities. There was a book containing all the health workers that had attended trainings available on file.</p>	1

**Management, Monitoring and Supervision of Services.**

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  
Maximum 9 points on this performance measure

a. Evidence that the CAO/Town Clerk confirmed the list of Health facilities (GoU and PNFP receiving PHC NWR grants) and notified the MOH in writing by September 30th if a health facility had been listed incorrectly or missed in the previous FY, score 2 or else score 0

Lira DLG had a total of 18 health facilities receiving PHC NWR grant. The assessment team reviewed the Lira DLG approved budget for FY 2020/2021 Generated on 05/06/2020 05:32 (Page 25-27) and Budget and Grant Guidelines for FY 2020/2021 (Page 86) and established that the two were in agreement.

The ADHO-EH argued that there was no need for the letter notifying MoH if a health facility had been listed incorrectly or missed in the FY 2019/2020.

2

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  
Maximum 9 points on this performance measure

b. Evidence that the LG made allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH), score 2 or else score 0.

The LG did not make the 15% allocations towards monitoring service delivery and management of District health services in line with the health sector grant guidelines (15% of the PHC NWR Grant for LLHF allocation made for DHO/MMOH). The amount allocated to PHC NWR Grant was Shs.818,668,000 (ABPR-page 61 and 67) of the Annual Budget Performance Report). Amount spent on monitoring and service delivery was Shs.334,244,000), (ABPR- page 61, and 67) which was 41%, which is more than 15%.

0

9 Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.  
Maximum 9 points on this performance measure

c. If the LG made timely warranting/verification of direct grant transfers to health facilities for the last FY, in accordance to the requirements of the budget score 2 or else score 0

The evidence shows the LG did not timely warrant direct transfers to health facilities in accordance to the requirements as there were one full month delay.

	Date warranted	Date of Transfer
Q 1-	17/07/2019	18/08/2019
Q 2 -	14/10/2019	23/10/2019
Q 3 -	14/01/2020	14/01/2020
Q 4 -	07/04/2020.	07/05/2020

0

9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>d. If the LG invoiced and communicated all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter, score 2 or else score 0</p>	<p>The LG did not invoice and communicate all PHC NWR Grant transfers for the previous FY to health facilities within 5 working days from the day of funds release in each quarter.</p>	0								
<p>Maximum 9 points on this performance measure</p>	<table border="1"> <thead> <tr> <th data-bbox="810 331 1002 362">Date of Invoicing</th> <th data-bbox="1169 331 1347 362">Date of transfer</th> </tr> </thead> <tbody> <tr> <td data-bbox="770 389 954 421">Q 1- 15/07/2019</td> <td data-bbox="1169 389 1305 421">14/08/2019</td> </tr> <tr> <td data-bbox="770 450 954 481">Q 2- 11/10/2019</td> <td data-bbox="1169 450 1313 481">23/10/2020</td> </tr> <tr> <td data-bbox="770 510 954 542">Q 3 -13/01/2020</td> <td data-bbox="1169 510 1313 542">03/02/2020</td> </tr> <tr> <td data-bbox="770 571 962 602">Q 4 –15/04/2020</td> <td data-bbox="1169 571 1313 602">07/05/2020</td> </tr> </tbody> </table>	Date of Invoicing	Date of transfer	Q 1- 15/07/2019	14/08/2019	Q 2- 11/10/2019	23/10/2020	Q 3 -13/01/2020	03/02/2020	Q 4 –15/04/2020	07/05/2020	0
Date of Invoicing	Date of transfer											
Q 1- 15/07/2019	14/08/2019											
Q 2- 11/10/2019	23/10/2020											
Q 3 -13/01/2020	03/02/2020											
Q 4 –15/04/2020	07/05/2020											
9	<p>Planning, budgeting, and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p>	<p>e. Evidence that the LG has publicized all the quarterly financial releases to all health facilities within 5 working days from the date of receipt of the expenditure limits from MoFPED- e.g. through posting on public notice boards: score 1 or else score 0</p>	<p>Lira DLG had 18 health facilities receiving PHC non-wage recurrent releases. The assessment team observed that a list of the quarterly financial releases (PHC non-wage recurrent releases) were found displayed at the health department notice board. The assessment team also noted that the lists were not dated but had the signature of the Assistant District Health Officer-Environmental Health ( Opio John Nelson).</p>	0								
<p>Maximum 9 points on this performance measure</p>	<p>However, the assessment team did not find evidence to confirm whether financial releases for the available and displayed quarter was made within 5 working days from the date of receipt of the expenditure limits from MoFPED</p>	0										
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p>	<p>a. Evidence that the LG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the previous FY, score 2 or else score 0</p>	<p>There was no evidence that the Lira DLG health department implemented action(s) recommended by the DHMT Quarterly performance review meeting (s) held during the FY 2019/2020. The assessment team accessed and reviewed three (3) sets of DHMT quarterly performance review meeting minutes held on the following days; Quarter 1: 6th January 2020, Quarter 1: 15th May 2020 and 9th July 2020. There was no evidence availed to the assessment team to confirm whether Lira DLG implemented action(s) recommended by the DHMT Quarterly performance review meetings. By end of day 2 of the assessment exercise , no other evidence had been shared with the assessment team.</p>	0								
<p>Maximum 7 points on this performance measure</p>												

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>b. If the LG quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department, score 1 or else 0</p>	<p>There was no evidence to confirm if Lira DLD Health department quarterly performance review meetings involve all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department.</p> <p>The minutes for the three (3) quarterly performance review meetings reviewed by the assessment team did not have attendance sheets appended to them. Therefore, it was impossible to establish if meetings involved all health facilities in charges, implementing partners, DHMTs, key LG departments e.g. WASH, Community Development, Education department. The Bio-statistician (Ariko Geoffrey) said that the attendance lists had been attached to the accountabilities submitted to the CFO. However, these were not retrieved and shared with the assessment team during the 2 days in the local government.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>c. If the LG supervised 100% of HC IVs and General hospitals (including PNFPs receiving PHC grant) at least once every quarter in the previous FY (where applicable) : score 1 or else, score 0</p> <p>If not applicable, provide the score</p>	<p>Lira DLG had two (2) HC IVs (Amach and Ogur HC IVs) and no district general hospital. Evidence availed to the assessment team confirmed that both HC IVs were supervised by the DHT at least once every quarter in the FY `2019/2020 as evidenced by the reports whose details were as follows;</p> <p><b>Quarter 1:</b> Supervision report submitted on 5th September 2019. The findings in established there was a shortage of key critical staff (medical and clinical officers) at Amach H/CIV while there was no reliable power supply at Ogur HC IV.</p> <p><b>Quarter 2:</b> The supervision report was available on file but did not have details of when the supervision took place or when the report was submitted to the DHO. The review of the report highlighted among others following; At Amach HC IV, the DHT established that HMIS Reports complete and submitted on time, OPD Building was in a good state and usable and Essential medicines and logistics were available and could last for the next 2 months. At Ogur HC IV, there were Inadequate HTS and Initiation cards, none suppressed viral load register were not adequately updated and there was no Health Information corner in place.</p> <p><b>Quarter 3:</b> The DHT supervision took place between 25th – 27th February 2020. At Amach HC IV, the DHT established that some of the newly transferred staff had not assumed duty while there were no inpatient registers at Ogur HC IV.</p> <p><b>Quarter 4:</b> The DHT supervision took place between 13th -17th July 2020. The filling system in ART and maternity was alerting (Not organized). There was also no handwashing facility in the examination room. At Ogur HC IV, DHT reported having found no referral files in place.</p>	1

10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>d. Evidence that DHT/MHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower level health facilities within the previous FY (where applicable), score 1 or else score 0</p> <ul style="list-style-type: none"> <li>• If not applicable, provide the score</li> </ul>	<p>There evidence to confirm whether the DHT ensured that Health Sub Districts (HSDs) carried out support supervision of lower-level health facilities within the FY 2019/2020. Four (4) sets of one-paged and shallow HSD support supervision reports were shared with the assessment team. Review of the reports, the assessment team established that supervision took place as follows;</p> <p><b>Quarter 1:</b> Supervision report was submitted to the DHO on 2nd October 2019</p> <p><b>Quarter 2:</b> Health facilities supervision took place between 14th and 15th February 2020.</p> <p><b>Quarter 3:</b> Supervision report was submitted to the DHO on 4th April 2020</p> <p><b>Quarter 4:</b> Supervision report was submitted to the DHO on 3rd July 2020.</p>	1
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>e. Evidence that the LG used results/reports from discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the previous FY, score 1 or else score 0</p>	<p>There was no evidence to confirm if Lira DLG used results/reports from the discussion of the support supervision and monitoring visits, to make recommendations for specific corrective actions and that implementation of these were followed up during the FY 2019/2020. The assessment team did not get evidence to confirm whether health department provided recommendations from the supervision visits and evidence that their implementation was followed up. By the end of day two of the assessment exercise in Lira DLG, no other evidence had been shared with the assessment team in this respect.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands -on support supervision to health facilities.</p> <p>Maximum 7 points on this performance measure</p>	<p>f. Evidence that the LG provided support to all health facilities in the management of medicines and health supplies, during the previous FY: score 1 or else, score 0</p>	<p>There was evidence to confirm that Lira DLG provided support to all health facilities in the management of medicines and health supplies, during the FY 2019/2020 as evidenced by the following;</p> <p>Facility order review meeting held at the district council hall on 30th June 2020 under Mon 01:30:06:2020 (Communication from the chair). During this meeting, the DHO highlighted the key challenges of drug ordering to include; Poor coordination between the stores and departments meant to place orders, Lack of knowledge in calculating the average facility month consumption among others. There were also quarterly SPARS reports for on file submitted on 20th October 2019, 27 December 2019, 31st April 2020 and 30th June 2020.</p>	1

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>a. If the LG allocated at least 30% of District / Municipal Health Office budget to health promotion and prevention activities, Score 2 or else score 0</p>	<p>LG did allocate at least 30% of District Health Office budget to health promotion and prevention activities. The budget for health promotion and health promotion was Shs.808,939,000 (page, 16, ABPR). The amount spent was Shs. 367,173,000 (ABPR, pages, 60, 61). This was 42% which is more than 30%.</p>	2
	<p>Maximum 4 points on this performance measure</p>			
11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p>	<p>b. Evidence of DHT/MHT led health promotion, disease prevention and social mobilization activities as per ToRs for DHTs, during the previous FY score 1 or else score 0</p>	<p>There was evidence that the Lira DLG DHT led health promotion, disease prevention and social mobilization activities as evidenced below;</p> <ol style="list-style-type: none"> <li>1. Conducted Sensitization of LC 1 and community mobilisers on indoor residual spraying Programme (11th to 22nd May 2020. This activity was conducted in 13 sub counties including among others; Aromo, Agweng, Ogur, Ngetta, Barr Adekokwok, Adyel etc.</li> <li>2. DHT conducted social mobilization on polio and measles –Rubella immunization campaign. This was evidenced by a report submitted to the DHO on 15th October 2019.</li> <li>3. The other activity involved health education campaign on prevention of HIV/AIDs amongst students. This report was submitted by the DHE on 7th February 2020.</li> <li>4. Together with the students of Lira school nursing and midwifrey, the DHE conducted a campaign dubbed “home improvement”. This was conducted in Akaidebe village , Amach sub-county, Lira district with aim of sensitizing the community on hygiene and sanitation around homes.</li> <li>5. There were also radio talk shows held to sensitize the community on malaria prevention and treatment. These were hosted on Unity FM on 4th June 2020 and 9th June 2020 between 5:00-6:00 pm</li> </ol>	1
	<p>Maximum 4 points on this performance measure</p>			

11	<p>Health promotion, disease prevention and social mobilization: The LG Health department conducted Health promotion, disease prevention and social mobilization activities</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence of follow-up actions taken by the DHT/MHT on health promotion and disease prevention issues in their minutes and reports: score 1 or else score 0</p>	<p>There was no evidence in form of quarterly progress reports and or meeting minutes availed to the assessment team to confirm follow-up on actions taken by DHT on health promotion and disease preventive issues. By the close of day 2 of the assessment, no other evidence had been produced to the assessment.</p>	0
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**Investment Management**

12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>a. Evidence that the LG has an updated Asset register which sets out health facilities and equipment relative to basic standards: Score 1 or else 0</p>	<p>There was evidence to confirm that Lira DLG health department had an updated Asset register which set out health facilities and equipment relative to basic standards. Evidence availed to the assessment team indicated that the register was last updated in 10th July 2019.</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>b. Evidence that the prioritized investments in the health sector for the previous FY were: (i) derived from the LG Development Plan; (ii) desk appraisal by the LG; and (iii) eligible for expenditure under sector guidelines and funding source (e.g. sector development grant, Discretionary Development Equalization Grant (DDEG)): score 1 or else score 0</p>	<p>The evidence of minutes dated 26th August 2019 (Min3/TPC/8/2019) availed to the assessor shows that the prioritized investments in the health sector were derived from the LG Development Plan, desk appraisal and eligible for expenditure under sector guidelines and funding source, development grant, Discretionary Development Equalization Grant DDEG. These were from the AWP and LG DP (pages-48-58, 192 and 244). The projects were accepted by the community. as they were not to be constructed in wetland and had no adverse effect on wetland. There were no disputes involuntary resettlement.</p> <p>i. Renovation of Doctors House at Amach HC IV and Ogur HC IV, Shs.50,000,000 (LG DP, page 244).</p> <p>ii. Renovation of District Vaccine Store-Health Department(Lira District HQRTS, Shs.50,000,000 (LG DP -page 245).</p> <p>ii. Construction of five (5) stances drainable VIP Latrines at Barapwo H/C III, Shs.19,000,000 (LG DP-page,247).</p>	1
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12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>c. Evidence that the LG has conducted field Appraisal to check for: (i) technical feasibility; (ii) environment and social acceptability; and (iii) customized designs to site conditions: score 1 or else score 0</p>	<p>There were no field appraisals availed to the assessor to check for technical feasibility, environment and social acceptability and customized designs in the health sector.</p>	0
12	<p>Planning and Budgeting for Investments: The LG has carried out Planning and Budgeting for health investments as per guidelines.</p> <p>Maximum 4 points on this performance measure</p>	<p>d. Evidence that the health facility investments were screened for environmental and social risks and mitigation measures put in place before being approved for construction using the checklist: score 1 or else score 0</p>	<p>There were no Health sector projects procured for the FY 2019/20 .Therefore no screening was done and ESIA/ESMPs prepared and costed.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>a. Evidence that the LG health department timely (by April 30 for the current FY ) submitted all its infrastructure and other procurement requests to PDU for incorporation into the approved LG annual work plan, budget and procurement plans: score 1 or else score 0</p>	<p>From the DHO and PDU, procurement input submissions for the Health Department for all of its Infrastructure Procurement requests for the current FY were seen to be compliant (submitted by April 30th)</p> <p>The LG Health Department timely submitted their Procurement Plans for the FY 2020/21 to the PDU.</p> <p>Memos (Dept. procurement work plan) were prepared by the <i>Biostatistician</i> (submitted on the 8/4/2020), and endorsed by the DHO. The memo was submitted and received in the PDU on the <b>8/4/2020</b></p> <p>Projects like the</p> <ul style="list-style-type: none"> <li>• Upgrade of <b>Alik HC II</b> to HC III - III - Amach S/Cty,</li> <li>• Renovation (Completion) of one staff house at <b>Ongica HC III</b> - Ngetta S/Cty,</li> <li>• Renovation of Maternity ward at <b>Agali HC III</b> - Agali S/County;</li> </ul> <p>Among other works and supplies were included</p>	1



13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>b. If the LG Health department submitted procurement request form (Form PP5) to the PDU by 1st Quarter of the current FY: score 1 or else, score 0</p>	<p>The LG Health Department submitted some Procurement Requisition Forms – LG PP Form 1s to the PDU by the first Quarter of the current FY as per forms seen by the assessor signed by the DHO on the <b>22/7/2020</b>, with confirmation of funding by CAO endorsed.</p> <p>The required projects included the following:</p> <p>1) Renovation of Maternity ward (Reinforcement of cracked walls, Painting) at <b>Agali HC III</b> - Agali S/County; - Estimated (budget amount) at UGX 10,000,000/=</p> <p>2) Completion of a staff house at <b>Ongica HC III</b> - Ngetta S/Cty; - Estimated (budget amount) at UGX 18,000,000/=</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>c. Evidence that the health infrastructure investments for the previous FY was approved by the Contracts Committee and cleared by the Solicitor General (where above the threshold), before commencement of construction: score 1 or else score 0</p>	<p>The Contracts Committee approved at its 4th sitting held on 26/10/2020 under <b>Min. No. 003/DCC4/2020/2021</b>, among other projects the implementation of Health infrastructure investments/projects for the current FY.</p> <p>The projects included;</p> <ul style="list-style-type: none"> <li>• Renovation of Maternity ward (Reinforcement of cracked walls, Painting) at <b>Agali HC III</b> - Agali S/County; <i>LIRA531/WRKS/20-21/00012</i></li> <li>• Completion of District Medicine Store at DHO, and one staff house at <b>Ongica HC III</b> - Ngetta S/Cty; <i>LIRA531/WRKS/20-21/00013</i></li> </ul>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>d. Evidence that the LG properly established a Project Implementation team for all health projects composed of: (i) : score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>There was evidence of proper establishment of Project Implementation Team by the CAO</p> <p>A Copy of joint appointment of the DE (Project Manager), DHO (Contract Manager), the DNRO, and the DCDO (among other officers) as members of the PIT for a number of Contracts/Projects (school construction) in the Education Dept. of FY 2019/2020 was seen by the Assessor. The letter was signed by CAO, dated <i>2/10/2019</i>.</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>e. Evidence that the health infrastructure followed the standard technical designs provided by the MoH: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>No Health Infrastructure Projects/Investments was implemented in the Previous FY</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>f. Evidence that the Clerk of Works maintains daily records that are consolidated weekly to the District Engineer in copy to the DHO, for each health infrastructure project: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>No Health Infrastructure Projects/Investments was implemented in the Previous FY</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>g. Evidence that the LG held monthly site meetings by project site committee: chaired by the CAO/Town Clerk and comprised of the Sub-county Chief (SAS), the designated contract and project managers, chairperson of the HUMC, in-charge for beneficiary facility , the Community Development and Environmental officers: score 1 or else score 0</p> <p>If there is no project, provide the score</p>	<p>No Health Infrastructure Projects/Investments was implemented in the Previous FY</p>	1
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>h. Evidence that the LG carried out technical supervision of works at all health infrastructure projects at least monthly, by the relevant officers including the Engineers, Environment officers, CDOs, at critical stages of construction: score 1, or else score 0</p> <p>If there is no project, provide the score</p>	<p>No Health Infrastructure Projects/Investments was implemented in the Previous FY</p>	1

13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>i. Evidence that the DHO/MMOH verified works and initiated payments of contractors within specified timeframes (within 2 weeks or 10 working days), score 1 or else score 0</p>	<p>The evidence provided to the assessor shows that the DHO verified works and initiated payments of contractors but did not pay within specified time-frames (within 2 weeks or 10 working days).</p> <p>The samples were;</p> <p>i. Construction of extension of an office in the district health resource center by Wangi General Enterprises Ltd. <b>Lira531/Wrks/18-19/00006</b>. Requested for payment on 10/03/20. Certified by DHO and District Engineer on 29/05/20. Paid on 24/06/20 by EFT. No. 30420871, Shs.29,879073.</p> <p>ii. Renovation of Doctor's house at Amach HC IV by Wangi General Enterprises Ltd. <b>Lira531/Wrks/18-19/00010</b>. Requested for payment on 22/10/19. Certified by DHO and District Engineer on 28/10/19. Paid on 21/01/20 by EFT. No. 30420871, Shs.15,406,535.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed health contracts as per guidelines</p> <p>Maximum 10 points on this performance measure</p>	<p>j. Evidence that the LG has a complete procurement file for each health infrastructure contract with all records as required by the PPDA Law score 1 or else score 0</p>	<p>Complete Procurement files for the health infrastructure contracts (for the Current FY) with Evaluation Reports and Minutes of the Contract Committee, and the very contract documents.</p> <p>Files for the following projects were sampled accordingly;</p> <ul style="list-style-type: none"> <li>• Renovation of Maternity ward (Reinforcement of cracked walls, Painting) at Agali HC III - Agali S/County; <b>LIRA531/WRKS/20-21/00012</b></li> <li>• Completion of District Medicine Store at DHO, and one staff house at Ongica HC III - Ngetta S/Cty; <b>LIRA531/WRKS/20-21/00013</b></li> </ul> <p>The above projects were thoroughly evaluated – with Evaluation reports attached, and the said reports/ Contract Awards approved by the 4th sitting of the Contracts Committee meeting under Min. No. 003/DCC4/2020/2021, held on 26/10/2020</p>	1

**Environment and Social Safeguards**

14	Grievance redress: The LG has established a mechanism of addressing health sector grievances in line with the LG grievance redress framework  Maximum 2 points on this performance measure	a. Evidence that the Local Government has recorded, investigated, responded and reported in line with the LG grievance redress framework score 2 or else 0	There were no log of grievances neither investigated nor responded to at the time of assessment  No grievances recorded neither investigated in Health sector in the LG	0
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	a. Evidence that the LG has disseminated guidelines on health care / medical waste management to health facilities : score 2 points or else score 0	There was evidence of guidelines issued on medical waste management issued at Agali HC III, Barapwo HC III and Barr HC III  There was evidence that these guidelines had been pinned on the notice boards by the time of site visit	2
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	b. Evidence that the LG has in place a functional system for Medical waste management or central infrastructures for managing medical waste (either an incinerator or Registered waste management service provider): score 2 or else score 0	There was a service provider in the names of Green Label services Ltd. Health centers where Medical wastes are collected and transported include;  Ogur HC IV, Ober HC III, Chars HC III, Lira RR Hospital, Lira Military HC III, Barr HC IV. Medical wastes picked from months of July, August, October, November and December 2020  However, there were no infrastructures for managing medical wastes (incinerators) at Barapwo HC III, Barr HC III and Anyangatir HC III. The medical wastes are burnt in the dug pits at the health facilities	0
15	Safeguards for service delivery: LG Health Department ensures safeguards for service delivery  Maximum 5 points on this performance measure	c. Evidence that the LG has conducted training (s) and created awareness in healthcare waste management score 1 or else score 0	There was evidence of training and awareness raising on waste management documented at the LG for example;  Report on WASH assessment and mentorship carried out in Health facilities within Lira district addressed to WASH offer rights North Lango objectives of training were;  Health workers to understand steps involved to improve WASH in health facilities, integration of WASH into other existing health services both at facility and community level. Out of 100% 15 HFs were given scores in departments for water, sanitation, Hygiene, IPC shower/bathing Medical wastes, and cleaning and Administration. Example Anyangatir HC III scored 55% and Ongica HC III scored 60%. Report signed by Senior Environmental Health Officer dated 19th June 2020.	1

16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	a. Evidence that a costed ESMP was incorporated into designs, BoQs, bidding and contractual documents for health infrastructure projects of the previous FY: score 2 or else score 0	There were no Health sector projects procured for the FY 2019/20 .Therefore no screening was done and ESIA/ESMPs prepared and costed	2
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	b. Evidence that all health sector projects are implemented on land where the LG has proof of ownership, access and availability (e.g. a land title, agreement; Formal Consent, MoUs, etc.), without any encumbrances: score 2 or else, score 0	There was no evidence of documentation on land acquisition status for health projects at the time of assessment.	0
	Maximum 8 points on this performance measure			
16	Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments	c. Evidence that the LG Environment Officer and CDO conducted support supervision and monitoring of health projects to ascertain compliance with ESMPs; and provide monthly reports: score 2 or else score 0.	There were no Health sector projects procured for the FY 2019/20 .Therefore no Monitoring of projects.	2
	Maximum 8 points on this performance measure			

Safeguards in the Delivery of Investment Management: LG Health infrastructure projects incorporate Environment and Social Safeguards in the delivery of the investments

Maximum 8 points on this performance measure

d. Evidence that Environment and Social Certification forms were completed and signed by the LG Environment Officer and CDO, prior to payments of contractor invoices/certificates at interim and final stages of all health infrastructure projects score 2 or else score 0

There were no Health sector projects procured for the FY 2019/20 .Therefore no Contractor payment certificates

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	a. % of rural water sources that are functional.  If the district rural water source functionality as per the sector MIS is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	The MIS records for Lira DLG at the beginning of the current year, FY 2020/21, indicated that the functionality of rural water sources was 87%.	1
1	Water & Environment Outcomes: The LG has registered high functionality of water sources and management committees  <i>Maximum 4 points on this performance measure</i>	b. % of facilities with functional water & sanitation committees (documented water user fee collection records and utilization with the approval of the WSCs). If the district WSS facilities that have functional WSCs is:  o 90 - 100%: score 2  o 80-89%: score 1  o Below 80%: 0	MIS records beginning the current year, FY 2020/21, indicated that Lira district had 1,181 functional WSCs out of the established 1,247 WSCs for water sources.  This translates to $(1,181 / 1,247) \times 100 = 94.71\%$ functionality of WSCs.	2
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	a. The LG average score in the water and environment LLGs performance assessment for the current. FY.  If LG average scores is  a. Above 80% score 2  b. 60 -80%: 1  c. Below 60: 0  (Only applicable when LLG assessment starts)	At the time of assessment, there had been no prior LLG performance assessments.	0
2	Service Delivery Performance: Average score in the water and environment LLGs performance assessment  <i>Maximum 8 points on this performance measure</i>	b. % of budgeted water projects implemented in the sub-counties with safe water coverage below the district average in the previous FY.  o If 100 % of water projects are implemented in the targeted S/Cs: Score 2	Records indicated that 100% of water projects budgeted for the sub-county which had safe water coverage below the district average in the FY 2019/20 were implemented as planned.  Lira District MIS records, beginning the FY 2019/20, indicated that the average rural access to safe water was 94%.	2

measure

o If 80-99%: Score 1

o If below 80 %: Score 0

The same records indicated that the safe water coverage of each of the sub-counties in rural Lira District were as follows:

- Adekokwok: 95%
- Agali:95%
- Agweng: 95%
- Amach: 88%
- Aromo: 95%
- Barr: 95%
- Lira: 95%
- Ngetta: 95%
- Ogur: 95%

Therefore out of nine rural sub-counties, one, Amach sub-county had safe water coverage below the rural district average of 94% at the beginning of FY 2019/20.

There was 1 planned water development project, a Supply and Installation of one RWH Tank in Amach sub-county, which had safe water coverage below the district average as illustrated below:

The Annual Work Plan FY 2019/20 indicated planned water development projects in the sector were as follows:

**Drilling and Installation of 4 deep boreholes and 1 production well:**(each for borehole for UGX 21,876,000/- and production well for UGX 25,000,000/-) for a total budget of UGX 112,504,000/- DWSCG as follows:

1. Ngeta S/C: Iwal TC (1 production well)
2. Adekokwok S/C: Ajunga Village (1 borehole)
3. Lira S/C: Akaidebe Village (1 borehole)
4. Aromo S/C Obama village (1 borehole)
5. Agweng S/C: Icika Village (1 borehole)

**Supply and Installation of 5 Ferro-cement Rain Water Harvesting Tanks**, each budgeted for UG 8,400,000/- and total budget UGX 42,000,000/- as follows:-

1. Barr S/C: at Orem P/S
2. Ogur S/C: at Akangi P/S
3. Lira S/C: at Te Okole P/S
4. Agali S/C: at Ocamonyang P/S



5. Amach S/C: at Amokogee P/S

**Design of piped water system Iwal TC,  
Ngetta S/C:** for a budget of UGX  
37,000,000/-

The Quarter Four Sector Performance report dated 20th July 2020 and contract documents indicated that all the above projects were completed as planned.

This translates to 100% implementation of the single planned RWH in Amach sub-county, which had safe water coverage below district average.

Service Delivery  
Performance: Average  
score in the water and  
environment LLGs  
performance  
assessment

*Maximum 8 points on  
this performance  
measure*

c. If variations in the contract price of  
sampled WSS infrastructure  
investments for the previous FY are  
within +/- 20% of engineer's estimates

o If within +/-20% score 2

o If not score 0

None of the projects implemented in FY  
2019/20 indicated contract variations  
exceeding +/-20%.

The AWP and Budget for the FY 2019/20  
indicated that three projects were planned  
under water supply and sanitation  
development as follows:

**Drilling and Installation of 4 deep  
boreholes and 1 production well:** Each  
borehole budgeted for UGX 21,876,000/- and  
production well for UGX 25,000,000/-, so that  
total budget was UGX 112,504,000/- under  
DWSCG. Implementation was by the  
contractor, M/s KRL Uganda Limited, for a  
contract amount UGX 108,164,700/-

**Supply and Installation of 5 Ferro-cement  
Rain Water Harvesting Tanks:** Each RWH  
tank was budgeted for UGX 8,400,000/- and  
the total budget was UGX 42,000,000/- .  
Implementation was by the contractor, M/s  
Alianz Limited for a contract amount of  
41,720,500/-

**Design of piped water system Iwal TC,  
Ngetta S/C:** for a budget of UGX  
37,000,000/-. Implementation was by the  
Consultant M/s Bamuni Services Limited for  
contract amount of UGX 37,000,000/-

Therefore, the contract amounts varied from  
the budget amounts as follows:

<b>Project</b>	<b>Variation Amt</b>
<b>% Variation</b>	
Borehole drilling	-UGX 4,339,300
-3.86%	
RWH Tanks	-UGX 279,500
-0.67%	
Pipe water design	UGX 0
0%	

2

2

Service Delivery  
Performance: Average score in the water and environment LLGs performance assessment

*Maximum 8 points on this performance measure*

d. % of WSS infrastructure projects completed as per annual work plan by end of FY.

o If 100% projects completed: score 2

o If 80-99% projects completed: score 1

o If projects completed are below 80%: 0

100% of the WSS development projects which were planned were fully implemented in FY2019/20.

According to the AWP and Budget of FY 2019/20, three projects were planned under WSS development projects namely:

**Drilling and Installation of 4 deep boreholes and 1 production well:** for a total budget was UGX 112,504,000/- under DWSCG.

**Supply and Installation of 5 Ferro-cement Rain Water Harvesting Tanks:** for a total budget was UGX 42,000,000/- .

**Design of piped water system Iwal TC, Ngetta S/C:** for a budget of UGX 37,000,000/-.

According to the Annual Sector Performance report for the FY 2019/20, dated 20th July 2020, all projects listed above were completed during the FY 2019/20.

This translates to 100% implementation of planned for FY 2019/20.

3

2

Achievement of Standards: The LG has met WSS infrastructure facility standards

*Maximum 4 points on this performance measure*

a. If there is an increase in the % of water supply facilities that are functioning

o If there is an increase: score 2

o If no increase: score 0.

There was an increase of 1% in functionality of rural water sources in Lira District between FY 2018/19 and FY 2019/20.

The MIS records ending FY 2018/19 indicated that functionality of rural water supply facilities in Lira District was 86%.

The MIS records ending FY 2019/20 indicated that functionality of rural water supply facilities in Lira District increased to 87%

Achievement of Standards: The LG has met WSS infrastructure facility standards

*Maximum 4 points on this performance measure*

b. If there is an Increase in % of facilities with functional water & sanitation committees (with documented water user fee collection records and utilization with the approval of the WSCs).

o If increase is more than 5%: score 2

o If increase is between 0-5%: score 1

o If there is no increase: score 0.

There was a decrease of 0.13% in functionality of WSCs in Lira district between FY 2018/19 and FY 2019/20.

The MIS records ending FY 2018/19 indicated that 1,158 WSCs were functional out of 1,221 WSCs established in Lira district. This translated into functionality of 94.84% for the FY 2018/19.

The MIS records ending FY 2019/20 indicated that 1,181 WSCs were functional out of 1,247 WSCs which had been established in Lira district. This translated into functionality of 94.71% for the FY 2019/20.

### Performance Reporting and Performance Improvement

Accuracy of Reported Information: The LG has accurately reported on constructed WSS infrastructure projects and service performance

*Maximum 3 points on this performance measure*

The DWO has accurately reported on WSS facilities constructed in the previous FY and performance of the facilities is as reported: Score: 3

Annual Sector Performance Report FY2019/20, dated 20th July 2020, indicated the following completed construction development projects under WSS development projects:

**Drilling and Installation of 4 deep boreholes and 1 production well:**

Implemented by the contractor, M/s KRL Uganda Limited, for a contract amount UGX 108,164,700/-

**Supply and Installation of 5 Ferro-cement Rain Water Harvesting Tanks:**

Implemented by the contractor, M/s Alianz Limited, for a contract amount of 41,720,500/-

The sampled WSS sites visited were two boreholes and one site for a ferro-cement RWH Tank which confirmed the existence of the projects, fully constructed and functional as reported namely:

**Akaoidebe BH ( Lira S/C)**

The borehole was labeled:

DWD 57743

Funded DWSCG

12/11/2019

Contractor: KRL (U) Ltd

**Ajunga BH (Adekokwok S/C):**

The borehole was labeled:

DWD 57744

Funded DWSCG

13/11/2019

Contractor: KRL (U) Ltd

**Ferro-cement RWH Tank at Orem P/S (Barr S/C):**

The tank was observed installed at Orem P/S and was functional.

5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>a. Evidence that the LG Water Office collects and compiles quarterly information on sub-county water supply and sanitation, functionality of facilities and WSCs, safe water collection and storage and community involvement): Score 2</p>	<p>There was <b><i>no evidence of quarterly compilations</i></b> of sub-county water supply and sanitation facilities and WSCs, safe water collection and storage hygiene, and community involvement.</p> <p>The quarterly reports reviewed were as follows:</p> <p>Quarter 1 report dated 24th October 2019</p> <p>Quarter 2 report dated 24th January 2020</p> <p>Quarter 3 report dated 12th May 2020</p> <p>Quarter 4 report dated 20th July 2020</p> <p>Q1, Q2 and Q3 reports did not indicate the water situational analysis or updates in the water supply and sanitation facilities statistics.</p> <p>Only the Q4 report indicated water situational analysis, indicating total number of sources, functionality of water sources and WSCs per sub county.</p>	0
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>b. Evidence that the LG Water Office updates the MIS (WSS data) quarterly with water supply and sanitation information (new facilities, population served, functionality of WSCs and WSS facilities, etc.) and uses compiled information for planning purposes: Score 3 or else 0</p>	<p>The DWO provided the assessor with a Microsoft Excel file as a form of MIS for the district water office, which is updated regularly. It indicated population served per source, location, source type, etc for each water source in Lira District.</p>	3
5	<p>Reporting and performance improvement: The LG compiles, updates WSS information and supports LLGs to improve their performance</p> <p><i>Maximum 7 points on this performance measure</i></p>	<p>c. Evidence that DWO has supported the 25% lowest performing LLGs in the previous FY LLG assessment to develop and implement performance improvement plans: Score 2 or else 0</p> <p><i>Note: Only applicable from the assessment where there has been a previous assessment of the LLGs' performance. In case there is no previous assessment score 0.</i></p>	<p>At the time of assessment, there had been no prior LLG performance assessments.</p>	0

**Human Resource Management and Development**

6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>a. Evidence that the DWO has budgeted for the following Water &amp; Sanitation staff: 1 Civil Engineer(Water); 2 Assistant Water Officers (1 for mobilization and 1 for sanitation &amp; hygiene); 1 Engineering Assistant (Water) &amp; 1 Borehole Maintenance Technician: Score 2</p>	<p>The Assessor reviewed the costed staff list for Lira DLG availed by the HRO and ascertained firstly that; the DWO staff including the; District Engineer Water, 1 Assistant Water Officer and the Borehole Maintenance Technician were duly included on the staff list. Secondly the Assessor reviewed the approved Performance Contract for FY 2020/2021 ascertained that a budget provision was made for DWO staff under the provision of Unconditional Grant - wages as indicated on <b>page 18</b> of the FY 2020/2021 approved Performance Contract <b>generated on 6th June 2020 at 5.24 pm.</b></p>	2
6	<p>Budgeting for Water &amp; Sanitation and Environment &amp; Natural Resources: The Local Government has budgeted for staff</p> <p><i>Maximum 4 points on this performance measure</i></p>	<p>b. Evidence that the Environment and Natural Resources Officer has budgeted for the following Environment &amp; Natural Resources staff: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer: Score 2</p>	<p>The Assessor reviewed the costed staff list for Lira DLG for 2020/2021 availed by the HRO and ascertained firstly that; the Environment and Natural Resources staff including: 1 Natural Resources Officer; 1 Environment Officer; 1 Forestry Officer was duly included on the staff list with their associated salary budgets. Secondly the Assessor reviewed the approved Performance Contract of Kwania DLG for FY 2020/2021, <b>generated on 5th June 2020 at 5.24 pm</b> and confirmed that a budget provision was made under the unconditional Grant wages as indicated on Page 19 of the approved Performance Contract.</p>	2
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>a. The DWO has appraised District Water Office staff against the agreed performance plans during the previous FY: Score 3</p>	<p>There were only two DWO staff ( the Civil Engineer-Water- and the Assist Water Officer) that were substantive appointed at the time of the assessment. A review of the personnel file of the <b>Assistant Water Officer</b>, revealed that <b>Otim Jimmy</b>, was appraised by the Civil Engineer -water- <b>Omoko Hudson</b>, on 30th June, 2020 and the CAO approved the report on the same date. All other positions in the DWO were vacant at the time of the assessment.</p>	3
7	<p>Performance Management: The LG appraised staff and conducted trainings in line with the district training plans.</p> <p><i>Maximum 6 points on this performance measure</i></p>	<p>b. The District Water Office has identified capacity needs of staff from the performance appraisal process and ensured that training activities have been conducted in adherence to the training plans at district level and documented in the training database : Score 3</p>	<p>There was no Capacity Needs Assessment prepared by the DWO and submitted to the PHRO for FY 2019/20 and FY 2020/21 . In addition, there were no training plans and reports pertinent to the water office availed to the assessors for review upon request.</p>	0

**Management, Monitoring and Supervision of Services.**

8	0
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Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

- a) Evidence that the DWO has prioritized budget allocations to sub-counties that have safe water coverage below that of the district:
- 
- If 100 % of the budget allocation for the current FY is allocated to S/Cs below the district average coverage: Score 3
- If 80-99%: Score 2
- If 60-79: Score 1
- If below 60 %: Score 0

The budget allocation for water development to the sub-county with safe water coverage below district average was 10.33% of the DWSCG water development budget of the FY 2020/21.

MIS records for Lira District beginning of the FY 2020/21 indicated that the average rural access to safe water was 95%.

The same records indicated that the safe water coverage of each of the sub-counties in rural Lira District were as follows:

- Adekokwok: 95%
- Agali:95%
- Agweng: 95%
- Amach: 94%
- Aromo: 95%
- Barr: 95%
- Lira: 95%
- Ngetta: 95%
- Ogur: 95%

Therefore out of nine rural sub-counties, only Amach sub-county had safe water coverage below the rural district average of 95% at the beginning of FY 2020/21.

There was 1 planned water development project, a Supply and Installation of one RWH Tank in Amach sub-county as illustrated below:

The AWP and Budget for the FY 2020/21 planned for water supply development as follows in the different sub-counties.

**Drilling 3 Deep boreholes with hand pumps and 1 Production well:** each borehole was budgeted for UGX 21,000,000/- , while the production well was budgeted for 24,000,000/-. Therefore, the total budget for the wells was UGX 87,000,000/- under DWSCG as follows:

1. Adekwoko S/C: Omaa (1 Borehole)
2. Aromo S/C: Ogeo B, Corna Ayee (1 Borehole)
3. Ogur S/C: Alyec A BH (1 Borehole)
4. Agali S/C: Adyaka TC (Production Well)

**Design of a pipe water scheme for Adyaka TC, Agali S/C** for a budget for UGX 39,706,000/-.



**Construction of piped water system at Iwal TC, Ngetta S/C** for a budget of UGX 249,000,000/-

**Supply and Installation of 5 Ferro-cement Rain Water Harvesting Tanks**, each budgeted at UGX 8,400,000/-, and total of UGX 42,000,000/- under DWSCG as follows:

1. Barr S/C: Tetyang P/S:
2. Ogur S/C: Ogur P/S:
3. Aromo S/C: Odoro P/S
4. Agali S/C: Okile P/S
5. Amach S/C: Awirao P/S

The total budget for water development in Lira District for FY 2020/21 was therefore UGX 418,617,241/-, of which UGX 8,400,000/- was budgeted for a RWH Tank Amach sub-county which had safe water coverage below the district average.

This translates to a percentage budget allocation of :

$$[42,000,000 / 418,617,241] \times 100 = 10.03\%$$

Planning, Budgeting and Transfer of Funds for service delivery: The Local Government has allocated and spent funds for service delivery as prescribed in the sector guidelines.

*Maximum 6 points on this performance measure*

b) Evidence that the DWO communicated to the LLGs their respective allocations per source to be constructed in the current FY:  
Score 3

There was evidence of dissemination of budget allocations for projects per source to respective LLGs for the current year FY 2020/21 through Advocacy meetings to LLGs.

Advocacy Meeting Report dated 17th November 2020 for FY 2020/21 activities indicated the budgets for priority projects per sub-county and respective sources of funding. The planned projects were as summarized below:-

1. 3 boreholes with hand pumps: UGX 63,000,000/-

2. 1 production well: UGX 24,000,000/-

3. 5 ferro-cement RWH tanks: UGX 42,000,000/-

4. Rehabilitation of 10 boreholes: UGX 42,000,000/-

5. Feasibility study and design of Adyaka pipe water scheme: UGX 37,000,000/-

6. Construction of Iwal solar pipe water scheme: UGX 249,000,000/-

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

a. Evidence that the district Water Office has monitored each of WSS facilities at least quarterly (key areas to include functionality of Water supply and public sanitation facilities, environment, and social safeguards, etc.)

- If more than 95% of the WSS facilities monitored quarterly: score 4
- If 80-99% of the WSS facilities monitored quarterly: score 2
- If less than 80% of the WSS facilities monitored quarterly: Score 0

There was *insufficient evidence of monitoring all of 1,723 water sources at least once quarterly* during the FY 2019/20.

However, there was some monitoring implemented as shown by the reports reviewed as follows:

**Quarter 2 Monitoring:**

***Joint Monitoring Report dated 7th***

***January 2020:*** Indicated that the monitoring committee visited sub-counties including Adekokwok, Ngetta, Lira, Aromo, Ogur, Agweng, Amach, Barr and Agali. The report indicated that 21 Water sources were monitored in these sub-counties and various findings recorded per source.

**Quarter 4 monitoring:**

***Monitoring Report dated 20th April 2020:***

Indicated that a number of boreholes were monitored in a bid to conduct emergency repairs to boreholes in response to COVID 19 SOPs. The report included 128 boreholes which were assessed in different sub-counties.

Copies of form one slips (F1s) for the 4 new boreholes constructed during the FY 2019/20 and form four slips (F4s) which were used to collect monitoring information from the older water sources during the fourth quarter of the FY 2019/20 were also availed to assessors for review.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

b. Evidence that the DWO conducted quarterly DWSCC meetings and among other agenda items, key issues identified from quarterly monitoring of WSS facilities were discussed and remedial actions incorporated in the current FY AWP.  
Score 2

There was *insufficient evidence* that all four quarterly DWSCC meetings were held during for the FY 2019/20 provided to the assessors.

Two meetings were noted as follows:

***DWSCC Meeting held on 18th December 2019:***

According to the minutes, the major discussion was about findings from monitoring different sources including: some sources were found clean, protected and with functional WSC, other sources had WSC which were not operating as required and needed strengthening other sources were still under construction, etc.

The meeting also noted progress from development partners including Divine waters, JF Wells, Lira HPMA, SNV, NWSC, WSDF, WfPRC –N

***DWSCC Meeting held on 16th April 2020:***

Key discussions were as follows:-

*Min 5/16/0420:* indicated strategies to strengthen COVID -19 Response and SOPs within the communities such as sensitizations through talk shows and radio announcements, training WSCs and communities, emergency maintenance and rehabilitation of broken down boreholes, and involving development partners and CSOs for extra support.

Routine Oversight and Monitoring: The LG has monitored WSS facilities and provided follow up support.

*Maximum 8 points on this performance measure*

c. The District Water Officer publicizes budget allocations for the current FY to LLGs with safe water coverage below the LG average to all sub-counties: Score 2

There was evidence of dissemination of budget allocations for projects per source to respective LLGs for the current year FY 2020/21 through Advocacy meetings to LLGs.

**Advocacy Meeting Report dated 17th November 2020** for FY2020/21 activities indicated the budgets for priority projects per sub-county and respective sources of funding. The planned projects were as summarized below:-

1. 3 boreholes with hand pumps: UGX 63,000,000/-

2. 1 production well: UGX 24,000,000/-

3. 5 ferro-cement RWH tanks: UGX 42,000,000/-

4. Rehabilitation of 10 boreholes: UGX 42,000,000/-

5. Feasibility study and design of Adyaka pipe water scheme: UGX 37,000,000/-

6. Construction of lwal solar pipe water scheme: UGX 249,000,000/-.

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

a. For previous FY, the DWO allocated a minimum of 40% of the NWR rural water and sanitation budget as per sector guidelines towards mobilization activities:

- If funds were allocated score 3
- If not score 0

The budget for mobilization activities was 46.12% of the NWR for rural water and sanitation budget for the FY 2019/20.

According to the AWP and Budget of FY 2019/20, under the DWSCG, the **Non Wage Recurrent** (NWR) budget was **UGX 33,112,000/-** of which the community mobilization activities were planned under **Promotion of Community Based Management**, including the following expenses:

Inland Travel: UGX 15,272,000

**Total: UGX 15,272,000/-**

Mobilization for WSS is conducted

*Maximum 6 points on this performance measure*

b. For the previous FY, the District Water Officer in liaison with the Community Development Officer trained WSCs on their roles on O&M of WSS facilities: Score 3.

There was sufficient evidence of training of WSCs according to soft ware reports which were availed to assessors during assessment.

Software report dated 5th February 2020, prepared by the CDO, indicated that 3 WSCs were re-activated. They were trained on WSC committee roles and responsibilities, best sanitation practices, record keeping, O&M of boreholes, etc. The training was conducted by the AWO-Sanitation, CDO and Health Inspectors.

Software report dated 29th October 2019 indicated community mobilization report in Barr Sub-county from 16th October 2019 to 23rd October 2019 for formation of WSCs and deciding prospective project sites for the FY 2020/21. The communities were also trained on critical requirements for obtaining water sources and the trainings were conducted by CDO, Health Assistants and AWOs.

The three sampled WSS facilities were as follows:

***Akaoidebe BH (Lira S/C):*** The caretaker, Anyes, revealed during the interview with the assessor that the community contributed 1,000/- per household per month and these funds were handed over to the WSC treasurer, Florence Ouma, who saved it to cover future O&M expenses. The borehole was found in good working condition and the area around it was well kept.

***Ajunga BH (Adekokwok S/C):*** the caretaker, interviewed by the assessor, revealed that the caretaker collected 1,000/- per household per month from the community who benefited from the borehole to contribute to O&M. Funds collected were given to the treasurer, who kept them until there was need to carry out repairs. The water source was protected, in good working condition and the area around it was clean, which was an indication of good O&M practice.

***Ferro-cement RWH Tank at Orem P/S (Barr S/C)***

The school was closed and no was one was available for interview.

11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>a. Existence of an up-to-date LG asset register which sets out water supply and sanitation facilities by location and LLG:</p> <p>Score 4 or else 0</p>	<p>The DWO provided the assessor with an inventory of all water points by location in Lira district in the form of Microsoft Excel file. However, the inventory did not include sanitation structures in RGCs.</p>	0
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>b. Evidence that the LG DWO has conducted a desk appraisal for all WSS projects in the budget to establish whether the prioritized investments were derived from the approved district development plans and are eligible for expenditure under sector guidelines (prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities) and funding source (e.g. sector development grant, DDEG). If desk appraisal was conducted and if all projects are derived from the LGDP and are eligible:</p> <p>Score 4 or else score 0.</p>	<p>The LG District Water Officer conducted a desk appraisal dated 30th October 2018 and signed by DWO Otim Jimmy for all WSS projects in the budget and established the prioritized investments that were derived from the approved district development plans and were eligible for expenditure under sector guidelines, i.e., prioritize investments for sub-counties with safe water coverage below the district average and rehabilitation of non-functional facilities and funding source (sector development grant, DDEG). The desk appraisal was conducted and all projects were derived from the LGDP (pages, 267,268,270, and 272) and were eligible.</p> <p>i. Borehole Drilling and Installation in all Sub-counties, Shs.1,650,000,000 (LG DP, page 267).</p> <p>ii. Borehole Rehabilitation-in all Sub-counties, Shs.200,000,000 (LG DP, page 268).</p> <p>iii. Rain Water Harvesting, Spring Protection and Shallow Well Drilling and Installation, in all Sub-counties and Institutions, Shs.1,000,000,000 (LG DP, page 270).</p> <p>iv. Operation and Maintenance (O &amp; M) of Urban Water Facilities, Small Water Schemes in Agweng, Barr, Amach, Ogur Sub Counties and Barlonyo Community in Agweng, Shs. 1,950,000,000 (LG DP-page 270-271).</p>	4
11	<p>Planning and Budgeting for Investments is conducted effectively</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>c. All budgeted investments for current FY have completed applications from beneficiary communities: Score 2</p>	<p>There was <i>insufficient evidence of community application files</i> for water projects planned for FY 2020/21 made available to assessors at the time of assessment.</p> <p>The evidence for community applications for the following sites <b>was not made available</b> to assessors for review upon request:</p> <p><b>I. Boreholes:</b></p> <p>Aromo S/C: Ogeo B, Corna Ayee</p> <p>Agali S/C: Adyaka TC</p>	0

## **II. Piped water supply:**

Agali S/C, Adyaka TC

## **III. RWH Tanks:**

Barr S/C: Tetyang P/S

Ogur S/C: Ogur P/S

Aromo S/C: Odoro P/S

Agali S/C: Okile P/S

Amach S/C: Awirao P/S

The AWP and Budget for the FY 2020/21 planned for development the following projects in the different sub-counties as follows:-

**Drilling 3 deep boreholes with hand pumps and 1 production well:** for a total budget of UGX 87,000,000/- under DWSCG as follows:

1. Adekwoko S/C: Omaa (1 Borehole)
2. Aromo S/C: Ogeo B, Corna Ayee (1 Borehole)
3. Ogur S/C: Alyec A BH (1 Borehole)
4. Agali S/C: Adyaka TC (Production Well)

**Design of a pipe water scheme for Adyaka TC, Agali S/C** for a budget for UGX 39,706,000/-.

**Construction of piped water system at Iwal TC, Ngetta S/C** for a budget of UGX 249,000,000/-

**Supply and Installation of 5 Ferro-cement Rain Water Harvesting Tanks**, for a total of UGX 42,000,000/- under DWSCG as follows:

1. Barr S/C: Tetyang P/S:
2. Ogur S/C: Ogur P/S:
3. Aromo S/C: Odoro P/S
4. Agali S/C: Okile P/S
5. Amach S/C: Awirao P/S

Records for only two community applications were made available to assessor for review as follows:

**Adekwoko S/C: Omaa BH:** Application was made dated 26th February 2020, signed by LC1 chairperson Obote Moses

**Ogur S/C: Alyec A BH:** Application was dated 15th April 2020 by the community in a memo also signed by LC1 chairperson,



11

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

d. Evidence that the LG has conducted field appraisal to check for: (i) technical feasibility; (ii) environmental social acceptability; and (iii) customized designs for WSS projects for current FY. Score 2

The LG conducted field appraisal to check technical feasibility, environmental social acceptability and customized designs for Water Supply and Sanitation Services projects. The field appraisal was carried out as per, environmental and social screening forms dated; 5/8/2019, 6/8/2019, 7/8/2019, 8/8/2019. There were 20 projects appraised and among projects appraised were; Rehabilitation of a deep borehole at Opwinyo PS, Adekokwok Parish, Adekokwok sub-county, Rehabilitation of a deep borehole at Okalamara Parish, Ogur sub-county,

The appraisal outlined benefits and challenges of the projects.

- a. Save the community from getting water borne diseases.
- b. The community will have safe and clean water.
- c. Fencing off the boreholes and protection of the catchment area including sinking soak pit.
- d. Plant trees and grass to restore vegetation cover that has been destroyed.
- e. Saving the public from walking long distances searching for water.
- f. Reduces underground water contamination.

Planning and Budgeting for Investments is conducted effectively

*Maximum 14 points on this performance measure*

e. Evidence that all water infrastructure projects for the current FY were screened for environmental and social risks/ impacts and ESIA/ESMPs prepared before being approved for construction - costed ESMPs incorporated into designs, BoQs, bidding and contract documents. Score 2

Screening was conducted for all WSS projects, ESIA/ ESMPs prepared and the proposed mitigations measures were put in place for projects implemented in Current FY. WSS projects were ongoing example

Drilling and construction of production well at Iwal Parish, Iwal trading center, Ngetta sub county. Screening form signed by environment officer and DCDO on 5th August 2019

Construction of Ferro cement water harvesting Tank at Ocamonyang PS, Ocamonyang Parish, Agali sub county. Screening form signed by environment officer and DCDO on 7th August 2019

Rehabilitation of deep boreholes in Oilil B' Village, Abwocolit parish Amach sub county. Screening was done by DCDO and Environment officer on 6th August 2019

ESMPS were costed at UGX 258,000 for all WSS projects ESMPs were signed by DCDO and Environment officer on 15th September 2019

Mitigation measures included planting trees around water source, soak pit to be fenced off, drilling to be at day time to reduce noise levels/decibels during drilling, drilling to be carried out during dry season to avoid soil erosion

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

a. Evidence that the water infrastructure investments were incorporated in the LG approved: Score 2 or else 0

As per the Approved Budget Estimates, the following water infrastructure investment project among others was incorporated in the AWP and Procurement Plans for the current FY

- Construction of Iwal Solar Water supply Scheme in Lira District; Budgeted for **UGX 249,000,000/=**. The Contract (*LIRA531/WRKS/20-21/00001*) has since been awarded to *M/S Allianz Ltd* at a Price of *UGX 245,494,752/=* after clearance by the Solicitor General in a letter dated and stamped 14th August, 2020 endorsed by Nyeko Joseph, on behalf of the S/G
- Siting, Drilling, Pump testing and Installation of 3 (Three) Deep Boreholes and 1 Production Well; Budgeted for **UGX 87,000,000/=**. The Contract (*LIRA531/WRKS/20-21/00002*) has since been awarded to *M/S KLR (U) Ltd* at a Price of *UGX 86,629,700/=*
- Construction of 5 Ferro-Cement Rain Water harvesting Tanks; Budgeted for **UGX 42,000,000/=**. The Contract (*LIRA531/WRKS/20-21/00025*) has since been awarded to *M/S Bamuni Services (U) Ltd* at a Price of *UGX 40,000,000/=*

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>b. Evidence that the water supply and public sanitation infrastructure for the previous FY was approved by the Contracts Committee before commencement of construction Score 2:</p>	<p>The water supply and public sanitation infrastructure Projects for the Previous FY were approved by the Contracts Committee before commencement of Works. These Included, among others</p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>		<p>1) Siting, Drilling, and Installation of 4 (Four) Deep Wells and 1 Production Well - <i>LIRA531/WRKS/19-20/00003</i>; Contracted to <i>M/S KLR (U) Ltd</i>, for <i>UGX 108,164,700/=</i>. This was approved by the 2nd Contracts Committee meeting; under <b>Min. No. 003/DCC2/2019/2020</b>, held on <i>29/8/2019</i>.</p> <p>2) Rehabilitation of 4 (Four) Deep Wells/Boreholes (LOT 1) - <i>LIRA531/WRKS/19-20/00016</i>; Contracted to <i>M/S Elaj Investments Group Ltd</i>, for <i>UGX 16,000,000/=</i>. This was approved by the Contracts Committee meeting; under <b>Min. No. 003/DCC5/2019/2020</b>, held on <i>23/12/2019</i>.</p> <p>3) Construction of 5 Ferro-Cement Rain Water harvesting Tanks - <i>LIRA531/WRKS/19-20/00018</i>; Contracted to <i>M/S Allianz Ltd</i>, for <i>UGX 41,720,500/=</i>. This was also approved by the Contracts Committee meeting; under <b>Min. No. 003/DCC5/2019/2020</b>, held on <i>23/12/2019</i>.</p>	
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p>	<p>c. Evidence that the District Water Officer properly established the Project Implementation team as specified in the Water sector guidelines Score 2:</p>	<p>There was evidence of proper establishment of Project Implementation Team by the CAO</p> <p>A Copy of joint appointment of Contract Manager (DE) Project Manager (DWO), the DNRO, and the DCDO (among other officers) as members of the PIT for a number of Contracts/projects (including the WSS projects) of FY 2019/2020 was seen by the Assessor.</p> <p>The letter was signed by CAO, dated <i>2/10/2019</i></p>	2
	<p><i>Maximum 14 points on this performance measure</i></p>			

12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>d. Evidence that water and public sanitation infrastructure sampled were constructed as per the standard technical designs provided by the DWO: Score 2</p>	<p>According to the technical specifications, the borehole pedestal had to be installed with a stainless steel hand pump identical to the stand. In addition, the area around the borehole was had to have a circular concrete platform of diameter 1700 mm with a waste water drain of about 150 mm wide with 2% slope channeling water away from the borehole.</p> <p>The above specifications were fully met in the three sampled boreholes visited at Akaoidebe BH (Lira S/C) and Ajunga BH (Adekokwok S/C).</p> <p>The technical specification for the RWH tanks supply and installation, the water tank was to be made of ferro-cement with a capacity of 10,000 liters. The tank had to be seated on concrete base fitted with tap and drain area finished smooth with cement mortar. In addition, PVC rain gutters had to be supplied and installed to a catchment roof, in order to drain rain water into the tank. These specifications were met in the RWH tank visited at Orem P/S in Barr S/C</p>	2
12	<p>Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements</p> <p><i>Maximum 14 points on this performance measure</i></p>	<p>e. Evidence that the relevant technical officers carry out monthly technical supervision of WSS infrastructure projects: Score 2</p>	<p>The DWO carried out regular technical supervision as per the supervision/Inspection reports by the designated Project Supervisor (AEO – <i>Otim Jimmy</i>) dated 14/1/2020 (on Drilling of 4 Boreholes), 5/3/2020, 10/3/2020, etc (Rehabilitation of Boreholes in the 3 Lots), 20/4/2020 (Construction of the 5 Ferro-Cement Tanks)</p> <p>One Contract Management Plan for the Drilling and Installation of Four (4) Deep Boreholes and a Production Well was also seen dated; 30/9/2019 signed by the AEO – <i>Otim Jimmy</i>.</p> <p>However, there was no proper documentary evidence in regards to presence of the other relevant technical officers like Environmental Officer and the DCDO during supervision of WSS infrastructure projects.</p> <p>The sampled projects included</p> <ol style="list-style-type: none"> <li>1. Siting, Drilling, and Installation of 4 (Four) Deep Boreholes and 1 Production Well - <i>LIRA531/WRKS/19-20/00003</i>;</li> <li>2. Rehabilitation of 7 major boreholes - <i>DOKO575/WRKS/2019-2020/00017</i></li> </ol>	0

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

*Maximum 14 points on this performance measure*

f. For the sampled contracts, there is evidence that the DWO has verified works and initiated payments of contractors within specified timeframes in the contracts

o If 100 % contracts paid on time:  
Score 2

o If not score 0

The evidence provided shows that the DWO reviewed and sampled contracts, verified works and initiated payments of contractors within specified time-frames in the contracts. Among those paid in time were;

a. Rehabilitation of 4 boreholes in Sub counties of Ngetta, Adekokwok, Amach & Lira by Renova Agencies Ltd. Lira/531/WRKS/19-20/00017. Requested for payment on 02/3/2020. Certified by DWO and DE on 9/3/2020. Paid on 18/3/2020 by EFT. No. 28432743, Shs.15,105,000.

b. E Rehabilitation of 4 borehole in Barr and Agali sub counties by Laj Investments Group Ltd. Lira/531/wrks/19-20/00018. requested for payment on 10/3/2020. Certified by DWO and DE on 11/3/2020. Paid on 18/3/2020 by EFT. No. 28432758, Shs.15,190,000.

c. Construction of 5 Ferro cement rain water tanks in Lira District by Allianz Ltd. Lira/531/Wrks/19-20/00016. Requested for payment on 5/3/2020. Certified by DWO and DE on 20/04/2020. Paid on 30/4/2020 by EFT. No. 29254391, Shs.39,634,475.

Procurement and Contract Management/execution: The LG has effectively managed the WSS procurements

g. Evidence that a complete procurement file for water infrastructure investments is in place for each contract with all records as required by the PPDA Law:

Score 2, If not score 0

*Maximum 14 points on this performance measure*

Complete Procurement files for the water infrastructure investments are in place with Evaluation Reports and Minutes of the Contract Committee, and the very contract documents

The Project Files were;

1. Siting, Drilling, and Installation of 4 (Four) Deep Boreholes and 1 Production Well - **LIRA531/WRKS/19-20/00003**. After thorough evaluation, this was approved by the 2nd Contracts Committee meeting; under Min. No. 003/DCC2/2019/2020, held on 29/8/2019.

The contract document was signed 16th September 2019 with a Contract price of UGX 108,164,700/= awarded to M/S KLR (U) Ltd.

2. Rehabilitation of 4 (Four) Deep Wells/Boreholes (LOT 1) - **LIRA531/WRKS/19-20/00016**. After thorough evaluation, this was approved by the Contracts Committee meeting; under Min. No. 003/DCC5/2019/2020, held on 23/12/2019

The contract document was signed 17th January 2020 with a Contract price of UGX 16,000,000/= awarded to M/S Elaj Investments Group Ltd,

3. Construction of 5 Ferro-Cement Rain Water harvesting Tanks - **LIRA531/WRKS/19-20/00018**. This was also approved by the Contracts Committee meeting; under Min. No. 003/DCC5/2019/2020, held on 23/12/2019

The contract document was signed 17th January 2020 with a Contract price of UGX 41,720,500/= awarded to M/S Allianz Ltd

## Environment and Social Requirements

Grievance Redress: The LG has established a mechanism of addressing WSS related grievances in line with the LG grievance redress framework

Evidence that the DWO in liaison with the District Grievances Redress Committee recorded, investigated, responded to and reported on water and environment grievances as per the LG grievance redress framework:

Score 3, If not score 0

There were no log of grievances neither recorded, investigated or responded to at the time of assessment for WSS projects

*Maximum 3 points this performance measure*

14	<p>Safeguards for service delivery</p> <p><i>Maximum 3 points on this performance measure</i></p>	<p>Evidence that the DWO and the Environment Officer have disseminated guidelines on water source &amp; catchment protection and natural resource management to CDOs:</p> <p>Score 3, If not score 0</p>	<p>There were water source and catchment protection and natural resource management Reports seen by the assessor in the LG for example;</p> <p>Report on water user training in Barr sub county conducted on 6th and 7th July 2020 in preparation to acquire new water points for FY 2019-2020. Objective of the training was to prepare communities to benefit from water sources ,Impart skills to collect and manage funds from users. In the training 29 participants attended and achievements were;</p> <p>Trainings conducted successfully in 2 water sources,General cleanliness observed and maintained,water user committees were formed and soak pits constituted and covered. The report was compiled by CDO Barr sub county and CDO (Alweddo Molly) on 6th July 2020.</p> <p>However, guidelines on water source &amp; catchment protection and natural resource management were not disseminated to CDOs</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>a. Evidence that water source protection plans &amp; natural resource management plans for WSS facilities constructed in the previous FY were prepared and implemented: Score 3, If not score 0</p>	<p>There was insufficient evidence that the water source protection plans and natural resource management plans for WSS infrastructure projects constructed during the previous FY were prepared and implemented.</p> <p>However, a report on inter sub county Advocacy meetings for water department for FY 2019-2020.This was a forum to inform LLGs about emerging policies, new innovations in water sector, updates on implementation in WASH services to the relative stakeholders. The objective of Advocacy meeting was to know progress in implementation of water and sanitation sector and share work plans for each sub county.</p> <p>The issues in the report were all sub counties were to establish WSS on the sanitation board and water sources with questionable water quality will be tested by the water officer. The report was signed by the Asst Eng Officer-Water, dated 12th November 2019</p>	0
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on</i></p>	<p>b. Evidence that all WSS projects are implemented on land where the LG has proof of consent (e.g. a land title, agreement; Formal Consent, MoUs,</p>	<p>There was <i>insufficient evidence</i> availed to the assessors to indicate evidence of proof of ownership of land for the construction projects implemented in FY 2019/20.</p>	0



*this performance measure*

etc.), without any encumbrances:  
Score 3, If not score 0

Four of the boreholes drilled during the FY 2019/20 had no evidence of proof of ownership of land, availed to the assessors for review, namely:-

Ngetta TC: Iwal TC

Lira S/C: Akaidebe

Aromo S/C: Obama

Agweng S/C: Icika

The Annual Performance report dated 20th July 2020 indicated the completion of 5 boreholes and installation of 5 ferro-cement RWH tanks as follows:

**Boreholes for drilling:**

Ngetta TC: Iwal

Adekwokok S/C: Ajunga

Lira S/C: Akaidebe

Aromo S/C: Obama

Agweng S/C: Icika

**Rain Water Harvesting Tanks:**

Barr S/C: Orem P/S:

Ogur S/C: Akangi P/S:

Lira S/C: Te Okole P/S:

Agali S/C: Ocamonyang P/S:

Amach S/C: Amokogee P/S:

The evidence of land agreements reviewed was for only one borehole as follows

**Adekwokok S/C: Ajunga:** MoU dated 2nd October 2019 where Eunice Oker provided 10X10m of her land in Ajunga Village in Adekwokok S/C, for a communal borehole. The MoU was witnessed by LC1 Chairperson, Oleng Alex

The following boreholes had records which indicated that community members had offered land, although these records were not MoUs or land agreements, as follows:

**Ngetta TC: Iwal TC:** it was noted that a report dated 24th October 2019, indicated that the community of Iwal TC was mobilized and sensitized about the project. In that meeting, a member of the community offered part of his land for the water scheme.

**Lira S/C: Akaidebe:** It was noted that in a community meeting, according to the minutes of the meeting dated 17th October 2020,

under Minute 7/01/2019, Imat Santa offered part of her land in Akaidebe in Lira S/C for a communal borehole.

### RWH Tanks

The rain water harvest tanks were installed on existing school premises for the use of pupils and teachers of the respective schools. It was therefore assumed that MoUs and land agreements for these water facilities were not necessary.

15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>c. Evidence that E&amp;S Certification forms are completed and signed by Environmental Officer and CDO prior to payments of contractor invoices/certificates at interim and final stages of projects:</p> <p>Score 2, If not score 0</p>	<p>Evidence was availed to the assessor that showed E&amp;S Certification forms (dated, 5/8/2019, 6/8/2019, 7/8/2019 and 8/8/2019) were completed and signed by Environmental Officer and CDO prior to payments of contractor invoices and certificates at interim and final stages of projects.</p> <p>a. Elaj Investment Group Ltd. Certificate No.1. Lira/531/wrks/19-20/00018. Rehabilitation of 4 borehole in Barr and Agali sub counties. Shs.15,190,000.</p> <p>b.Renova Agencies Ltd. Certificate No.1.Lira/531/WRKS/19-20/00017. Rehabilitation of 4 boreholes in Sub counties of Ngetta, Adekokwok, Amach &amp; Lira. Shs.15,105,000.</p> <p>c.Allianz Ltd. Lira/531/Wrks/19-20/00016. Construction of 5 Ferro cement rain water tanks in Lira District, Shs.39,634,475.</p>	<b>2</b>
15	<p>Safeguards in the Delivery of Investments</p> <p><i>Maximum 10 points on this performance measure</i></p>	<p>d. Evidence that the CDO and environment Officers undertakes monitoring to ascertain compliance with ESMPs; and provide monthly reports:</p> <p>Score 2, If not score 0</p>	<p>There was evidence that the CDO and Environment Officers undertook monitoring to ascertain compliance with ESMPs. example;</p> <p>Environmental and Social monitoring report for Planned water sector projects during FY 2019/20 .Report addresses project, environmental and social issues, progress of monitoring , time and recommendations. monitored projects include; Production well at lwal Trading center, Construction of ferro tanks at Amakoge PS, Ocamonyany PS and Teokole PS .In the recommendations the contractor was to plant trees and encourage use of PPEs.The report was signed by the DCDO and Environment officer on 25th August 2019</p> <p>However there were no monthly reports seen at the time of assessment</p>	<b>0</b>

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Local Government Service Delivery Results</b>				
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>a) Evidence that the LG has up to-date data on irrigated land for the last two FYs disaggregated between micro-scale irrigation grant beneficiaries and non-beneficiaries – score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0
1	<p>Outcome: The LG has increased acreage of newly irrigated land</p> <p>Maximum score 4</p> <p>Maximum 20 points for this performance area</p>	<p>b) Evidence that the LG has increased acreage of newly irrigated land in the previous FY as compared to previous FY but one:</p> <ul style="list-style-type: none"> <li>• By more than 5% score 2</li> <li>• Between 1% and 4% score 1</li> <li>• If no increase score 0</li> </ul>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0
2	<p>Service Delivery Performance: Average score in the micro-scale irrigation for the LLG performance assessment. Maximum score 4</p>	<p>a) Evidence that the average score in the micro-scale irrigation for LLG performance assessment is:</p> <ul style="list-style-type: none"> <li>• Above 70%; score 4</li> <li>• 60 – 69%; score 2</li> <li>• Below 60%; score 0</li> </ul> <p>Maximum score 4</p>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>a) Evidence that the development component of micro-scale irrigation grant has been used on eligible activities (procurement and installation of irrigation equipment, including accompanying supplier manuals and training): Score 2 or else score 0</p>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0

3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>b) Evidence that the approved farmer signed an Acceptance Form confirming that equipment is working well, before the LG made payments to the suppliers: Score 1 or else score 0</p>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>Evidence that the variations in the contract price are within +/-20% of the Agriculture Engineers estimates: Score 1 or else score 0</p>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0
3	<p>Investment Performance: The LG has managed the supply and installation of micro-scale irrigations equipment as per guidelines</p> <p>Maximum score 6</p>	<p>d) Evidence that micro-scale irrigation equipment where contracts were signed during the previous FY were installed/completed within the previous FY</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• Between 80 – 99% score 1</li> <li>• Below 80% score 0</li> </ul>	<p>Lira DLG was not part of the National Pilot for Micro- Scale Irrigation Project.</p>	0

4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>a) Evidence that the LG has recruited LLG extension workers as per staffing structure</p> <ul style="list-style-type: none"> <li>• If 100% score 2</li> <li>• If 75 – 99% score 1</li> <li>• If below 75% score 0</li> </ul>	<p>Lira DLG had not yet recruited LLG extension workers as per staffing structure. The Assessor reviewed the staff list for the production department and Fisheries and Entomology. The Assessor noted however, that all the 9 sub counties had filled the position of Agricultural Officer, only one subcounty of <b>Lera</b> had filled the position of fisheries Officer; two sub counties had substantively filled the position of Animal husbandry Officer, while only one subcounty of <b>Apekokwok</b> had filled the position of Entomology Officer.</p> <p>In percentage terms out of the planned 36 extension workers ( assuming 4 extension workers per subcounty for the 9 sub counties), Lira DLG had substantively recruited only <b>13</b> extension workers which constitutes a percentage of <b>36%</b> (<b>13*36*100</b>)</p>	0
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4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the micro-scale irrigation equipment meets standards as defined by MAAIF</p> <ul style="list-style-type: none"> <li>• If 100% score 2 or else score 0</li> </ul>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
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4	<p>Achievement of standards: The LG has met staffing and micro-scale irrigation standards</p> <p>Maximum score 6</p>	<p>b) Evidence that the installed micro-scale irrigation systems during last FY are functional</p> <ul style="list-style-type: none"> <li>• If 100% are functional score 2 or else score 0</li> </ul>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
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**Performance Reporting and Performance Improvement**

5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>a) Evidence that information on position of extension workers filled is accurate: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
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5	<p>Accuracy of reported information: The LG has reported accurate information</p> <p>Maximum score 4</p>	<p>b) Evidence that information on micro-scale irrigation system installed and functioning is accurate: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>a) Evidence that information is collected quarterly on newly irrigated land, functionality of irrigation equipment installed; provision of complementary services and farmer Expression of Interest: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>b) Evidence that the LG has entered up to-date LIG information into MIS: Score 1 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
6	<p>Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans</p> <p>Maximum score 6</p>	<p>c. Evidence that the LG has prepared a quarterly report using information compiled from LIGs in the MIS: Score 1 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0

6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	d) Evidence that the LG has:  i. Developed an approved Performance Improvement Plan for the lowest performing LLGs score 1 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
6	Reporting and Performance Improvement: The LG has collected and entered information into MIS, and developed and implemented performance improvement plans  Maximum score 6	ii. Implemented Performance Improvement Plan for lowest performing LLGs: Score 1 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0

### Human Resource Management and Development

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	a) Evidence that the LG has:  i. Budgeted for extension workers as per guidelines/in accordance with the staffing norms score 1 or else 0	The Assessor reviewed the approved Performance Contract of Lira DLG for FY 2020/2021 and established that the District Production and Marketing Officer made a budget provision under Sector Conditional Grant wage as cited on <b>page 14 of the approved Performance Contract generated on 5th June 2020 at 5.24 pm.</b>	1
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines  Maximum score 6	ii Deployed extension workers as per guidelines score 1 or else 0	Lira DLG did not deploy extension workers as per guidelines since most extension worker positions were vacant at the time of the assessment. Only <b>13 positions out of 36</b> had been filled by the time of the assessment.	0

7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	b) Evidence that extension workers are working in LLGs where they are deployed: Score 2 or else 0	At all the three Sampled LLGs, the Assessor established that there was a system in place of tracking staff attendance through the use of an attendance book. The extension workers signed the attendance book whenever they reported at the subcounty headquarters. There was however, no further evidence that the Assessor could certainly base on to determine whether the extension workers were actually working, as there were no SAS supervision reports or regular reports made by the extension workers to the SAS. Accordingly the Assessor scored zero for this indicator.	0
	Maximum score 6			
7	Budgeting for, actual recruitment and deployment of staff: The Local Government has budgeted, actually recruited and deployed staff as per guidelines	c) Evidence that extension workers deployment has been publicized and disseminated to LLGs by among others displaying staff list on the LLG notice board. Score 2 or else 0	There was no evidence seen or presented to the Assessor, to confirm that the extension workers deployment was publicized and disseminated to LLGs by among others means displaying staff list on the LLG notice board.	0
	Maximum score 6			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has:  i. Conducted annual performance appraisal of all Extension Workers against the agreed performance plans and has submitted a copy to HRO during the previous FY: Score 1 else 0	There was no evidence presented to the Assessor ( in form of personal files of extension workers for review by the Assessor) to determine whether the extension workers were appraised during the previous FY. The PHRO urged the production coordinator to present/retrieve the personal files of the extension workers for review by the Assessor but by the end of day two of the assessment, the files had not yet been presented and hence the Assessor scored zero for this indicator.	0
	Maximum score 4			
8	Performance management: The LG has appraised, taken corrective action and trained Extension Workers	a) Evidence that the District Production Coordinator has;  Taken corrective actions: Score 1 or else 0	No Corrective Actions were taken by the Production Coordinator on the basis of results of the performance appraisals.	0
	Maximum score 4			



8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>b) Evidence that:</p> <p>i. Training activities were conducted in accordance to the training plans at District level: Score 1 or else 0</p>	<p>There was no evidence presented to the Assessor to confirm that training activities were conducted in accordance to the training plans at District level:</p>	0
8	<p>Performance management: The LG has appraised, taken corrective action and trained Extension Workers</p> <p>Maximum score 4</p>	<p>ii Evidence that training activities were documented in the training database: Score 1 or else 0</p>	<p>Lira DLG had no training database</p>	0

**Management, Monitoring and Supervision of Services.**

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>a) Evidence that the LG has appropriately allocated the micro scale irrigation grant between (i) capital development (micro scale irrigation equipment); and (ii) complementary services (in FY 2020/21 100% to complementary services; starting from FY 2021/22 – 75% capital development; and 25% complementary services): Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>b) Evidence that budget allocations have been made towards complementary services in line with the sector guidelines i.e. (i) maximum 25% for enhancing LG capacity to support irrigated agriculture (of which maximum 15% awareness raising of local leaders and maximum 10% procurement, Monitoring and Supervision); and (ii) minimum 75% for enhancing farmer capacity for uptake of micro scale irrigation (Awareness raising of farmers, Farm visit, Demonstrations, Farmer Field Schools): Score 2 or else score 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0

9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>c) Evidence that the co-funding is reflected in the LG Budget and allocated as per guidelines: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>d) Evidence that the LG has used the farmer co-funding following the same rules applicable to the micro scale irrigation grant: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
9	<p>Planning, budgeting and transfer of funds for service delivery: The Local Government has budgeted, used and disseminated funds for service delivery as per guidelines.</p> <p>Maximum score 10</p>	<p>e) Evidence that the LG has disseminated information on use of the farmer co-funding: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
10	<p>Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the DPO has monitored on a monthly basis installed micro-scale irrigation equipment (key areas to include functionality of equipment, environment and social safeguards including adequacy of water source, efficiency of micro irrigation equipment in terms of water conservation, etc.)</p> <ul style="list-style-type: none"> <li>• If more than 90% of the micro-irrigation equipment monitored: Score 2</li> <li>• 70-89% monitored score 1</li> <li>Less than 70% score 0</li> </ul>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0

10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	b. Evidence that the LG has overseen technical training & support to the Approved Farmer to achieve servicing and maintenance during the warranty period: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	c) Evidence that the LG has provided hands-on support to the LLG extension workers during the implementation of complementary services within the previous FY as per guidelines score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
10	Routine oversight and monitoring: The LG monitored, provided hands-on support and ran farmer field schools as per guidelines  Maximum score 8	d) Evidence that the LG has established and run farmer field schools as per guidelines: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	a) Evidence that the LG has conducted activities to mobilize farmers as per guidelines: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
11	Mobilization of farmers: The LG has conducted activities to mobilize farmers to participate in irrigation and irrigated agriculture.  Maximum score 4	b) Evidence that the District has trained staff and political leaders at District and LLG levels: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0

**Investment Management**

12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>a) Evidence that the LG has an updated register of micro-scale irrigation equipment supplied to farmers in the previous FY as per the format: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>b) Evidence that the LG keeps an up-to-date database of applications at the time of the assessment: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>c) Evidence that the District has carried out farm visits to farmers that submitted complete Expressions of Interest (EOI): Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
12	<p>Planning and budgeting for investments: The LG has selected farmers and budgeted for micro-scale irrigation as per guidelines</p> <p>Maximum score 8</p>	<p>d) For DDEG financed projects: Evidence that the LG District Agricultural Engineer (as Secretariat) publicized the eligible farmers that they have been approved by posting on the District and LLG noticeboards: Score 2 or else 0</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0
13	<p>Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines</p> <p>Maximum score 18</p>	<p>a) Evidence that the micro-scale irrigation systems were incorporated in the LG approved procurement plan for the current FY: Score 1 or else score 0.</p>	<p>Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.</p>	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	b) Evidence that the LG requested for quotation from irrigation equipment suppliers pre-qualified by the Ministry of Agriculture, Animal Industry and Fisheries (MAAIF): Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	c) Evidence that the LG concluded the selection of the irrigation equipment supplier based on the set criteria: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	d) Evidence that the micro-scale irrigation systems was approved by the Contracts Committee: Score 1 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	e. Evidence that the LG signed the contract with the lowest priced technically responsive irrigation equipment supplier for the farmer with a farmer as a witness before commencement of installation score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	f) Evidence that the micro-scale irrigation equipment installed is in line with the design output sheet (generated by IrriTrack App): Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0

13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	g) Evidence that the LG have conducted regular technical supervision of micro-scale irrigation projects by the relevant technical officers (District Agricultural Engineer or Contracted staff): Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	h) Evidence that the LG has overseen the irrigation equipment supplier during:  i. Testing the functionality of the installed equipment: Score 1 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	ii. Hand-over of the equipment to the Approved Farmer (delivery note by the supplies and goods received note by the approved farmer): Score 1 or 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	i) Evidence that the Local Government has made payment of the supplier within specified timeframes subject to the presence of the Approved farmer's signed acceptance form: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	2
13	Procurement, contract management/execution: The LG procured and managed micro-scale irrigation contracts as per guidelines  Maximum score 18	j) Evidence that the LG has a complete procurement file for each contract and with all records required by the PPDA Law: Score 2 or else 0	Lira DLG was not part of the National Pilot for Micro-Scale Irrigation Project.	0

**Environment and Social Safeguards**

14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	a) Evidence that the Local Government has displayed details of the nature and avenues to address grievance prominently in multiple public areas: Score 2 or else 0	There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: i). Recorded score 1 or else 0 ii). Investigated score 1 or else 0 iii). Responded to score 1 or else 0 iv). Reported on in line with LG grievance redress framework score 1 or else 0	There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: ii. Investigated score 1 or else 0 iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project	0
14	Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework  Maximum score 6	b) Micro-scale irrigation grievances have been: iii. Responded to score 1 or else 0 iv. Reported on in line with LG grievance redress framework score 1 or else 0	There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project	0

14	<p>Grievance redress: The LG has established a mechanism of addressing micro-scale irrigation grievances in line with the LG grievance redress framework</p> <p>Maximum score 6</p>	<p>b) Micro-scale irrigation grievances have been:</p> <p>iv. Reported on in line with LG grievance redress framework score 1 or else 0</p>	<p>There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project</p>	0
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**Environment and Social Requirements**

15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>a) Evidence that LGs have disseminated Micro- irrigation guidelines to provide for proper siting, land access (without encumbrance), proper use of agrochemicals and safe disposal of chemical waste containers etc.</p> <p>score 2 or else 0</p>	<p>There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project</p>	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>b) Evidence that Environmental, Social and Climate Change screening have been carried out and where required, ESMPs developed, prior to installation of irrigation equipment.</p> <p>i. Costed ESMP were incorporated into designs, BoQs, bidding and contractual documents score 1 or else 0</p>	<p>There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project</p>	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>ii. Monitoring of irrigation impacts e.g. adequacy of water source (quality &amp; quantity), efficiency of system in terms of water conservation, use of agro-chemicals &amp; management of resultant chemical waste containers score 1 or else 0</p>	<p>There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project</p>	0
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15	<p>Safeguards in the delivery of investments</p> <p>Maximum score 6</p>	<p>iii. E&amp;S Certification forms are completed and signed by Environmental Officer prior to payments of contractor invoices/certificates at interim and final stages of projects score 1 or else 0</p>	<p>There were no Micro-scale irrigation projects in the LG. Lira district is not part of the Micro-scale Irrigation Pilot project</p>	0
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Safeguards in the  
delivery of investments

Maximum score 6

iv. E&S Certification forms are  
completed and signed by CDO prior to  
payments of contractor  
invoices/certificates at interim and final  
stages of projects score 1 or else 0

There were no Micro-scale irrigation  
projects in the LG. Lira district is not part of  
the Micro-scale Irrigation Pilot project

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>Evidence that the LG has recruited or requested for secondment of staff for all critical positions in the District Production Office responsible for micro-scale irrigation</p> <p>Maximum score is 70</p>	<p>If the LG has recruited the Senior Agriculture Engineer score 70 or else 0.</p>	<p>Lira DLG had not yet recruited a Senior Agricultural Engineer by the time of the assessment.</p>	0
<b>Environment and Social Requirements</b>				
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>If the LG:</p> <p>a. Carried out Environmental, Social and Climate Change screening, score 15 or else 0.</p>	<p>There were no micro-scale irrigation projects in the LG. Lira District is not part of the Micro-scale Irrigation pilot project</p>	0
2	<p>Evidence that the LG has carried out Environmental, Social and Climate Change screening have been carried out for potential investments and where required costed ESMPs developed.</p> <p>Maximum score is 30</p>	<p>b. Carried out Social Impact Assessments (ESIAs) where required, score 15 or else 0.</p>	<p>There were no micro-scale irrigation projects in the LG. Lira District is not part of the Micro-scale Irrigation pilot project</p>	0

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	If the LG has recruited: a. 1 Civil Engineer (Water), score 15 or else 0.	By the time of the assessment, Lira District had not yet substantively recruited all the key staff in the Water Development Office. A review of the files of the DWO staff files indicated the following appointment details  <b>Civil Engineer (Water): Omoko Hudson</b> , CR/D/17184, was substantively appointed as Civil Engineer (Water) as directed by DSC. Min. No.107/2017 and by letter dated 1st September, 2017; CR/163/1.	15
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	b. 1 Assistant Water Officer for mobilization, score 10 or else 0.	<b>Assistant Water Officer: Otim Jimmy</b> , was substantively appointed as an Assistant Water Officer, as directed by DSC Min. No. 113/2017 and by letter dated 1st September, 2017; Ref; CR/163/1	10
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	c. 1 Borehole Maintenance Technician/Assistant Engineering Officer, score 10 or else 0.	<b>Borehole Maintenance Technician:</b> The position was vacant at the time of the assessment.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	d. 1 Natural Resources Officer , score 15 or else 0.	<b>Natural Resources Officer:</b> The position was vacant at the time of the assessment	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	e. 1 Environment Officer, score 10 or else 0.	<b>Environment Officer:</b> The position was vacant at the time of the assessment.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions.	f. Forestry Officer, score 10 or else 0.	<b>Forestry Officer:</b> The position was vacant at the time of the assessment.	0

**Environment and Social Requirements**

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects

If the LG:

a. Carried out Environmental, Social and Climate Change screening/Environment, score 10 or else 0.

The LG carried out Environmental, Social and Climate Change screening for water projects for FY 2019/2020 ,Example;

Drilling and Installation of deep borehole in Akaidebe village, Barpwwo parish, Lira sub county .screening was done by both the Environment officer and DCDO on 5th August 2019

Drilling and installation of deep borehole in Walela parish,Aromo sub county .screening was done by both the Environment officer and DCDO on 5th August 2019

Rehabilitation of deep borehole at Oilil 'B Village ,Abwocolit parish, Amach sub county.screening was done by both the Environment officer and DCDO on 6th August 2018

ESMP was formulated and costed at UGX 258,000 for each borehole and signed by environment officer and DCDO on 15 September 2019

Mitigation measures such as construction of soak pits, fencing off stand area and soak pit, planting of trees around borehole, restricting drilling to day time and avoid servicing vehicles at drilling sites were addressed

climate change screening addressed catchment protection through tree planting compensate grass exposed on ground and increased particulate matter(PM) from dust from drilling borehole

2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>b. Carried out Social Impact Assessments (ESIAs) , score 10 or else 0.</p>	<p>The water projects in the LG did not do Environmental and Social Impact Assessments (ESIAs) and were not required</p> <p>Environmental impacts are minimal and identified during the screening process of the project. Mitigation measures were consequently addressed such as fencing of boreholes, clearing of debris, revegetation of spoil areas for deep borehole in Akaidebe village in Lira sub county,Walela parish in Aromo subcounty and Abwocolit parish in Amach sub county</p>	10
2	<p>Evidence that the LG has carried out Environmental. Social and Climate Change screening/Environment and Social Impact Assessment (ESIAs) (including child protection plans) where applicable, and abstraction permits have been issued to contractors by the Directorate of Water Resources Management (DWRM) prior to commencement of all civil works on all water sector projects</p>	<p>c. Ensured that contractors got abstraction permits issued by DWRM, score 10 or else 0.</p>	<p>The DLG ensured that contractors got drilling permits issued by DWRM. The contractor KLR Uganda Ltd with permit <b>No DP10662/DW/2019</b>, was granted Drilling Permit from 1st July 2019 to Tuesday 30 June 2020 signed by Director DWD dated 30th June 2019</p>	10

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a. District Health Officer, score 10 or else 0.</p>	<p>The customized/Established Staff Structure for Lira district provides for 7 positions in the Health Department including: DHO, Assistant DHO Maternal and Child Health, Assistant DHO Environmental Health, Senior Environmental Health Officer, Senior Health Educator, Biostatistician and Assistant Inventory Management Officer. The Assessor reviewed the personal files of staff in the health department to establish their recruitment status.</p> <p>The review established that Lira District substantively filled all positions as per minimum staffing standards. The details of appointment for staff in the health department were as indicated below:</p> <p><b>1. District Health Officer: Dr. Ochen Buchan;</b> CR/D/0008, ( <i>was on interdiction at the time of the assessment</i>) was substantively appointed as a District Health Officer, as directed by DSC Min. No, 53/2017 and by letter dated 11th August 2020; Ref; CR/161/1.</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>b. Assistant District Health Officer Maternal, Child Health and Nursing, score 10 or else 0</p>	<p><b>2. Assistant District Health Officer-Maternal Child Health and Nursing: Acheka Edmond</b> was substantively appointed as Assistant DHO- Maternal Child Health and Nursing- as directed by DSC Min. No. 2 (a)/2018, and by letter dated 28th June 2018; Ref; CR/156/2.</p>	10
1	<p>Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.</p> <p>Applicable to Districts only.</p> <p>Maximum score is 70</p>	<p>c. Assistant District Health Officer Environmental Health, score 10 or else 0.</p>	<p><b>3. Assistant District Health Officer-Environmental Health: Opio John Nelson,</b> CR/D/17373, was substantively appointed as an Assistant DHO Environmental Health, as directed by DSC. Min. No. 5/2009, and by letter dated 30th January, 2009.</p>	10

1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	d. Principal Health Inspector (Senior Environment Officer), score 10 or else 0.	4. <b>Senior Environment Health Officer: <i>Okello Innocent Lambert</i></b> , CR/D/18644; was substantively appointed as a Senior Environment Health Officer, as directed by DSC. Min. No. 122/2017 and by letter dated 1st September, 2017, Ref; CR/161/1.	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	e. Senior Health Educator, score 10 or else 0.	5. <b>Senior Health Educator: <i>Atim Grace</i></b> , was substantively appointed as a Senior Health Educator, as directed by DSC. Min. No. 44/2/2014 and by letter dated 29th April, 2014; Ref; CR/156/2	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	f. Biostatistician, score 10 or 0.	<b>Biostatistician:</b> CR/D/10064; <b><i>Ariko Geoffrey</i></b> ; was substantively appointed as a Biostatistician as directed by DSC. Min. No. 127/2015 and by appointment letter dated 22nd September, 2015; CR/156/2	10
1	Evidence that the District has substantively recruited or formally requested for secondment of staff for all critical positions.  Applicable to Districts only.  Maximum score is 70	g. District Cold Chain Technician, score 10 or else 0.	6. <b>Cold Chain Technician: <i>Awanyo Thomas</i></b> was substantively appointed as a Cold Chain Technician as directed by DSC. Min. No.12/2013 and by letter dated 5th February, 2013; Ref; CR/161/1.	10

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.  
*Applicable to MCs only.*  
*Maximum score is 70*

h. If the MC has in place or formally requested for secondment of Medical Officer of Health Services /Principal Medical Officer, score 30 or else 0.

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.  
*Applicable to MCs only.*  
*Maximum score is 70*

i. If the MC has in place or formally requested for secondment of Principal Health Inspector, score 20 or else 0.

1 Evidence that the Municipality has in place or formally requested for secondment of staff for all critical positions.  
*Applicable to MCs only.*  
*Maximum score is 70*

j. If the MC has in place or formally requested for secondment of Health Educator, score 20 or else 0.

## Environment and Social Requirements

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)  
*Maximum score is 30*

If the LG carried out:  
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The DLG did not procure Health projects according to the 1st quarter report and amended plan for procurement and disposal unit for Lira district report dated 17th October 2019 implemented for the previous year 2019/20

**15**

2 Evidence that prior to commencement of all civil works for all Health sector projects, the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)  
*Maximum score is 30*

b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The DLG did not procure Health projects according to the 1st quarter report and amended plan for procurement and disposal unit for Lira district report dated 17th October 2019 implemented for the previous year 2019/20.

Therefore ESIAs could not be carried out for health projects.

**15**



No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>a) District Education Officer/ Principal Education Officer, score 30 or else 0.</p>	<p>The approved staff structure for Lira district provides for 7 staff, including the District Education Officer, Senior Inspectors of schools, Inspectors of Schools, Senior Education Officer, Sports Officer, and Education Officer Special Need and Education officer in charge of guidance and counseling.</p> <p>The Assessor ascertained that 4 out of 7 education staff positions were substantively filled at the time of the assessment. Details of appointment were as indicated below:</p> <p><b>1. District Education Officer:</b> CR/D/19004 <b>Ofumbi Fransces</b> was substantively appointed as a District Education Officer, as directed by DSC. Min. No 40/20217 and by letter dated 5th June 2017; Ref. CR/161/1.</p>	30
1	<p>Evidence that the LG has substantively recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Education Office namely:</p> <p><i>The maximum score is 70</i></p>	<p>If the LG has substantively recruited or formally requested for secondment of:</p> <p>b) All District/Municipal Inspector of Schools, score 40 or else 0.</p>	<p><b>2.District Inspector of Schools: Olwit Patrick;</b> CR/D/19040; was substantively appointed as a district Inspector of Schools as directed by DSC. Min. No. 49/2018 and by letter dated 27th June 2018; CR/156/2</p> <p><b>3.Inspector of Schools:</b> The position was vacant at the time of the assessment.</p>	0

**Environment and Social Requirements**

2

15

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:  
a. Environmental, Social and Climate Change screening/Environment, score 15 or else 0.

The LG Filled Environmental and Social and climate change Screening Form for all Education projects for the previous FY .Examples include.

Construction of 2 classroom block with office at Barpwo PS in Lira sub county Ref Lira531/Wrks/2019-20/00005 signed by environment officer and DCDO on 7th August 2019

Renovation of 3 classroom block at Aler PS in Ngetta sub county Ref Lira531/Wrks/2019-20/00004 signed by environment officer and DCDO on 7th August 2019

Construction of Agali seed secondary school signed by environment officer and witnessed by Head teacher on 14th November 2019

Climate change screening addressed whether amount of surface or ground water will be affected or flooding

The Maximum score is 30

2

15

Evidence that prior to commencement of all civil works for all Education sector projects the LG has carried out: Environmental, Social and Climate Change screening/Environment Social Impact Assessments (ESIAs)

If the LG carried out:  
b. Social Impact Assessments (ESIAs) , score 15 or else 0.

The Education projects in the LG did not require ESIAs as the mitigation measures were minimal and categorized as category C.

Mitigation measures such as planting trees at the project sites, use of PPEs and use of condoms in case of increased risk of HIV/AIDS

Implementation of mitigation measures as proposed in the environment and social checklist as per environmental guidelines for contracts and clauses contained in bidding documents

The Maximum score is 30

No.	Summary of requirements	Definition of compliance	Compliance justification	Score
<b>Human Resource Management and Development</b>				
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	a. Chief Finance Officer/Principal Finance Officer, score 3 or else 0	Lira District had not yet substantively filled all positions of Heads of Departments (HoD) by the time of conducting the LGPA exercise. A review of the personal files of the HoDs revealed the following appointment details:  1. <b>Chief Finance Officer:</b> The officer was on interdiction since 2018: The acting CFO; <b>Ngoro Bernard</b> had no appointment details on file at the time of the assessment.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	b. District Planner/Senior Planner, score 3 or else 0	2. <b>District Planner: Omoo Henry;</b> CR/D/17739, was substantively appointed as a District Planner, as directed by DSC. Min. No. 153/2017 and by letter CR/160/1.	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	c. District Engineer/Principal Engineer, score 3 or else 0	3. <b>Acting District Engineer: Ongala Geoffrey;</b> CR/D/14956 was assigned the responsibilities of acting District Engineer, by letter dated 3rd January 2018, Ref, CR/160/1.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	d. District Natural Resources Officer/Senior Environment Officer, score 3 or else 0	4. <b>District Natural Resources Officer: Olike Fabius;</b> CR/D/17291 was substantively appointed as a District Natural Resources Officer as directed by DSC. Min. No. 154/2017, and by letter dated 1st September 2017.	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	e. District Production Officer/Senior Veterinary Officer, score 3 or else 0	5. <b>Acting District Production Officer: Ojok George Johnson;</b> CR/D/1007, a Principal Fisheries Officer, was assigned the responsibilities of Acting District Production Officer, by letter dated 18th October 2019. Ref. CR/156/1.	0

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	f. District Community Development Officer/ Principal CDO,  score 3 or else 0	<b>6. District Community Development Officer: Okello Thomas;</b> CR/D/15123 was substantively, appointed as a District Community Development Officer as directed by DSC. Min. No. 1 (a) /2018 and by letter dated 27th June, 2018; Ref; CR/160/1	3
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	g. District Commercial Officer/Principal Commercial Officer,  score 3 or else 0	<b>District Commercial Officer: Aloba Josephine</b> CR/D/18335 a Senior Commercial Officer, was assigned the responsibilities of acting District Commercial Officer by assignment of duty letter dated 1st July, 2020 Ref; CR/161/1.	0
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	other critical staff  h (i). A Senior Procurement Officer (Municipal: Procurement Officer)  score 2 or else 0.	<b>Senior Procurement Officer: Ebil Patrick;</b> CR/D/18234, was substantively appointed as a Senior Procurement Officer, as directed by DSC. Min. No.85/2017 and by letter dated 1st September, 2017; Ref. CR/161/1.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	h(ii). Procurement Officer (Municipal Assistant Procurement Officer),  score 2 or else 0	<b>Procurement Officer: Adong Roselyn Show</b> CR/D/18235; was substantively appointed as Procurement Officer as directed by DSC Min No 86/2017 and by letter dated 1st September, 2027 Ref. CR/160/1	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	i. Principal Human Resource Officer,  score 2 or else 0	<b>Principal Human Resource Officer: Apenyo Albert,</b> CR/19071, was substantively appointed as a Principal Human Resource Officer as directed by DSC. Min. No. 4/2020 and by letter dated 7th February, 2020; Ref; CR/156/3	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	j. A Senior Environment Officer,  score 2 or else 0	<b>Senior Environment Officer: Olet Ogwang Charles;</b> CR/D/11012 was substantively appointed as a Senior Environment Officer as directed by DSC .Min. No. 155/2017 and by letter dated 1st September, 2017; Ref; CR/161/1	2

1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	k. Senior Land Management Officer, score 2 or else 0	<b>Senior Land Management Officer: <i>Ocheng Lwong Joseph</i></b> ; CR/D/18948 was substantively appointed as a Senior Land Management Officer as directed by DSC. Min. No. 100/2016, and by letter dated 25th November, 2016, Ref; CR/156/2.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	l. A Senior Accountant, score 2 or else 0	<b>Senior Accountant: <i>Ngoro Bernard Walter</i></b> , CR/D/10339, was substantively appointed as a Senior Accountant as directed by DSC. Min. No. 157/2017, and by letter dated 1st September, 2017; Ref; CR/160/1.	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	m. Principal Internal Auditor for Districts and Senior Internal Auditor for MCs, score 2 or else 0	<b>Principal Internal Auditor:</b> CR/D/18336; <b><i>Okidi Mike</i></b> , was substantively appointed as a Principal Internal Auditor as directed by DSC. Min. No. 160/2017 and by appointment letter dated 1st September 2017; Ref; CR/160/1	2
1	Evidence that the LG has recruited or formally requested for secondment of staff for all critical positions in the District/Municipal Council departments.  Maximum score is 37.	n. Principal Human Resource Officer (Secretary DSC), score 2 or else 0	<b>Principal Human Resource Officer (Secretary DSC):</b> the Position was vacant at the time of the assessment	0

2	Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG  Maximum score is 15	If LG has recruited or requested for secondment of:  a. Senior Assistant Secretaries in all LLGS,  score 5 or else 0	Lira District is constituted of nine (9) Lower Local Governments (LLGs) of 9 Subcounties. Lira district has no Town Council.  The Assessor reviewed the approved and costed staff list for 2019/2020, and established that Lira district <b>substantively filled all the essential positions of Senior Assistant Secretaries, Community Development Officers and Senior Accounts Assistants</b> as per minimum staffing standards.	5
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**Senior Assistant Secretaries:** All the 9 positions of Senior Assistant Secretaries at the 9 Subcounties were filled substantively by the time of the assessment

2	Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG  Maximum score is 15	If LG has recruited or requested for secondment of:  b. A Community Development Officer or Senior CDO in case of Town Councils, in all LLGS  score 5 or else 0.	<b>Community Development Officers:</b> All the 9 positions of Community Development Officers were filled substantively by the time of the assessment.	5
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2	Evidence that the LG has recruited or formally requested for secondment of staff for all essential positions in every LLG  Maximum score is 15	If LG has recruited or requested for secondment of:  c. A Senior Accounts Assistant or an Accounts Assistant in all LLGS,  score 5 or else 0.	<b>Senior Accounts Assistants :</b> All the 9 positions of Senior Accounts Assistants at the 9 LLGs were substantively filled at the time of the assessment.	5
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**Environment and Social Requirements**

3	Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.  Maximum score is 4	If the LG has released 100% of funds allocated in the previous FY to:  a. Natural Resources department,  score 2 or else 0	LG released 100% of funds allocated for the Natural Resources Department. The amount warranted was Shs.186,137,273 and expenditure was Shs.185,193,739 (page 12 of the financial statement fy 2019/20), the balance of Shs. 943,534 was transferred to the Treasury.	2
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Evidence that the LG has released all funds allocated for the implementation of environmental and social safeguards in the previous FY.

Maximum score is 4

If the LG has released 100% of funds allocated in the previous FY to:

b. Community Based Services department.

score 2 or else 0.

LG released 100% of funds allocated for Community Based Services department. The amount warranted was Shs.218,871,535, and expenditure was Shs.212,991,807 (page 12 of the financial statement fy 2019/20). The balance of Shs.5,979,728 was transferred to Treasury.

Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.

Maximum score is 12

a. If the LG has carried out Environmental, Social and Climate Change screening, score 4 or else 0

The LG carried out Environmental, Social and Climate Change screening for 16 infrastructural projects according to 1st quarter report and amended plan for procurement and disposal unit for Lira district report dated 17th October 2019 implemented for the previous year 2019/20 using DDEG.

ESMPS were costed indicating project activity, potential impacts mitigation measures, indicator, institutional responsibility, monitoring and monitoring. The Environmental, Social and Climate Change screening was signed by both environment officer and CDO. Example of projects include;

Construction of 3 stance drainable latrine at Adyaka market and 2 stance drainable latrine at Barr sub county REF: Lira531/WRKS/2019-20/00013 signed by environment officer and DCDO on 7th August 2019

Construction of 2 classroom block with office at Barpwwwo PS in Lira sub county Ref Lira531/Wrks/2019-20/00005 signed by environment officer and DCDO on 7th August 2019

Renovation of 3 classroom block at Aler PS in Ngetta sub county Ref Lira531/Wrks/2019-20/00004 signed by environment officer and DCDO on 7th August 2019

Renovation of 4 classroom block at Olaka PS in Lira sub county Ref Lira531/Wrks/2019-20/00008 signed by environment officer and DCDO on 7th August 2019

Climate change screening addressed aspects of clearing vegetation and soil erosion, whether there will be flooding



4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.  Maximum score is 12	b. If the LG has carried out Environment and Social Impact Assessments (ESIAs) prior to commencement of all civil works for all projects implemented using the Discretionary Development Equalization Grant (DDEG),  score 4 or 0	The projects were screened in the LG for DDEG projects. All of the projects did not require ESIs as the mitigation measures categorized as category C. Implementation of mitigation measures as proposed in the environment and social checklist as per environmental guidelines for contracts and clauses contained in bidding documents  Stakeholder engagements were carried out during site visits of the sites as per the screening forms for the projects	4
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4	Evidence that the LG has carried out Environmental, Social and Climate Change screening/Environment and Social Impact Assessments (ESIAs) and developed costed Environment and Social Management Plans (ESMPs) (including child protection plans) where applicable, prior to commencement of all civil works.  Maximum score is 12	c. If the LG has a Costed ESMPs for all projects implemented using the Discretionary Development Equalization Grant (DDEG);;  score 4 or 0	The LG had costed ESMPs for the projects implemented using the DDEG ESMPs costed include;  Construction of 2 classroom block with office at Barpwwa PS in Lira sub county Ref Lira531/Wrks/2019-20/00005  Renovation of 3 classroom block at Aler PS in Ngetta sub county Ref Lira531/Wrks/2019-20/00004  Renovation of 4 classroom block at Olaka PS in Lira sub county Ref Lira531/Wrks/2019-20/00008  ESMP addressed the project name, environmental concerns, mitigation measures, monitoring indicators and responsible person. The ESMP was costed for UGX 356,000 each for the above projects. The ESMP was signed by Environment officer and DCDO on 28th August 2019	4
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**Financial management and reporting**

5	Evidence that the LG does not have an adverse or disclaimer audit opinion for the previous FY.  Maximum score is 10	If a LG has a clean audit opinion, score 10;  If a LG has a qualified audit opinion, score 5  If a LG has an adverse or disclaimer audit opinion for the previous FY, score 0	N/A- The audit results will be ready by the end of December. Therefore, this will be issued January 2021.	0
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6	Evidence that the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g). This statement includes issues, recommendations, and actions against all findings where the Internal Auditor and Auditor General recommended the Accounting Officer to act (PFM Act 2015).	If the LG has provided information to the PS/ST on the status of implementation of Internal Auditor General and Auditor General findings for the previous financial year by end of February (PFMA s. 11 2g),	Evidence of a letter dated 19th December 2019, as provided by MoFPED's inventory of LG submissions of statements entieled 'Actions to Adress Internal Audtiior Generela's findings".	10
	maximum score is 10	score 10 or else 0.		
7	Evidence that the LG has submitted an annual performance contract by August 31st of the current FY	If the LG has submitted an annual performance contract by August 31st of the current FY,	The LG in Pursuant to the Public Financial Management Act of 2015, Part VII Accounting and Audit, Section 45 (3), the Accounting Officer submitted an annual budget performance contract acknowledged by the PS/ST. The hard copy availed to the assessor dated 13/07/2019 signed by Mr. Ben Paul Ogwette, the Chief Administrative Officer.	4
	Maximum Score 4	score 4 or else 0.		
8	Evidence that the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year	If the LG has submitted the Annual Performance Report for the previous FY on or before August 31, of the current Financial Year,	The LG submitted the Annual Performance Report on 3rd September 2020 and is reflecting on the IFMIS online on 3rd September 2020. It was signed by the Chief Administrative Officer Mr. Ben Paul Ogwette.	0
	maximum score 4 or else 0	score 4 or else 0.		
9	Evidence that the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year	If the LG has submitted Quarterly Budget Performance Reports (QBPRs) for all the four quarters of the previous FY by August 31, of the current Financial Year,	The LG submitted Quarterly Budget Performance Reports for all the four quarters as follows; Q 1 - 04/12/2019 Q 2 - 040/2/2020 Q 3 - 22/04/2020 Q 4 - 03/09/2020  However, Q 4 was submiited after 31st August 2020.	0
	Maximum score is 4	score 4 or else 0.		